#### Abstract

The nature of CP violation in the lepton sector is one of the biggest open questions in particle physics. Long-baseline accelerator neutrino experiments have the opportunity to determine if CP is violated in the mass matrix. I will discuss some theoretical issues about how CP is parameterized and, in particular, that using  $\delta$  is misleading. Then I will look at the most recent NOvA and T2K data which show a slight and very interesting tension. While this tension possibly indicates a flipping in the mass ordering, it is better fit by new physics such as non-standard neutrino interactions (NSI) with an additional source of CP violation. The strength of this NSI can be easily estimated analytically and I will present a numerical analysis of the preferred regions which are generally consistent with other constraints.

## CP Violation at Long-Baseline Neutrino Experiments

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March 16, 2021

2006.09384 with Rebekah Pestes 2008.01110 with Julia Gehrlein and Rebekah Pestes







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1. Weak interaction: CP **violated** 

J. Cronin, V. Fitch, et al. PRL 13, 138 (1964)

2. Strong interaction: no observed EDM  $\Rightarrow$  CP (nearly) **conserved** 

J. Pendlebury, et al. 1509.04411

- 3. Quark mass matrix: non-zero but small CP violation  $|J_{\text{CKM}}|/J_{\text{max}} = 3 \times 10^{-4}$ CKMfitter 1501.05013
- 4. Lepton mass matrix: ?  $|J_{\rm PMNS}|/J_{\rm max} < 0.34$

PBD, J. Gehrlein, R. Pestes 2008.01110

$$J_{\max} = \frac{1}{6\sqrt{3}} \approx 0.096$$

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#### Overview

- ▶ Different parameterizations lead to different conclusions
- ▶ NOvA and T2K slightly disagree
- ▶ New physics can resolve this

#### Parameterization of the PMNS matrix

A matrix takes us from mass states to flavor states and back

- 1.  $3 \times 3$  C: 18 dof
- 2. +Unitary:  $n^2$  constraints: 9 dof
- 3. +Charged lepton rephasing: 6 dof
- 4. +Neutrino rephasing: 4 dof

Focused on oscillations not  $0\nu\beta\beta$ 

#### Parameterization of the PMNS matrix

Many possible parameterizations in the literature

- 1. Product of three rotations and a complex phase on one rotation
  - Possibly including the same axis twice

H. Fritzsch, Z.-z. Xing hep-ph/0103242

2. Gell-Mann matrices

K. Merfeld, D. Latimer 1412.2728

D. Boriero, D. Schwarz, H. Velten 1704.06139

A. Davydova, K. Zhukovsky PAN 82, 281 (2019)

R. Aleksan, B. Kayser, D. London hep-ph/9403341

L. Wolfenstein PRL 51 1945 (1983)

3. Four complex phases

4. Perturbative

÷

5.

#### Sequence of rotations

$$U_{1} \equiv \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \quad U_{2} \equiv \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \quad U_{3} \equiv \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Location of  $e^{i\delta}$  on  $\pm s_{ij}$  has no impact<sup>\*</sup>

Standard parameterization is  $U_{PDG} \equiv U_{123} = U_1 U_2 U_3$ .

$$U_{\rm PDG} \equiv U_{123} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

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What about other orders?

$$U_{123}, \, U_{132}, \, U_{213}, \, U_{231}, \, U_{312}, \, U_{321}$$

What about repeated rotations?

$$U_{121}, U_{131}, U_{212}, U_{232}, U_{313}, U_{323}$$

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#### Complex phase in different parameterizations

- Can relate the complex phase in one parameterization to that in another
- $\triangleright$   $U_{132}$  and  $U_{213}$  similar to  $U_{123}$
- $\delta$  constrained to ~ [150°, 210°] in  $U_{231}, U_{312}, U_{321}$
- ▶ Bands indicate  $3\sigma$  uncertainty on  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$
- ▶ "50% of possible values of  $\delta$ "
  - $\Rightarrow$  parameterization dependent

DUNE TDR II 2002.03005



Repeated rotations in backups

#### The importance of $\cos \delta$



In these parameterizations  $\cos \delta \lesssim -0.8$ 

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### $|U_{e3}|$ is small

Given  $\theta_{12}, \theta_{13}, \theta_{23}$ :

$$\begin{split} |U| &= \begin{pmatrix} 0.822 & 0.550 & 0.150 \\ \sqrt{0.138 + 0.068\cos(\delta_{\rm PDG})} & \sqrt{0.293 - 0.068\cos(\delta_{\rm PDG})} & 0.754 \\ \sqrt{0.186 - 0.068\cos(\delta_{\rm PDG})} & \sqrt{0.405 + 0.068\cos(\delta_{\rm PDG})} & 0.640 \end{pmatrix} \\ &\qquad |U_{\alpha i}| > 0.23 \quad \text{except} \quad |U_{e3}| = 0.15 \\ \text{In } U_{231}, U_{312}, U_{321}: & \\ &\qquad |U_{e3}| = \sqrt{A + B\cos(\delta')} \end{split}$$

A, B > 0

Requires a partial cancellation  $\Rightarrow \cos(\delta') \sim -1$ 

Terms with sums or differences are "complicated"

Terms without are "simple"

### Quick approximation

Can easily related  $\delta_{\text{PDG}} \rightarrow \delta'$ :

- ►  $\delta' \approx \delta_{\text{PDG}}$  in  $U_{132}$  and  $U_{213}$
- $\blacktriangleright \sin(\delta') \approx d_{ijk} \sin(\delta_{\rm PDG})$

$$d_{231} \approx s_{13} \frac{1 - s_{12}^2 c_{23}^2}{s_{12} c_{12} s_{23} c_{23}} \approx 0.57$$
$$d_{312} \approx s_{13} \frac{1 - c_{12}^2 s_{23}^2}{s_{12} c_{12} s_{23} c_{23}} \approx 0.39$$
$$d_{321} \approx s_{13} \frac{1 - s_{12}^2 s_{23}^2}{s_{12} c_{12} s_{23} c_{23}} \approx 0.54$$



 $\theta_{23} > 45^{\circ}$  here

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#### Precision on $\delta$



"For instance, the CKM angle  $\gamma$ , which is a very close analog of  $\delta$  in the neutrino sector, is determined to  $70.4^{+4.3}_{-4.4}$  and thus, a precision target for  $\delta$  of roughly 5° would follow."

"A  $3\sigma$  distinction between models translates into a target precision for  $\delta$  of 5°."

> A. de Gouvea, et al. Snowmass 2013 Neutrino Working Group 1310.4340

Precision on  $\delta$  is parameterization dependent

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#### CP violation in oscillations

In vacuum at first maximum:

$$P_{\mu e} - \bar{P}_{\mu e} \approx 8\pi J \frac{\Delta m_{21}^2}{\Delta m_{32}^2}$$
$$J \equiv s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \sin \delta$$

C. Jarlskog PRL 55, 1039 (1985)

Extracting δ from data requires every other oscillation parameter
J requires only Δm<sup>2</sup><sub>21</sub> (up to matter effects)

Matter effects are easily accounted for

PBD, S. Parke 1902.07185 PBD, H. Minakata, S. Parke 1604.08167

2006.09384

#### Jarlskog parameter space

- ▶ 50%  $\delta$  space is parameterization dependent
- ▶  $\Delta\delta$  is parameterization dependent
- ►  $\delta_{\text{PDG}} = \pi/2, \, 3\pi/2 \neq \text{maximal CP violation}$

### Jarlskog parameter space

- $\blacktriangleright~50\%~\delta$  space is parameterization dependent
- $\Delta \delta$  is parameterization dependent
- ►  $\delta_{\text{PDG}} = \pi/2, \, 3\pi/2 \neq \text{maximal CP violation}$

Maximal CP violation is already ruled out:

1.  $\theta_{12} \neq 45^{\circ}$  at  $\sim 15\sigma$ 2.  $\theta_{13} \neq \tan^{-1} \frac{1}{\sqrt{2}} \approx 35^{\circ}$  at many  $\sigma$ 3.  $\theta_{23} = 45^{\circ}$  allowed at  $\sim 1\sigma$ 



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#### **Optimal Parameterization**

Want to be able to write

$$P \approx \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E}\right)$$

- 1. Solar/long-baseline reactor:  $U_{e2}$
- 2. Medium-baseline reactor:  $U_{e3}$
- 3. Atmospheric/long-baseline accelerator disappearance:  $U_{\mu3}$

Want these "simple" not the sum/difference of trig functions

	$U_{123}$	$U_{132}$	$U_{213}$	$U_{231}$	$U_{312}$	$U_{321}$
$ U_{e2} $	1	1	×	×	1	×
$ U_{e3} $	1	1	1	×	×	×
$ U_{\mu 3} $	1	×	1	1	×	×

Other priorities (theoretical, computational, ...) may prefer different parameterizations Peter B. Denton (BNL) 2006.09384 MSU: March 16, 2021 15/39

#### **Optimal Parameterization**

Location of the phase?

Conventional:

 $U_{23}(\theta_{23})U_{13}(\theta_{13},\delta)U_{12}(\theta_{12})$ 

Sometimes useful when dealing with matter effect:

 $U_{23}(\theta_{23},\delta)U_{13}(\theta_{13})U_{12}(\theta_{12})$ 

 $\delta$  is the same (up to  $\pm$ ) in each case

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#### Quark mixing

From the PDG,  $V_{\text{CKM}}$  in the  $V_{123}$  parameterization is

$$\theta_{12} = 13.09^{\circ}$$
  $\theta_{13} = 0.2068^{\circ}$   $\theta_{23} = 2.323^{\circ}$   $\delta_{\rm PDG} = 68.53^{\circ}$ 

Looks like "large" CPV:

 $\sin \delta_{\rm PDG} = 0.93 \sim 1$ 

yet  $J_{\rm CKM}/J_{\rm max} = 3 \times 10^{-4}$ .

Switch to  $V_{212}$  parameterization,  $\Rightarrow \delta' = 178.9^{\circ}$  and  $\sin \delta' = 0.0197$ 

One caveat in support of  $\delta$ 

# If the goal is ${\bf CP}$ violation the Jarlskog should be used however

#### If the goal is **measuring the parameters** one must use $\delta$

Given  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ , and J, I can't determine the sign of  $\cos \delta$  which is physical e.g.  $P(\nu_{\mu} \rightarrow \nu_{\mu})$  depends on  $\cos \delta$  a tiny bit

► As T2(H)K has almost no  $\cos \delta$  sensitivity, they should focus on J

▶ NOvA/DUNE has some  $\cos \delta$  sensitivity, so both J and  $\delta$  should be reported

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#### Parameterization summary

- $\triangleright$  Phase in different parameterizations can behave quite differently than  $\delta_{\rm PDG}$
- ▶ Maximal CP violation is ruled out
- ▶ CP violation should be presented in terms of the Jarlskog coefficient
- ▶ PDG parameterization is great

#### CP violation at NOvA and T2K?

Excitement at Neutrino2020 last summer!



A. Himmel 10.5281/zenodo.3959581

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## Significances are low

What kinds of new physics is there if NOvA(DUNE) and T2(H)K continue to disagree?

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### Mass ordering?

Measuring the mass ordering is important in of itself Phenomenological implications:

- ► Affects cosmology
- ► Affects  $0\nu\beta\beta$
- ▶ Affects end point measurements
- ► Affects  $C\nu B$

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The NOvA+T2K issue is *slightly* resolved by swapping the mass ordering

- 1. NOvA and T2K both prefer NO over IO
- 2. NOvA+T2K prefers IO over NO
- 3. SK still prefers NO over IO
- 4. NOvA+T2K+SK still prefers NO over IO
- 5. Daya Bay & RENO provide some information

K. Kelly, et al. 2007.08526

I. Esteban, et al. 2007.14792

PBD, J. Gehrlein, R. Pestes 2008.01110

Sign of  $\delta$  is such that:

1.  $\delta = 3\pi/2$ 

2. Electron neutrino appearance at first maximum results in a "large" probability.

Flip an odd number of these and the probability becomes "small" Flip an even number and probability remains "large"

#### New physics

If this is new physics what could lead to this kind of effect?

- ► Steriles?
- ► Decay?
- ► Decoherence?
- ▶ Dark matter interaction?
- ► LIV/CPT?
- ▶ Unitary violation?

D. Forero, et al. 2103.01998

- ▶ NSI with complex CP violating phases
  - 1. Different matter effects  $\Rightarrow$  different NSI effect
  - 2. New phases partially degenerate with standard phase
  - 3. T2K is closer to vacuum so they measure the vacuum parameters
  - 4. NOvA measures "vacuum" + "NSI"

NSI review

$$\mathcal{L}_{\rm NSI} = -2\sqrt{2}G_F \sum_{\alpha,\beta,f,P} \epsilon_{\alpha\beta}^{f,P} (\bar{\nu}_{\alpha}\gamma^{\mu}\nu_{\beta})(\bar{f}\gamma_{\mu}f)$$

Models with large NSIs consistent with CLFV:

Y. Farzan, I. Shoemaker 1512.09147
Y. Farzan, J. Heeck 1607.07616
D. Forero and W. Huang 1608.04719
K. Babu, A. Friedland, P. Machado, I. Mocioiu 1705.01822
PBD, Y. Farzan, I. Shoemaker 1804.03660
U. Dey, N. Nath, S. Sadhukhan 1804.05808
Y. Farzan 1912.09408

Affects oscillations via new matter effect

$$H = \frac{1}{2E} \left[ UM^2 U^{\dagger} + a \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon^*_{e\mu} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon^*_{e\tau} & \epsilon^*_{\mu\tau} & \epsilon_{\tau\tau} \end{pmatrix} \right]$$

Matter potential  $a \propto G_F \rho E$ 

B. Dev, K. Babu, PBD, P. Machado, et al. 1907.00991

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#### 2008.01110

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#### NSI parameters

Many parameters:

- ▶ Neutrino flavor: 3 diagonal  $+ 3 \times 2$  flavor changing 9
- Matter fermion: u, d, e: 3 27
- $\blacktriangleright$  V vs. A (or L vs. R): 2 54

If SPVAT then 135

Generally leads to  $\nu\nu$  interactions in SNe and early universe:  $\times 2 \rightarrow 270$ 

- For oscillations u, d, e doesn't matter (much)
- ▶ Focus on V for propagation effects
- ▶ Since we want CP violation, focus on flavor changing

6 parameters:  $|\epsilon_{e\mu}|e^{i\phi_{e\mu}}$   $|\epsilon_{e\tau}|e^{i\phi_{e\tau}}$   $|\epsilon_{\mu\tau}|e^{i\phi_{\mu\tau}}$ 

Take one of these three at a time

There is a mapping between vacuum parameters with and without NSI that depends on  $\rho$ , E:

 $UM^{2}U^{\dagger} + A + N = \widetilde{U}\widetilde{M}^{2}\widetilde{U}^{\dagger} + A$ Vacuum SM NSI apparent SM matter matter vacuum matter

Works for off-axis experiments

Ansatz:

- ▶ The data is well described by NSI
- ▶ NSI mainly modifies  $\delta$ :

$$P(\epsilon, \delta_{\text{true}}) \approx P(\epsilon = 0, \delta_{\text{meas}})$$
  
$$\bar{P}(\epsilon, \delta_{\text{true}}) \approx \bar{P}(\epsilon = 0, \delta_{\text{meas}})$$

Leverage approximate expressions for NSI in LBL

T. Kikuchi, H. Minakata, S. Uchinami0809.3312

#### Estimate size of effect: magnitude

$$|\epsilon_{e\beta}| \approx \frac{s_{12}c_{12}c_{23}\pi\Delta m_{21}^2}{2s_{23}w_{\beta}} \left| \frac{\sin\delta_{\mathrm{T2K}} - \sin\delta_{\mathrm{NOvA}}}{a_{\mathrm{NOvA}} - a_{\mathrm{T2K}}} \right| \approx \begin{cases} 0.22 & \text{for } \beta = \mu \\ 0.24 & \text{for } \beta = \tau \end{cases}$$

 $w_{\beta} = s_{23}, c_{23} \text{ for } \beta = \mu, \tau$ Assumed upper octant  $\theta_{23} > 45^{\circ}$ 

Consistency checks:

- $\blacktriangleright \sin \delta_{\rm NOvA} = \sin \delta_{\rm T2K} \Rightarrow |\epsilon| = 0$
- ▶  $\sin \delta_{\text{NOvA}} \neq \sin \delta_{\text{T2K}}$  and  $a_{\text{NOvA}} = a_{\text{T2K}} \Rightarrow |\epsilon| \rightarrow \infty$

▶ Octant:

- 1. LBL is governed by  $\nu_3$
- 2. Upper octant  $\Rightarrow \nu_3$  is more  $\nu_{\mu}$
- 3. More  $\nu_{\mu} \Rightarrow$  need less new physics coupling to  $\nu_{\mu}$  to produce a given effect

Estimate size of effect: NSI phase

Under the ansatz, if  $\delta_{\text{NOvA}} \neq \delta_{\text{T2K}}$ 

$$\sin(\delta_{\rm true} + \phi_{e\beta}) \approx 0$$

Since  $a_{\text{NOvA}} > a_{\text{T2K}}$  and the data suggests  $\sin \delta_{\text{T2K}} \lesssim \sin \delta_{\text{NOvA}}$ :

$$\cos(\delta_{\rm true} + \phi_{e\beta}) \approx -1$$
$$\delta_{\rm true} \approx \delta_{\rm T2K} \qquad \Rightarrow \qquad \phi_{e\beta} \approx \frac{3}{2}\pi$$

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#### Estimate size of effect: measured phases

 $\sin \delta_{\rm true} \approx \frac{\sin \delta_{\rm NOvA} a_{\rm T2K} - \sin \delta_{\rm T2K} a_{\rm NOvA}}{a_{\rm T2K} - a_{\rm NOvA}}$ 

Since 
$$\sin \delta_{\rm T2K} \sim -1$$
 this suggests  $\sin \delta_{\rm true} < -1$ 

Alleviated by:

- ► Statistical fluctuations
- ▶ Relaxing the ansatz that only  $\delta$  matters



## How good are these approximations? How significant?

#### Approximate the experiments

Appearance:

$$n(\nu_e) = xP(\nu_\mu \to \nu_e) + yP(\bar{\nu}_\mu \to \bar{\nu}_e) + z$$

Fit to all points on bievent plots for  $\nu$ ,  $\bar{\nu}$ , NOvA, T2K

Wrong sign leptons are non-zero at high significance

#### **Disappearance**: NOvA:

$$|\Delta m_{32}^2| = (2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2$$
 and  $4|U_{\mu3}|^2(1 - |U_{\mu3}|^2) = 0.99 \pm 0.02$ 

K. Kelly, et al. 2007.08526

T2K:  $\Delta m_{32}^2$  and  $\theta_{23}$  likelihoods

Assume that  $P_{\mu\mu} \approx \bar{P}_{\mu\mu}$  and that most info comes from disappearance

NOvA:  $E\sim 1.9~{\rm GeV},\,\rho=2.84~{\rm g/cc},\,L=810~{\rm km}$ 

T2K:  $E \sim 0.6$  GeV,  $\rho = 2.60$  g/cc, L = 295 km

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2008.01110

#### Other experiments

Use other vacuum experiments to constrain other parameters independent of NSI:

▶ Daya Bay: Constrains  $\theta_{13}$  and  $\Delta m_{32}^2$  for each atmospheric mass ordering

Daya Bay 1809.02261

► KamLAND: Constrains  $\theta_{12}$  and  $|\Delta m_{21}^2|$ 

KamLAND 1303.4667

SNO tells us  $\Delta m_{21}^2 > 0$ 

or  $\theta_{12} < 45^{\circ}$  depending on definition, see PBD 2003.04319 This depends on NSI but LBL parameters don't cancel

#### Standard oscillation parameters



Can see that the combination doesn't like the NO while it does like the IO IO preferred over NO at  $\Delta\chi^2 = 2.3$ 

#### NSI parameters



Orange is preferred over SM at integer values of  $\Delta \chi^2$ , dark gray is disfavored at 4.61 T. Ehrhardt, IceCube PPNT (2019)  $\epsilon_{\mu\tau}$ , IO in backups

#### 2008.01110

#### NSI parameters

#### Analytic estimations:

$$|\epsilon_{e\mu}| \approx 0.22$$
  $|\epsilon_{e\tau}| \approx 0.24$   $\phi_{e\beta}/\pi \approx 1.5$   $\delta/\pi \approx 1.5$ 

Numerical fit:

MO	NSI	$ \epsilon_{lphaeta} $	$\phi_{lphaeta}/\pi$	$\delta/\pi$	$\Delta\chi^2$
	$\epsilon_{e\mu}$	0.19	1.50	1.46	4.44
NO	$\epsilon_{e\tau}$	0.28	1.60	1.46	3.65
	$\epsilon_{\mu au}$	0.35	0.60	1.83	0.90
	$\epsilon_{e\mu}$	0.04	1.50	1.52	0.23
IO	$\epsilon_{e\tau}$	0.15	1.46	1.59	0.69
	$\epsilon_{\mu au}$	0.17	0.14	1.51	1.03

$$\Delta\chi^2=\chi^2_{\rm SM}-\chi^2_{\rm NSI}$$
 For the SM:  $\chi^2_{\rm NO}-\chi^2_{\rm IO}=2.3$ 

## Other CP violating NSI constraints

NSI effects grow with energy, density, and distance Best probes:

- $\blacktriangleright \epsilon_{\mu\tau}$ : atmospheric
- ▶  $\epsilon_{e\mu}, \epsilon_{e\tau}$ : LBL appearance, atmospheric
- ► IceCube
  - Constraint is at LBL best fit with 3 yrs
    - $10~{\rm yrs}$  of data in the bank
  - ▶ Prefers non-zero  $|\epsilon_{e\mu}|$  at ~  $1\sigma$
- ► Super-K
  - Only consider real NSI
  - ▶ Comparable sensitivity as IceCube
- ► COHERENT
  - ▶ Only applies to NSI models with  $M_{Z'} \gtrsim 10 \text{ MeV}$
  - ▶ NSI u, d, e configuration matters
  - Comparable constraints





T. Ehrhardt, IceCube PPNT (2019)

Super-K 1109.1889

COHERENT 1708.01294 PBD, Y. Farzan, I. Shoemaker 1804.03660 PBD, J. Gehrlein 2008.06062

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#### Summary

- ▶ Care is required in choice of parameterizations
- ▶ Jarlskog is best for CP violation
- $\blacktriangleright$  NOvA and T2K tension can be mitigated by NO  $\rightarrow$  IO
- ▶ Tension can be fully resolved by NSI
- ▶ Easy to approximate magnitude and phase of NSI
- ▶ NSI introduces more CP violation
- ▶ Consistent with, and soon tested by, other experiments

## Thanks!

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2006.09384 & 2008.01110

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## Backups

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D. Forero, M. Tortola, J. Valle 1405.7540

P. de Salas, et al. 1708.01186

F. Capozzi et al. 2003.08511

#### Repeated rotations



Note that  $e^{i\delta}$  must be on first or third rotation



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#### NSI parameters: IO



### NSI parameters: $\epsilon_{\mu\tau}$



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