

The best way to probe CP violation in the lepton sector is with long-baseline accelerator neutrino experiments in the appearance mode: the appearance of ν_e in predominantly ν_μ beams. Here we show that it is possible to discover CP violation with disappearance experiments only, by combining JUNO for electron neutrinos and DUNE or Hyper-Kamiokande for muon neutrinos. While the maximum sensitivity to discover CP is quite modest (1.6σ with 6 years of JUNO and 13 years of DUNE), some values of δ may be disfavored by $> 3\sigma$ depending on the true value of δ .

CP-Violation with Neutrino Disappearance

Peter B. Denton

CERN Neutrino Platform

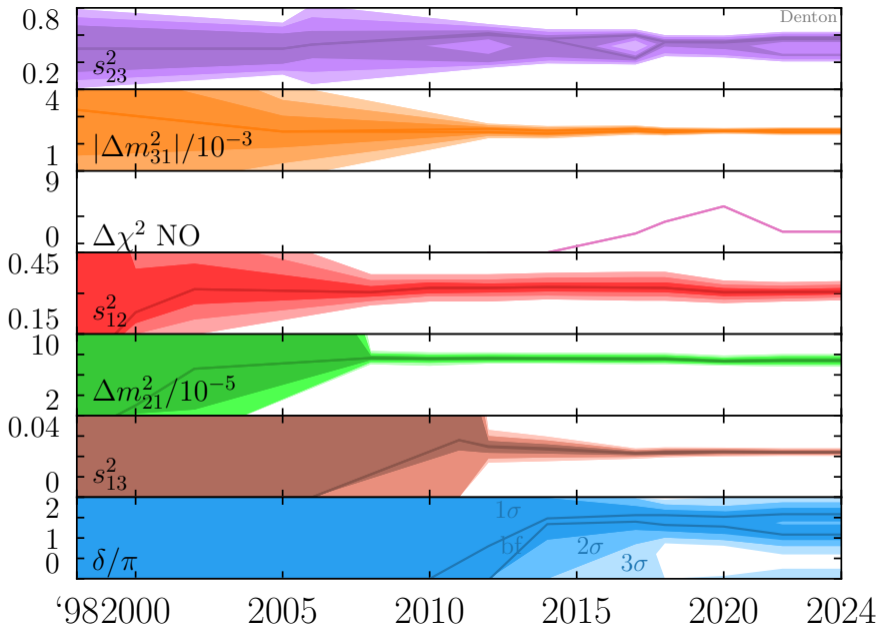
February 18, 2025

2309.03262 PRL



Brookhaven[™]
National Laboratory





Outline

1. Why CPV is interesting
2. Other non-standard probes of CPV
3. Relationship between appearance, disappearance, CP, T, CPT
4. Three ways to see why there is CPV information in disappearance
 - 4.1 Parameter counting
 - 4.2 Direct analytic calculation
 - 4.3 Numerical test
5. Role of the matter effect
6. Sensitivities

Why is CPV interesting?

δ and CP Violation

$$J_{CP} = s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23} \sin \delta$$

C. Jarlskog [PRL 55, 1039 \(1985\)](#)



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1. Strong interaction: no observed EDM \Rightarrow CP (nearly) **conserved**

$$\frac{\bar{\theta}}{2\pi} < 10^{-11}$$

J. Pendlebury, et al. [1509.04411](#)

2. Quark mass matrix: non-zero but **small** CP violation

$$\frac{|J_{CKM}|}{J_{\max}} = 3 \times 10^{-4}$$

CKMfitter [1501.05013](#)

3. Lepton mass matrix: ?

$$\frac{|J_{PMNS}|}{J_{\max}} < 0.34$$

[PBD](#), J. Gehrlein, R. Pestes [2008.01110](#)

$$J_{\max} = \frac{1}{6\sqrt{3}} \approx 0.096$$

Other Non-standard CPV Probes

1. Some information in solar due to loops in elastic scattering

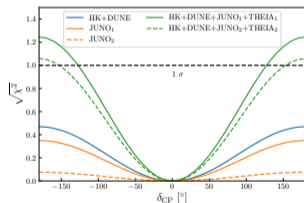
V. Brdar, X-J. Xu [2306.03160](#)

K. Kelly, et al. [2407.03174](#) requires 3k Borexinos

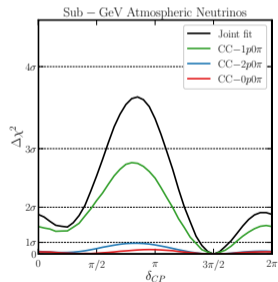
2. Sub-GeV \rightarrow sub-100 MeV atmospheric

K. Kelly, et al. [1904.02751](#)

See also e.g. A. Suliga, J. Beacom [2306.11090](#)



Solar (no systematics)



Atmospherics at DUNE

Appearance, Disappearance, and CP

Appearance and Disappearance, CP Even and CP Odd Terms

Disappearance:

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\alpha) &= 1 - 4|U_{\alpha 1}|^2|U_{\alpha 2}|^2 \sin^2 \Delta_{21} \\ &\quad - 4|U_{\alpha 1}|^2|U_{\alpha 3}|^2 \sin^2 \Delta_{31} \\ &\quad - 4|U_{\alpha 2}|^2|U_{\alpha 3}|^2 \sin^2 \Delta_{32} \\ &= P_{\alpha\alpha}^{CP+} \end{aligned}$$

$$\Delta_{ij} \equiv \Delta m_{ij}^2 L/4E$$

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Appearance:

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= -4\Re[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 2}^*U_{\beta 2}] \sin^2 \Delta_{21} \\ &\quad - 4\Re[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 3}^*U_{\beta 3}] \sin^2 \Delta_{31} \\ &\quad - 4\Re[U_{\alpha 3}U_{\beta 3}^*U_{\alpha 2}^*U_{\beta 2}] \sin^2 \Delta_{32} \\ &\quad \pm 8J_{CP} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \\ &= P_{\alpha\beta}^{CP+} + P_{\alpha\beta}^{CP-} \end{aligned}$$

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Sign depends on α, β

Conventional Wisdom

1. Appearance is sensitive to CPV

[True]

Conventional Wisdom

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2. Disappearance has no CPV sensitivity [False]

Conventional Wisdom

1. Appearance is sensitive to CPV [True]
2. Disappearance has no CPV sensitivity [False]
3. Any δ dependence in disappearance is in ν_μ not ν_e [Confusing/False]

$$\begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{23}s_{13}c_{12}e^{i\delta} & c_{23}c_{12} - s_{23}s_{13}s_{12}e^{i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}s_{13}c_{12}e^{i\delta} & -s_{23}c_{12} - c_{23}s_{13}s_{12}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Correct Statements

- ▶ Appearance is the best way to measure δ and CPV
... given known oscillation parameters, systematics, and realistic experiments
 - ▶ Probes mostly $\sin \delta$ not $\cos \delta$
 - ▶ Don't need both ν and $\bar{\nu}$ (but systematics)
- ▶ **Disappearance can measure δ**
 - ▶ CPV can be discovered with only disappearance measurements
 - ▶ Probes mostly $\cos \delta$ not $\sin \delta$
 - ▶ Requires measurements of two flavors
 - ▶ “Works through unitarity” (as do nearly all oscillation measurements)

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$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - 4 \sum_{i>j} C_{ij}^\alpha \sin^2 \Delta_{ij}$$

$$C_{ij}^\alpha = |U_{\alpha i}|^2 |U_{\alpha j}|^2$$

$$|U_{\alpha i}| = \left(\frac{C_{ij}^\alpha C_{ik}^\alpha}{C_{jk}^\alpha} \right)^{1/4}$$

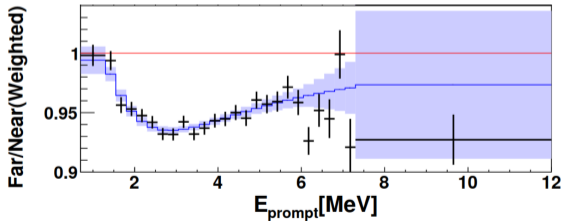
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Daya Bay [1809.02261](#)

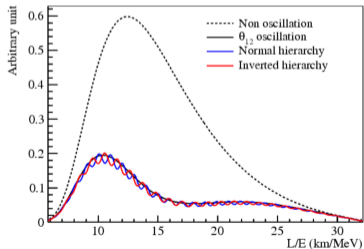
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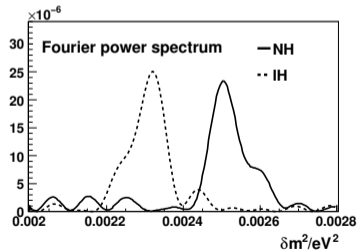
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JUNO [1507.05613](#)



L. Zhan, et al. [0807.3203](#)

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4. Given good measurements of the ν_e and ν_μ disappearance, 4 independent parameters will be measured

- ▶ Any row can be “simple” (e.g. $c_{12}c_{13}$, $s_{12}c_{13}$, ...) \Rightarrow no one row is ever enough
- ▶ That is, CPV is physical and cannot depend on parameterization

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6. If we determine $\cos \delta \neq \pm 1 \Rightarrow$ CP is violated!

Direct Analytic Calculation

Disappearance experiments measure various $|U_{\alpha i}|^2$ terms

Suppose 4 are measured: $|U_{e2}|^2$, $|U_{e3}|^2$, $|U_{\mu 2}|^2$, $|U_{\mu 3}|^2$

Actually this gives all 9 magnitudes by unitarity

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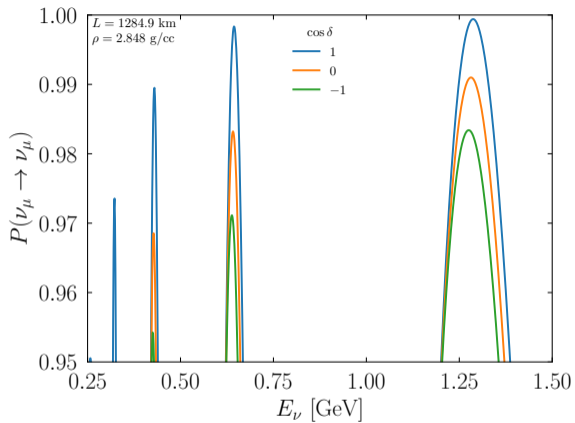
Actually this gives all 9 magnitudes by unitarity

$$J_{CP}^2 = |U_{e2}|^2 |U_{\mu 2}|^2 |U_{e3}|^2 |U_{\mu 3}|^2 - \frac{1}{4} (1 - |U_{e2}|^2 - |U_{\mu 2}|^2 - |U_{e3}|^2 - |U_{\mu 3}|^2 + |U_{e2}|^2 |U_{\mu 3}|^2 + |U_{e3}|^2 |U_{\mu 2}|^2)^2$$

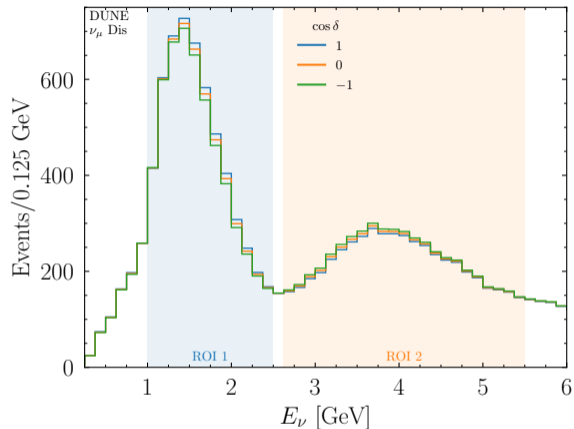
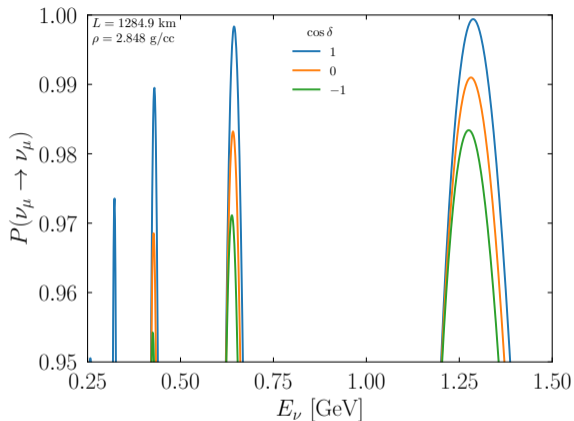
Can show that if any one $|U_{\alpha i}|^2 = 0 \Rightarrow J = 0$

Disappearance can tell us if CP is violated,
but not if Nature prefers ν 's or $\bar{\nu}$'s

Where is $|U_{\mu 2}|^2$?



Where is $|U_{\mu 2}|^2$?



	$\cos \delta$	ROI 1	ROI 2
6.5 yrs ν_μ rates	1	5506	5038
	0	5418	5115
	-1	5334	5193

Matter Effects Matter: (21) Sector

► Let's start at

$$\approx -4c_{23}^2 (s_{12}^2 c_{12}^2 + s_{23} c_{23} s_{13} \sin 2\theta_{12} \cos 2\theta_{12} \cos \delta) \sin^2 \Delta_{21}$$

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$$\Delta m_{21}^2 \rightarrow \Delta m_{21}^2 \mathcal{S}_{\odot}$$
$$\mathcal{S}_{\odot} \approx \sqrt{(\cos 2\theta_{12} - c_{13}^2 a / \Delta m_{21}^2)^2 + \sin^2 2\theta_{12}} \approx 3.4$$

at $E = 1.3$ GeV
PBD, S. Parke [1902.07185](#)

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$$a \propto \rho E$$

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- ▶ Also s_{13} increases in matter $\sim 15\%$: total effect is $0.004 \cos \delta$
- ▶ This gets us **half** of the effect, and the correct sign

Matter Effects Matter: (32) Sector

▶ $\frac{\Delta m_{\mu\mu}^2 L}{4E}$ in matter at the maximum is $\sim \pi$

H. Nunokawa, S. Parke, R. Funchal [hep-ph/0503283](#)
PBD, S. Parke [2401.10326](#)

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- ▶ Leading order in s_{13} :

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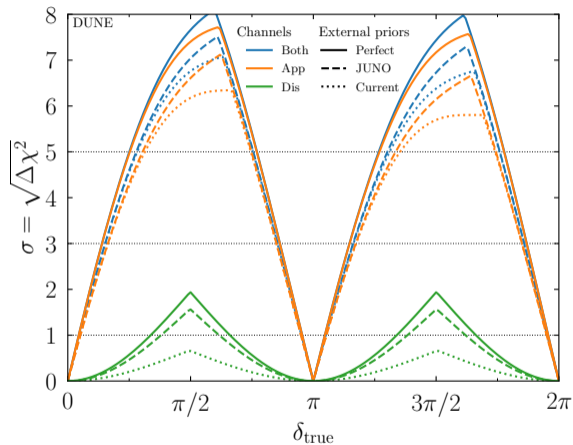
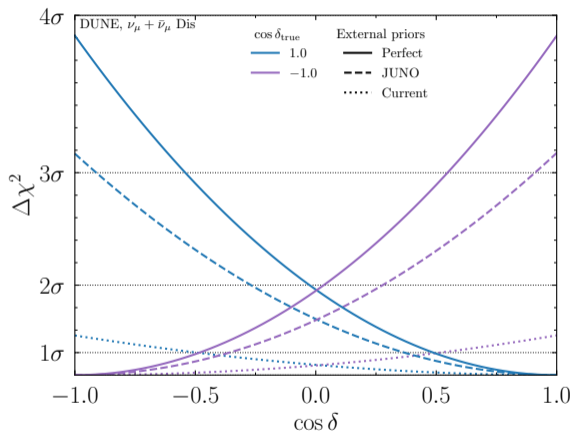
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- ▶ Adds in another $\approx 0.004 \cos \delta$ effect
- ▶ Total is $\approx 0.008 \cos \delta$ which agrees with numerical calculation

JUNO and DUNE Disappearance Sensitivities

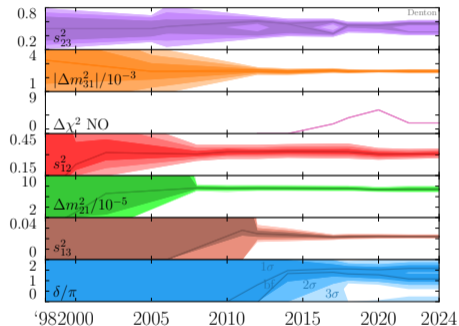


Discussion and Conclusions

- ▶ **Disappearance can discover CPV**
- ▶ Requires two good measurements: JUNO and DUNE/HK
- ▶ Can rule out some values of δ at $> 3\sigma$
- ▶ Works in vacuum or matter; matter slightly minimizes HK's effect
- ▶ Subject to BSM degeneracies, as are most other oscillation measurements
- ▶ Analyses already exist but...
- ▶ **LBL Experiments should break down δ analyses into app vs. dis**
- ▶ Since systematics are different, provides a good cross check

Backups

References



SK [hep-ex/9807003](#)

M. Gonzalez-Garcia, et al. [hep-ph/0009350](#)

M. Maltoni, et al. [hep-ph/0207227](#)

SK [hep-ex/0501064](#)

SK [hep-ex/0604011](#)

T. Schwetz, M. Tortola, J. Valle [0808.2016](#)

M. Gonzalez-Garcia, M. Maltoni, J. Salvado [1001.4524](#)

T2K [1106.2822](#)

D. Forero, M. Tortola, J. Valle [1205.4018](#)

D. Forero, M. Tortola, J. Valle [1405.7540](#)

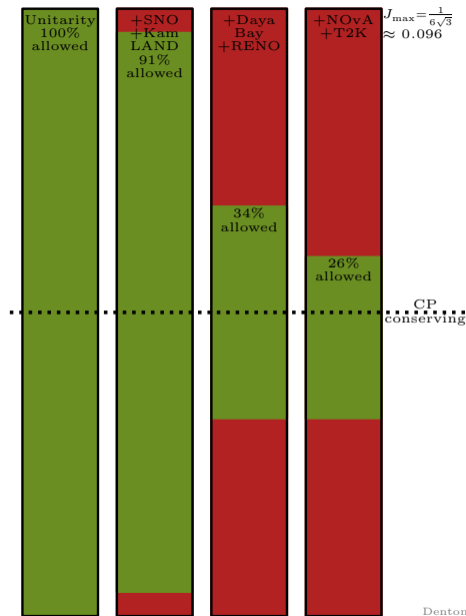
P. de Salas, et al. [1708.01186](#)

F. Capozzi et al. [2003.08511](#)

δ, J : Current Status

Maximal CP violation is already ruled out:

1. $\theta_{12} \neq 45^\circ$ at $\sim 15\sigma$
2. $\theta_{13} \neq \tan^{-1} \frac{1}{\sqrt{2}} \approx 35^\circ$ at many (100) σ
3. $\theta_{23} = 45^\circ$ allowed at $\sim 1\sigma$
4. $|\sin \delta| = 1$ allowed



When δ and When J ?

If the goal is **CP violation** the Jarlskog invariant should be used

however

If the goal is **measuring the parameters** one must use δ

Given θ_{12} , θ_{13} , θ_{23} , and J , I can't determine the sign of $\cos \delta$ which is physical

e.g. $P(\nu_\mu \rightarrow \nu_\mu)$ depends on $\cos \delta$

Appearance vs. Disappearance

Oscillation experiments can do
appearance or disappearance experiments:

Disappearance

K2K, MINOS, T2K, NO ν A

KamLAND, Daya Bay, RENO, Double CHOOZ

(Sort of) SNO, Borexino, SK-solar

JUNO, DUNE, HK

Neither appearance nor disappearance

SK-atm, IceCube

Appearance

T2K, NO ν A

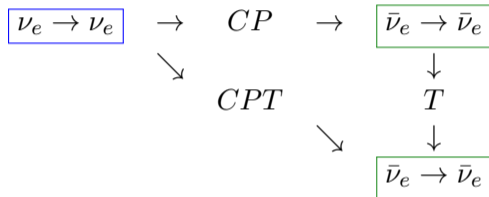
OPERA

Atm ν_τ hints @ SK & IceCube

DUNE, HK



CP, T: Disappearance

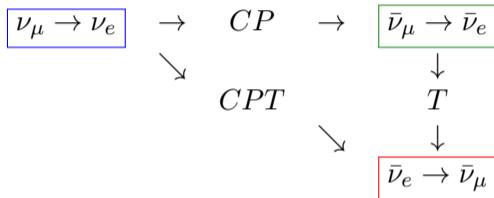


Disappearance measurements are even eigenstates of CP

$$CP[P(\nu_e \rightarrow \nu_e)] = P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \stackrel{CPT}{=} P(\nu_e \rightarrow \nu_e)$$

Assume that CPT is a good symmetry

CP, T: Appearance



Appearance measurements are not eigenstates of CP

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PBD, J. Gehrlein [2302.08513](#)

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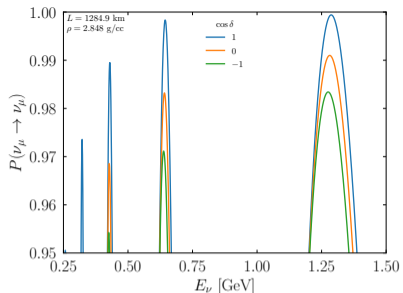
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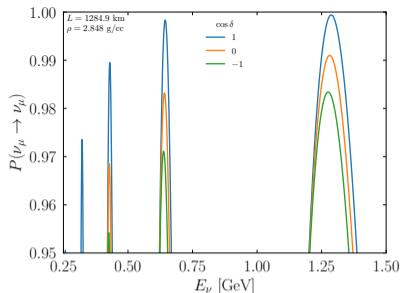
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Sign is wrong

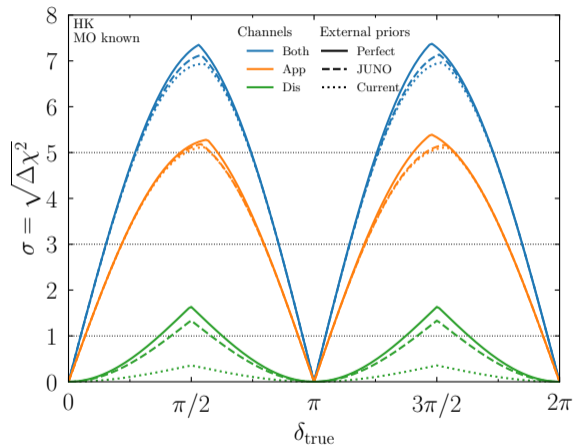
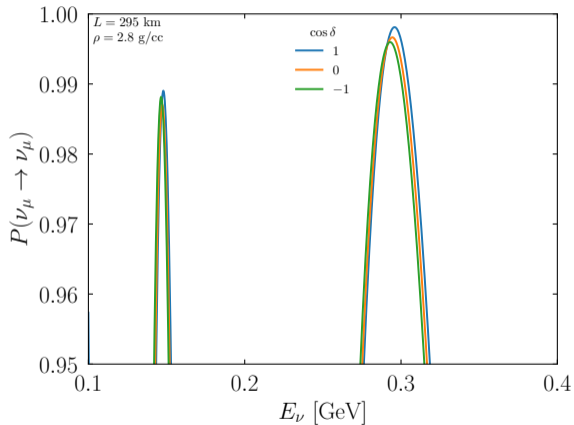
Magnitude is ~ 16 too small

Numerical Studies

Inputs are *only*:

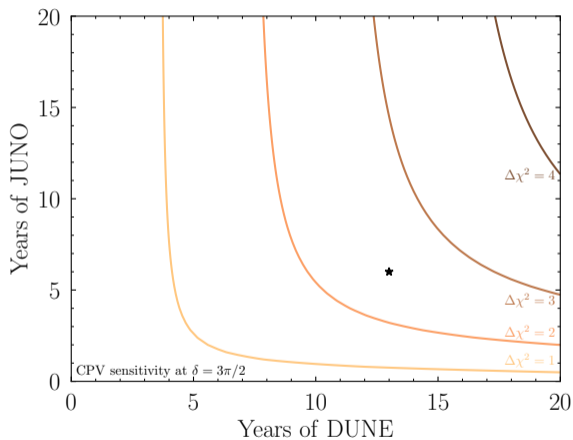
- ▶ Daya Bay data for θ_{13} 1809.02261
- ▶ KamLAND data for θ_{12} and Δm_{21}^2 1303.4667
- ▶ JUNO 6 yrs precision sensitivity on θ_{12} , Δm_{21}^2 , Δm_{31}^2 2204.13249
- ▶ DUNE 6.5+6.5 yrs disappearance channels sensitivity only 2103.04797

JUNO and HK Disappearance Sensitivities



Varying Runtime/Power

Significance to disfavor $|\cos \delta| = 1$ at $\cos \delta = 0$

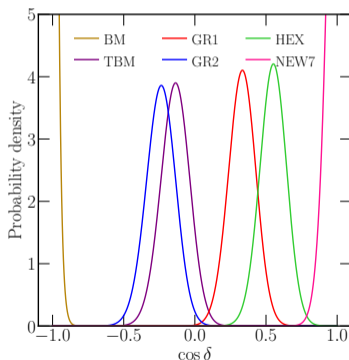


Improvement requires **both** experiments!

The Importance of $\cos \delta$

- ▶ If only $\sin \delta$ is measured \Rightarrow sign degeneracy: $\cos \delta = \pm\sqrt{1 - \sin^2 \delta}$
- ▶ Most flavor models predict $\cos \delta$

J. Gehrlein, et al. [2203.06219](#)



L. Everett, et al. [1912.10139](#)

δ : What is it Really?

