

Abstract

As long-baseline efforts are ramped up over coming years, it is important understand how the presence of matter affects neutrino oscillations. In this talk I will discuss precision oscillation probability formulas with matter effects. We have developed expressions that are simple, precise, and an actual expansion in the small parameters: $\sin^2 \theta_{13}$ and $\Delta m_{21}^2/\Delta m_{31}^2$. In addition, our expressions return to the exact expression in vacuum. I will also present some recent results on understanding CP violation in matter which show that the matter effect of the Jarlskog simply factorizes into atmospheric and solar contributions.

Neutrino Oscillation Probabilities in Matter

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Neutrino Oscillation Parameters Status

Six parameters:

1. $\theta_{13} = (8.6 \pm 0.1)^\circ$
2. $\theta_{12} = (33.8 \pm 0.8)^\circ$
3. $\Delta m_{21}^2 = (7.4 \pm 0.2) \times 10^{-5} \text{ eV}^2$
4. $\theta_{23} \sim 45^\circ$ (octant)
5. $|\Delta m_{31}^2| = (2.52 \pm 0.03) \times 10^{-3} \text{ eV}^2$ (mass ordering)
6. $\delta = ???$

NuFIT, 1811.05487

PMNS order allows for easy measurement of θ_{13} and θ_{12} .
 θ_{23} and δ_{CP} require full three-flavor description.

Analytic Oscillation Probabilities in Matter

- ☒ Solar: $P_{ee} \simeq \sin^2 \theta_\odot$

Approx: S. Mikheev, A. Smirnov, [Nuovo Cim. C9 \(1986\) 17-26](#)

Exact: S. Parke, [PRL 57 \(1986\) 2322](#)

- ☒ Long-baseline: All three flavors

Exact: H. Zaglauer, K. Schwarzer, [Z.Phys. C40 \(1988\) 273](#)

Approx: PBD, H. Minakata, S. Parke, [1604.08167](#)

- ☒ ν_e disappearance (neutrino factory):

$$\Delta\widehat{m^2}_{ee} = \widehat{m^2}_3 - (\widehat{m^2}_1 + \widehat{m^2}_2 - \Delta m_{21}^2 c_{12}^2)$$

PBD, S. Parke, [1808.09453](#)

- ☐ Atmospheric

The Billion Dollar Question

What is $P(\nu_\mu \rightarrow \nu_e)$?

$$P({}^{\langle}\bar{\nu}_{\mu} \rightarrow {}^{\langle}\bar{\nu}_e) = |\mathcal{A}_{\mu e}|^2 \quad \mathcal{A}_{\mu e} = \mathcal{A}_{31} + e^{\pm i \Delta_{32}} \mathcal{A}_{21}$$

$$\mathcal{A}_{31} = 2 s_{13} c_{13} s_{23} \sin \Delta_{31}$$

$$\mathcal{A}_{21} = 2 s_{12} c_{13} (c_{12} c_{23} e^{i\delta} - s_{12} s_{13} s_{23}) \sin \Delta_{21}$$

$$\Delta_{ij} = \Delta m^2 {}_{ij} L / 4E$$

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$$\Delta_{ij} = \Delta m^2 {}_{ij} L / 4E$$

...in matter?

Now: NOvA, T2K, MINOS, ...

Upcoming: DUNE, T2HK, ...

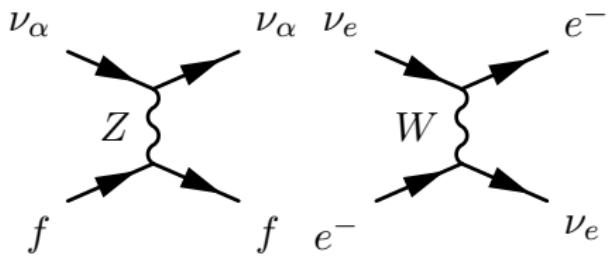
Second maximum: T2HKK? ESSnuSB? ...

Matter Effects Matter

Call Schrödinger equation's eigenvalues m_i^2 and eigenvectors U_i .

$$\mathcal{A}(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i=1}^3 U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} \quad P = |\mathcal{A}|^2$$

In matter ν 's propagate in a new basis that depends on $a \propto \rho E$.

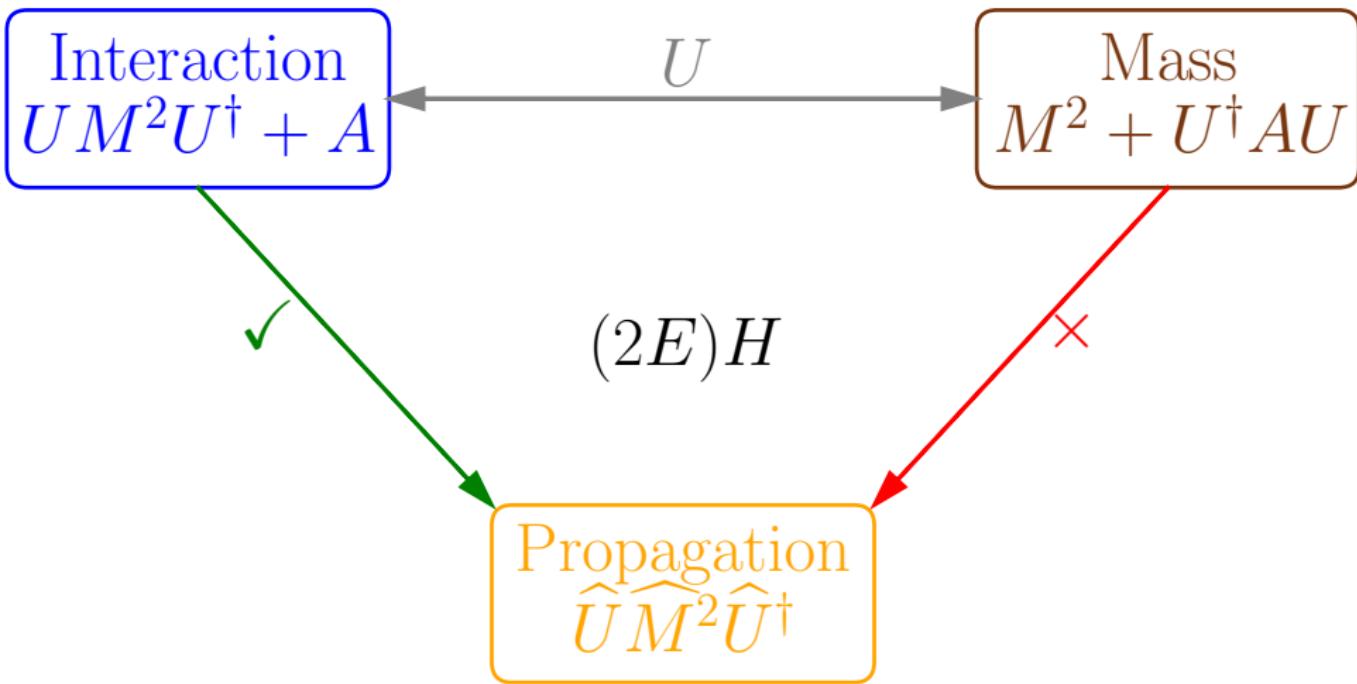


L. Wolfenstein, PRD 17 (1978)

Eigenvalues: $m_i^2 \rightarrow \widehat{m^2}_i(a)$

Eigenvectors are given by $\theta_{ij} \rightarrow \widehat{\theta}_{ij}(a)$ \Leftarrow Unitarity

Three Bases



Hamiltonian Dynamics

$$H = \frac{1}{2E} \left[U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} \textcolor{brown}{a} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right]$$

$$\textcolor{brown}{a} = 2\sqrt{2}G_F N_e E$$

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}$$

Find eigenvalues and eigenvectors:

$$H = \frac{1}{2E} \widehat{U} \begin{pmatrix} 0 & & \\ & \widehat{\Delta m_{21}^2} & \\ & & \widehat{\Delta m_{31}^2} \end{pmatrix} \widehat{U}^\dagger$$

Computationally works, but we can do better than a **black box**...

Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation.

G. Cardano *Ars Magna* 1545

V. Barger, et al., PRD 22 (1980) 2718

H. Zaglauer, K. Schwarzer, Z.Phys. C40 (1988) 273

Eigenvalues ($\widehat{m^2}_i$) and eigenvectors ($\widehat{\theta}, \widehat{\delta}$) depend on S :

$$S = \cos \left\{ \frac{1}{3} \cos^{-1} \left[\frac{2A^3 - 9AB + 27C}{2(A^2 - 3B)^{3/2}} \right] \right\}$$

A, B , and C depend on
vacuum parameters and
matter potential

Traded one **black box** for another...

We're physicists so ...

Perturbation theory

Alternative Solutions

- ▶ Small matter potential: $a/\Delta m^2$

Y. Li, Y. Wang, Z-z. Xing, [1605.00900](#)

- ▶ s_{13}, s_{13}^2

A. Cervera, et al., [hep-ph/0002108](#)

H. Minakata, [0910.5545](#)

K. Asano, H. Minakata, [1103.4387](#)

- ▶ $\Delta m_{21}^2/\Delta m_{31}^2 \sim 0.03$

J. Arafune, J. Sato, [hep-ph/9607437](#)

A. Cervera, et al., [hep-ph/0002108](#)

M. Freund, [hep-ph/0103300](#)

E. Akhmedov, et al., [hep-ph/0402175](#)

S. Agarwalla, Y. Kao, T. Takeuchi, [1302.6773](#)

M. Blennow, A. Smirnov, [1306.2903](#)

H. Minakata, S. Parke, [1505.01826](#)

PBD, H. Minakata, S. Parke, [1604.08167](#)

(See G. Barenboim, **PBD**, S. Parke, C. Ternes [1902.00517](#) for a review)

A Tale of Two Tools

Split the Hamiltonian into:

- ▶ Large, diagonal part (H_0)
- ▶ Small, *off-diagonal* part (H_1)
- ▶ Improves precision at zeroth order
- ▶ Naturally leads to using $\Delta m_{ee}^2 \equiv c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2$

H. Nunokawa, S. Parke, R. Zukanovich, [hep-ph/0503283](#)

1. Rotations:

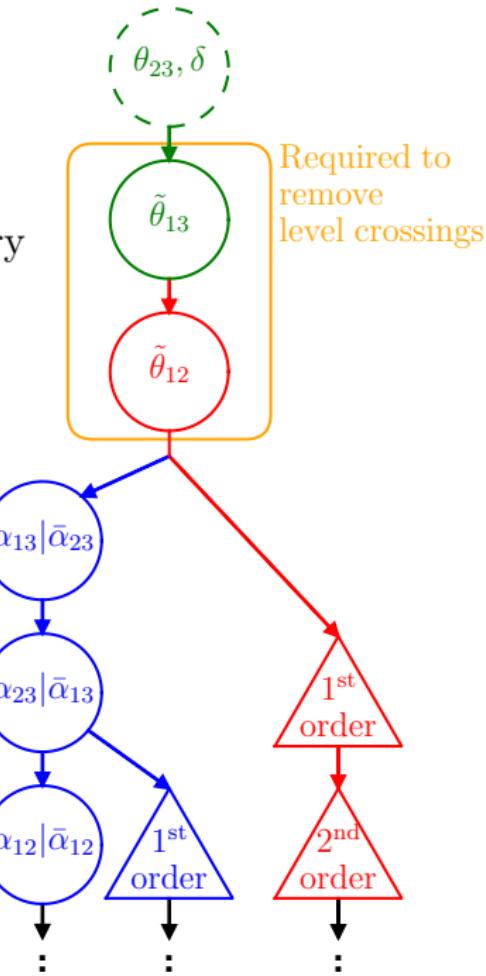
- ▶ A two-flavor rotation only requires solving a quadratic
- ▶ Diagonalize away the big off-diagonal terms
- ▶ Follows the order of the PMNS matrix

2. Perturbative expansion:

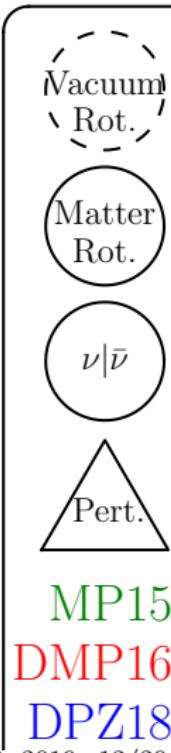
- ▶ Smallness parameter is $|\epsilon'| \leq 0.015$
- ▶ Correct eigenvalues ($\widetilde{m_i^2}$) and eigenvectors ($\widetilde{\theta_{ij}}$)
- ▶ Eigenvalues already include 1st order corrections at 0th order
- ▶ Can improve the precision to arbitrary order

Roadmap

- ▶ Two rotations are necessary
- ▶ Order is lucky



- ▶ Further precision through perturbation theory or rotations



Probability in Matter: DMP 0th

Matter expression \Rightarrow Vacuum expression

$$\tilde{P}_{\alpha\beta}(\Delta m_{21}^2, \Delta m_{31}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta) = P_{\alpha\beta}(\Delta \tilde{m^2}_{21}, \Delta \tilde{m^2}_{31}, \tilde{\theta}_{13}, \tilde{\theta}_{12}, \theta_{23}, \delta)$$

Same expression, 4 new variables.

Probability in Matter: DMP 0th

Matter expression \Rightarrow Vacuum expression

$$\tilde{P}_{\alpha\beta}(\Delta m_{21}^2, \Delta m_{31}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta) = P_{\alpha\beta}(\tilde{\Delta m^2}_{21}, \tilde{\Delta m^2}_{31}, \tilde{\theta}_{13}, \tilde{\theta}_{12}, \theta_{23}, \delta)$$

Same expression, 4 new variables.

$$\cos 2\tilde{\theta}_{13} = \frac{\Delta m_{ee}^2 \cos 2\theta_{13} - a}{\tilde{\Delta m^2}_{ee}}$$

$$\tilde{\Delta m^2}_{ee} = \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

See also [PBD](#), S. Parke, [1808.09453](#)

Probability in Matter: DMP 0th

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See also PBD, S. Parke, [1808.09453](#)

$$\cos 2\tilde{\theta}_{12} = \frac{\Delta m_{21}^2 \cos 2\theta_{12} - a_{12}}{\tilde{\Delta m^2}_{21}}, \quad a_{12} = (a + \Delta m_{ee}^2 - \tilde{\Delta m^2}_{ee})/2$$

$$\tilde{\Delta m^2}_{21} = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a_{12}/\Delta m_{21}^2)^2 + \cos^2(\tilde{\theta}_{13} - \theta_{13}) \sin^2 2\theta_{12}}$$

Probability in Matter: DMP 0th

Matter expression \Rightarrow Vacuum expression

$$\tilde{P}_{\alpha\beta}(\Delta m_{21}^2, \Delta m_{31}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta) = P_{\alpha\beta}(\Delta \tilde{m}^2_{21}, \Delta \tilde{m}^2_{31}, \tilde{\theta}_{13}, \tilde{\theta}_{12}, \theta_{23}, \delta)$$

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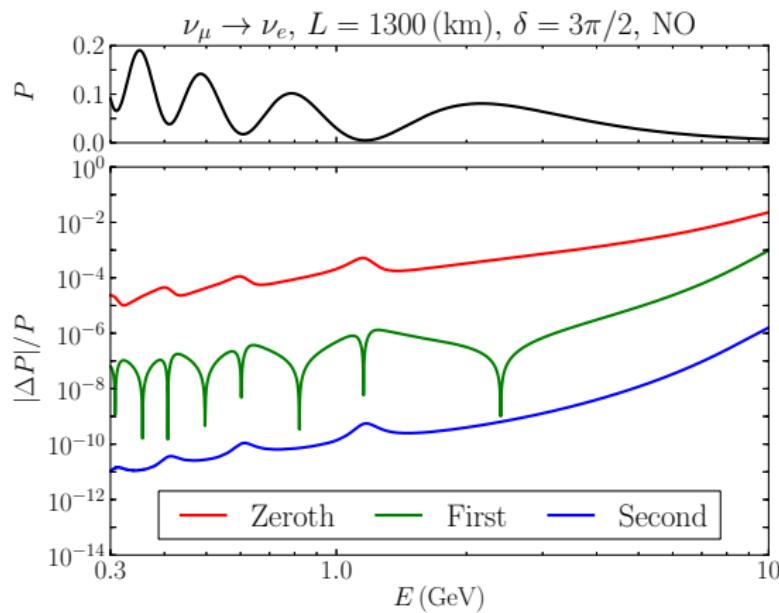
See also PBD, S. Parke, [1808.09453](#)

$$\cos 2\tilde{\theta}_{12} = \frac{\Delta m_{21}^2 \cos 2\theta_{12} - a_{12}}{\Delta \tilde{m}^2_{21}}, \quad a_{12} = (a + \Delta m_{ee}^2 - \Delta \tilde{m}^2_{ee})/2$$

$$\Delta \tilde{m}^2_{21} = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a_{12}/\Delta m_{21}^2)^2 + \cos^2(\tilde{\theta}_{13} - \theta_{13}) \sin^2 2\theta_{12}}$$

$$\Delta \tilde{m}^2_{31} = \Delta m_{31}^2 + \frac{1}{4}a + \frac{1}{2}(\Delta \tilde{m}^2_{21} - \Delta m_{21}^2) + \frac{3}{4}(\Delta \tilde{m}^2_{ee} - \Delta m_{ee}^2)$$

Precision



DUNE: NO, $\delta = 3\pi/2$	First min	First max
$P(\nu_\mu \rightarrow \nu_e)$	0.0047	0.081
$E \text{ (GeV)}$	1.2	2.2
$\frac{ \Delta P }{P}$	Zeroth	5×10^{-4}
	First	3×10^{-7}
	Second	6×10^{-10}
	Second	5×10^{-10}

The CPV Term in Matter

The amount of CPV is

$$P_{\alpha\beta} - \bar{P}_{\alpha\beta} = \pm 16J \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \quad \alpha \neq \beta$$

where the Jarlskog is

$$\begin{aligned} J &\equiv \Im[U_{e1}U_{\mu 2}U_{e2}^*U_{\mu 1}^*] \\ J &= c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23} \sin \delta \end{aligned}$$

C. Jarlskog, [PRL 55 \(1985\)](#)

The exact term in matter is known to be

$$\frac{\widehat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m^2}_{21} \widehat{\Delta m^2}_{31} \widehat{\Delta m^2}_{32}}$$

V. Naumov, [IJMP 1992](#)

P. Harrison, W. Scott, [hep-ph/9912435](#)

Our approximation reproduces this order by order in ϵ'

CPV in Matter

CPV in matter can be written sans $\cos(\frac{1}{3} \cos^{-1}(\dots))$ term.

$$\frac{\widehat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\Delta \widehat{m}_{21}^2 \Delta \widehat{m}_{31}^2 \Delta \widehat{m}_{32}^2}$$

$$\left(\Delta \widehat{m}_{21}^2 \Delta \widehat{m}_{31}^2 \Delta \widehat{m}_{32}^2 \right)^2 = (A^2 - 4B)(B^2 - 4AC) + (2AB - 27C)C$$

$$A \equiv \sum_j \widehat{m}_j^2 = \Delta m_{31}^2 + \Delta m_{21}^2 + a$$

$$B \equiv \sum_{j>k} \widehat{m}_j^2 \widehat{m}_k^2 = \Delta m_{31}^2 \Delta m_{21}^2 + a(\Delta m_{ee}^2 c_{13}^2 + \Delta m_{21}^2)$$

$$C \equiv \prod_j \widehat{m}_j^2 = a \Delta m_{31}^2 \Delta m_{21}^2 c_{13}^2 c_{12}^2$$

This is the *only* oscillation quantity in matter that can be written exactly without $\cos(\frac{1}{3} \cos^{-1}(\dots))$!

CPV in Matter

Thus \hat{J}^2 is fourth order in matter potential:
only two matter corrections are needed.

$$\frac{\hat{J}}{J} = \frac{1}{|1 - (\textcolor{brown}{a}/\alpha_1)e^{i2\theta_1}| |1 - (\textcolor{brown}{a}/\alpha_2)e^{i2\theta_2}|}$$

CPV in Matter

Thus \hat{J}^2 is fourth order in matter potential:
only two matter corrections are needed.

$$\frac{\hat{J}}{J} = \frac{1}{|1 - (\textcolor{brown}{a}/\alpha_1)e^{i2\theta_1}| |1 - (\textcolor{brown}{a}/\alpha_2)e^{i2\theta_2}|}$$

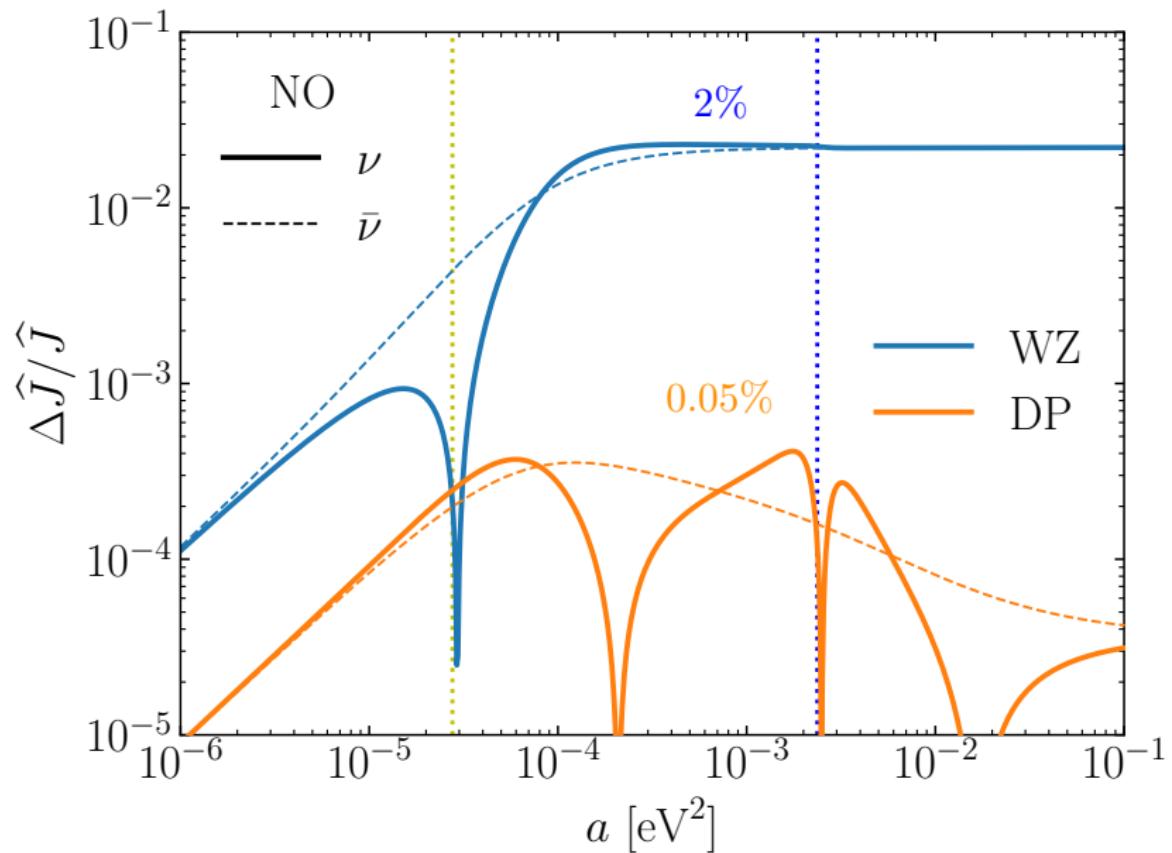
CPV in matter can be well approximated:

$$\frac{\hat{J}}{J} \approx \frac{1}{|1 - (\textcolor{brown}{a}/\Delta m_{ee}^2)e^{i2\theta_{13}}| |1 - (\textcolor{orange}{c}_{13}^2 a/\Delta m_{21}^2)e^{i2\theta_{12}}|}$$

PBD, Parke, [1902.07185](#)

See also X. Wang, S. Zhou, [1901.10882](#)

CPV In Matter Approximation Precision



New Physics

DUNE and T2HK will unprecedented capabilities to test the three-neutrino oscillation picture

Extend DMP to new physics progress report:

- Sterile

S. Parke, X. Zhang, [1905.01356](#)

See Xining's talk tomorrow at 4:45 here!

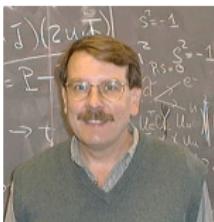
- NSI
- Neutrino decay
- Decoherence
- ...

Key Points

- ▶ Long-baseline oscillations are fundamentally three-flavor
- ▶ Rotate **large terms first** \Rightarrow PMNS order, removes level crossings
- ▶ 0^{th} order probabilities: **same structure as vacuum** probabilities
- ▶ 0^{th} order: **accurate** enough for current & future experiments
- ▶ Exact and approximate CPV in matter are **simpler** than expected

Backups

Analytic Oscillation Probability Collaborators



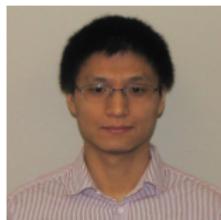
Stephen Parke



Hisakazu Minakata



Gabriela Barenboim



Xining Zhang



Christoph Ternes

[1604.08167](https://doi.org/10.4236/ojs.160408167), [1806.01277](https://doi.org/10.4236/ojs.180601277), [1808.09453](https://doi.org/10.4236/ojs.180809453),

[1902.00517](https://doi.org/10.4236/ojs.190200517), [1902.07185](https://doi.org/10.4236/ojs.190207185), [1907.02534](https://doi.org/10.4236/ojs.190702534)

github.com/PeterDenton/Nu-Pert

github.com/PeterDenton/Nu-Pert-Compare

Variable Matter Density

We assume ρ is constant. Is this okay?

If ρ varies only “slowly,” we can set ρ to the average:

$$\rho(x) \rightarrow \bar{\rho} = \frac{1}{L} \int_0^L \rho(x) dx$$

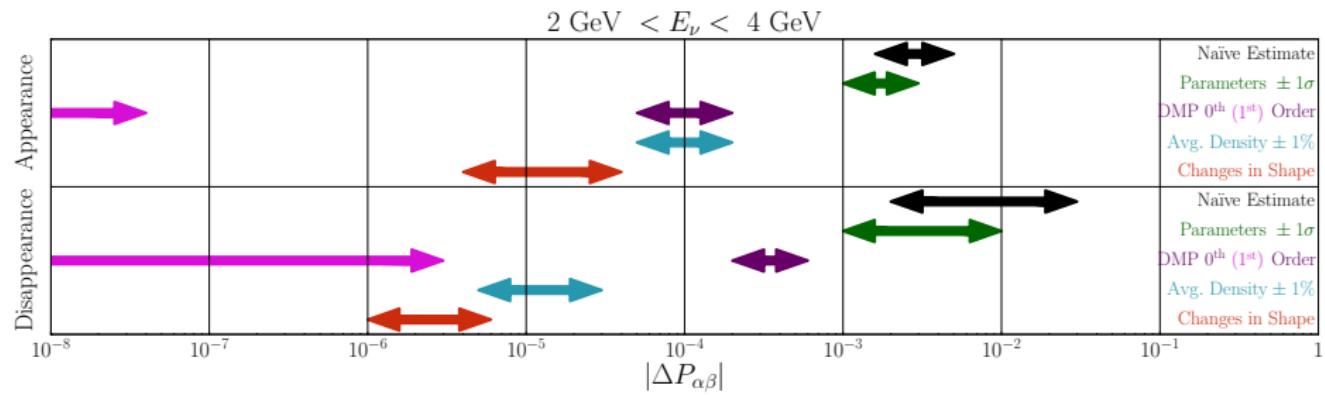
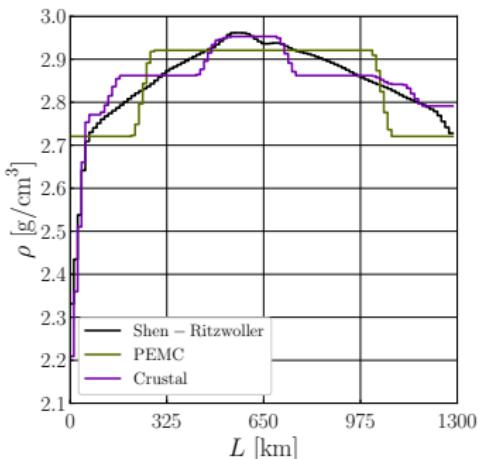
ρ doesn’t vary “too much” when

$$\left| \frac{d\hat{\theta}}{dt} \right| \ll \left| \frac{\Delta \hat{m}^2}{2E} \right|$$

True for DUNE?

Variable Matter Density

This is a great approximation at DUNE: ✓!



K. Kelly, S. Parke, [1802.06784](#)

Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation.

$$\widehat{m^2}_1 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3B}S - \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m^2}_2 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3B}S + \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m^2}_3 = \frac{A}{3} + \frac{2}{3}\sqrt{A^2 - 3B}S$$

$$A = \Delta m_{21}^2 + \Delta m_{31}^2 + a$$

$$B = \Delta m_{21}^2 \Delta m_{31}^2 + a [c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2]$$

$$C = a \Delta m_{21}^2 \Delta m_{31}^2 c_{12}^2 c_{13}^2$$

$$S = \cos \left\{ \frac{1}{3} \cos^{-1} \left[\frac{2A^3 - 9AB + 27C}{2(A^2 - 3B)^{3/2}} \right] \right\}$$

H. Zaglauer, K. Schwarzer, Z.Phys. C40 (1988) 273

Traded one **black box** for another...

Alternative Solutions: Example

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2 \theta_{13}}{\hat{C}^2} \sin^2(\hat{C}\hat{\Delta}), \quad (36a)$$

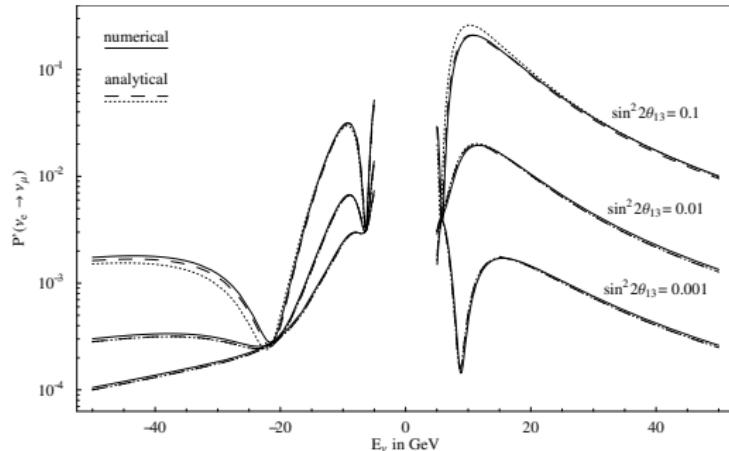
$$\begin{aligned} P_{\sin \delta} &= \frac{1}{2} \alpha \frac{\sin \delta \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \sin 2 \theta_{23}}{\hat{A} \hat{C} \cos \theta_{13}^2} \sin(\hat{C}\hat{\Delta}) \\ &\times \{\cos(\hat{C}\hat{\Delta}) - \cos((1+\hat{A})\hat{\Delta})\}, \end{aligned} \quad (36b)$$

$$\begin{aligned} P_{\cos \delta} &= \frac{1}{2} \alpha \frac{\cos \delta \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \sin 2 \theta_{23}}{\hat{A} \hat{C} \cos \theta_{13}^2} \sin(\hat{C}\hat{\Delta}) \\ &\times \{\sin((1+\hat{A})\hat{\Delta}) \mp \sin(\hat{C}\hat{\Delta})\}, \end{aligned} \quad (36c)$$

$$\begin{aligned} P_1 &= -\alpha \frac{1 - \hat{A} \cos 2 \theta_{13}}{\hat{C}^3} \sin^2 \theta_{12} \sin^2 2 \theta_{13} \sin^2 \theta_{23} \Delta \\ &\times \sin(2\hat{C}\hat{\Delta}) + \alpha \frac{2\hat{A}(-\hat{A} + \cos 2 \theta_{13})}{\hat{C}^4} \\ &\times \sin^2 \theta_{12} \sin^2 2 \theta_{13} \sin^2 \theta_{23} \sin^2(\hat{C}\hat{\Delta}), \end{aligned} \quad (36d)$$

$$\begin{aligned} P_2 &= \alpha \frac{\mp 1 + \hat{C} \pm \hat{A} \cos 2 \theta_{13}}{2\hat{C}^2 \hat{A} \cos^2 \theta_{13}} \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \\ &\times \sin 2 \theta_{23} \sin^2(\hat{C}\hat{\Delta}), \end{aligned} \quad (36e)$$

$$\begin{aligned} P_3 &= \alpha^2 \frac{2\hat{C} \cos^2 \theta_{23} \sin^2 \theta_{12}}{\hat{A}^2 \cos^2 \theta_{13} (\mp \hat{A} + \hat{C} \pm \cos 2 \theta_{13})} \\ &\times \sin^2 \left(\frac{1}{2} (1 + \hat{A} \mp \hat{C}) \Delta \right). \end{aligned} \quad (36f)$$



M. Freund, [hep-ph/0103300](https://arxiv.org/abs/hep-ph/0103300)

“What is Δm_{ee}^2 ? ”

$$\Delta m_{ee}^2 = c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2 = \Delta m_{31}^2 - s_{12}^2 \Delta m_{21}^2$$

H. Nunokawa, S. Parke, R. Funchal, [hep-ph/0503283](#)

S. Parke, [1601.07464](#)

Additional expressions for $\Delta m_{\mu\mu}^2, \Delta m_{\tau\tau}^2$

Useful definitions:

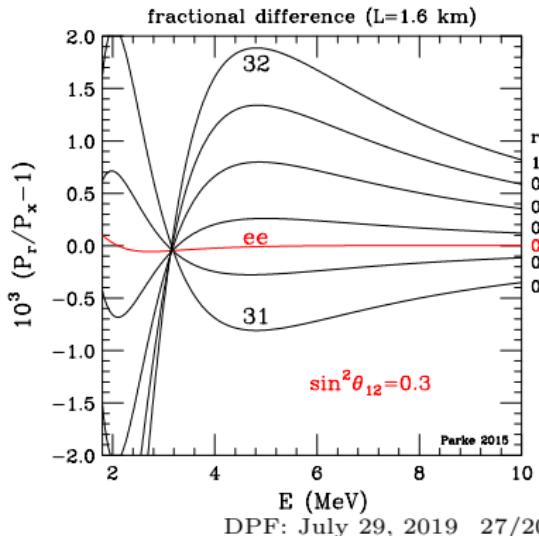
- ▶ ν_e weighted average of atmospheric splittings:

$$m_3^2 - \frac{|U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2}{|U_{e1}|^2 + |U_{e2}|^2}$$

- ▶ Measured by reactor experiments with smallest L/E error
- ▶ Simple form:

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \Delta_{ee}$$

$$\Delta_{ij} = \Delta m^2_{ij} L / 4E$$



Neutrino Oscillations in Vacuum: Disappearance

It is easy to calculate the *exact* disappearance expression in vacuum:

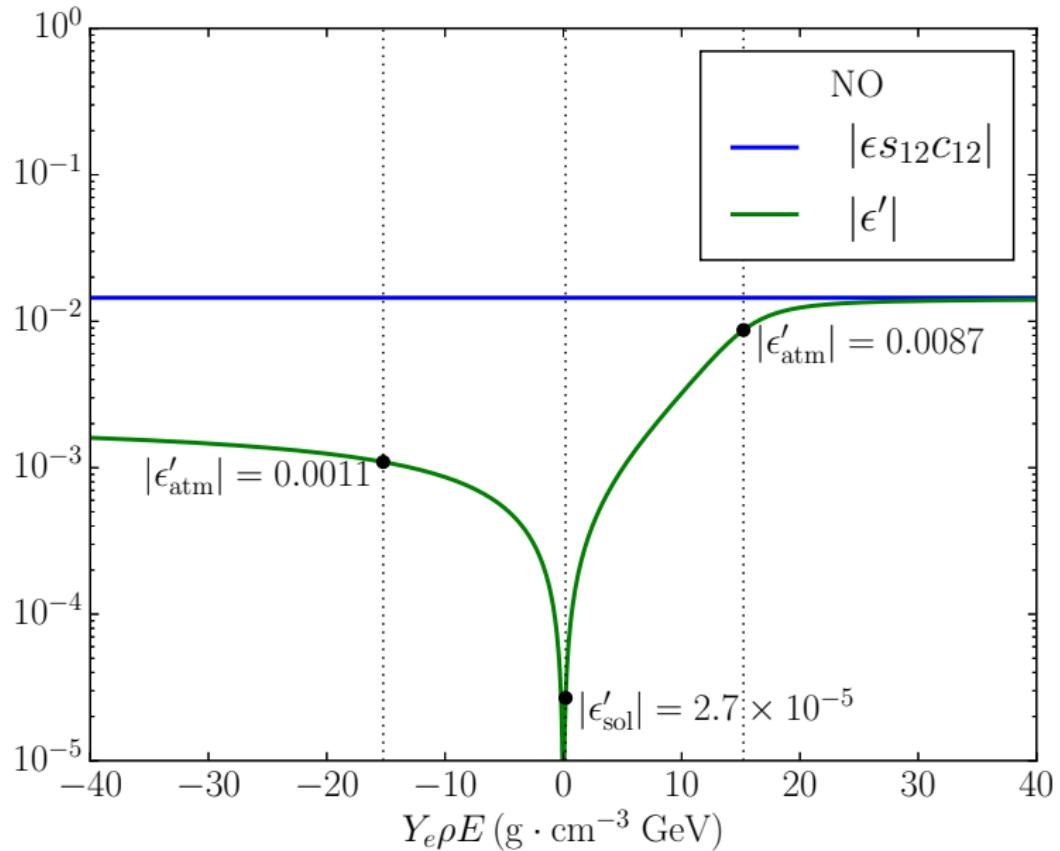
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - 4 \sum_{i < j} |U_{\alpha i}|^2 |U_{\alpha j}|^2 \sin^2 \Delta_{ji}$$

For the electron case this expression is simple:

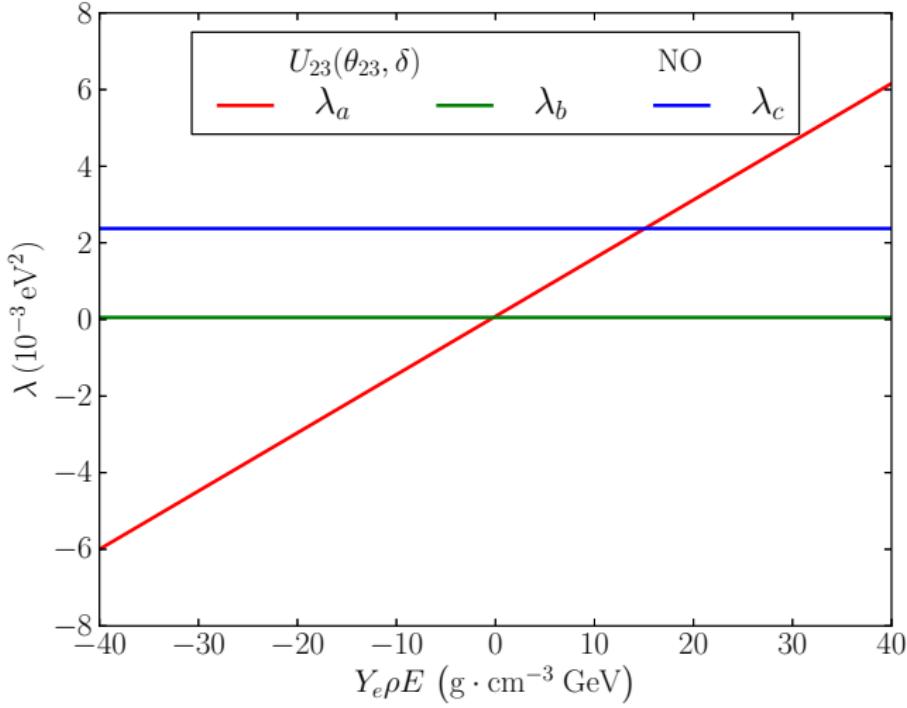
$$\begin{aligned} P(\nu_e \rightarrow \nu_e) = 1 \\ &\quad - 4c_{12}^2 s_{12}^2 c_{13}^4 \sin^2 \Delta_{21} \\ &\quad - 4c_{12}^2 c_{13}^2 s_{13}^2 \sin^2 \Delta_{31} \\ &\quad - 4s_{12}^2 c_{13}^2 s_{13}^2 \sin^2 \Delta_{32} \end{aligned}$$

$$\begin{aligned} \Delta_{ij} &= \frac{\Delta m_{ij}^2 L}{4E} \\ \Delta m_{ij}^2 &= m_i^2 - m_j^2 \end{aligned}$$

Expansion Parameter

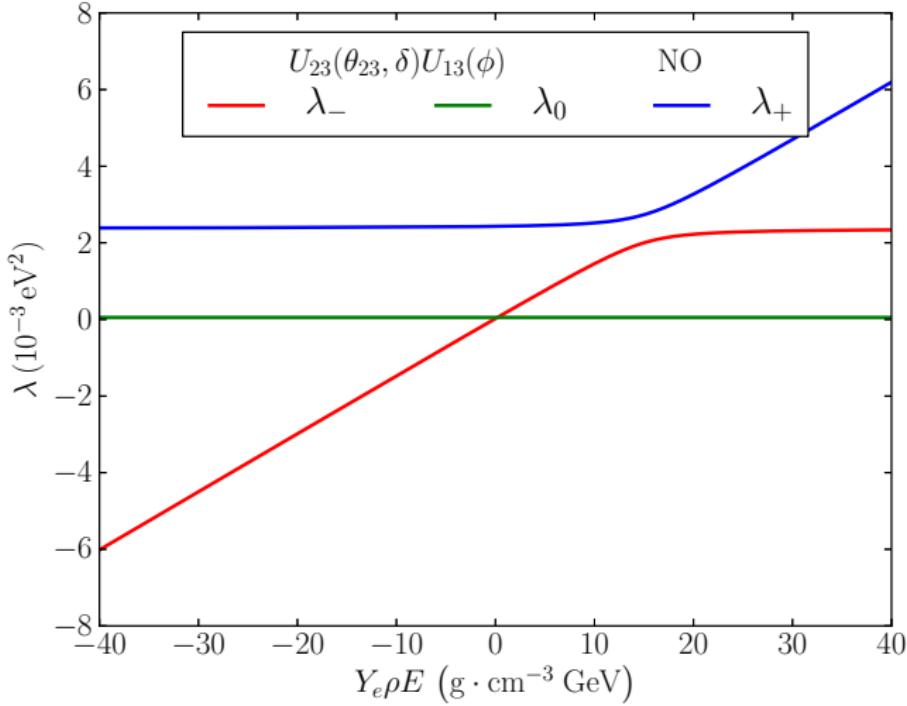


Eigenvalues in Matter: Two Rotations are Needed



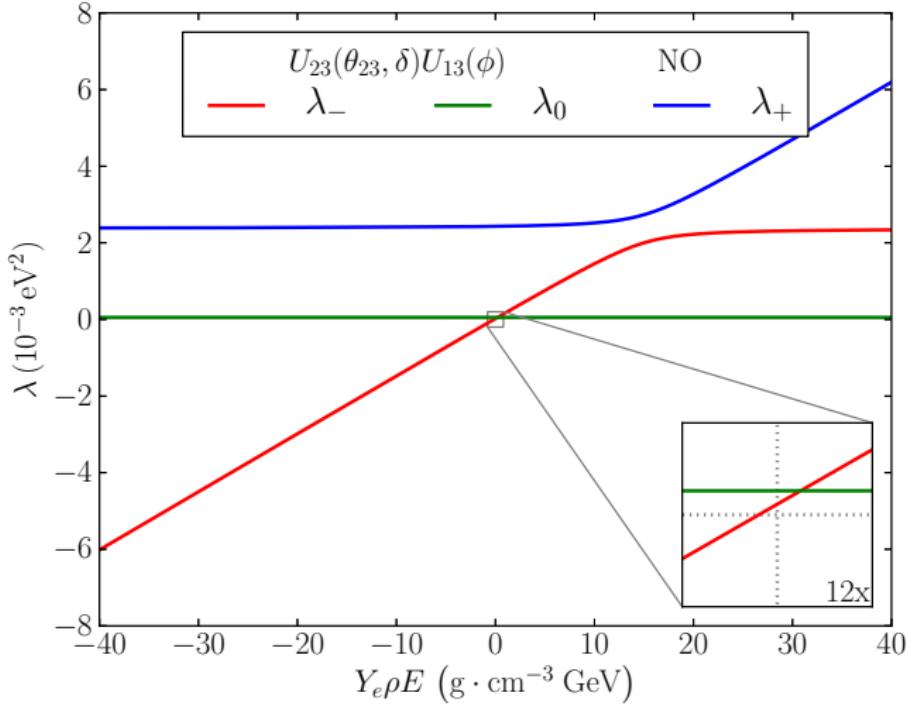
$$\widetilde{m^2}_a = a + (s_{13}^2 + \epsilon s_{12}^2) \Delta m_{ee}^2, \quad \widetilde{m^2}_b = \epsilon c_{12}^2 \Delta m_{ee}^2, \quad \widetilde{m^2}_c = (c_{13}^2 + \epsilon s_{12}^2) \Delta m_{ee}^2$$

Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_{\mp}^2 = \frac{1}{2} \left[(\widetilde{m}_a^2 + \widetilde{m}_c^2) \mp \text{sgn}(\Delta m_{ee}^2) \sqrt{(\widetilde{m}_c^2 - \widetilde{m}_a^2)^2 + (2s_{13}c_{13}\Delta m_{ee}^2)^2} \right]$$
$$\widetilde{m}_0^2 = \widetilde{m}_b^2$$

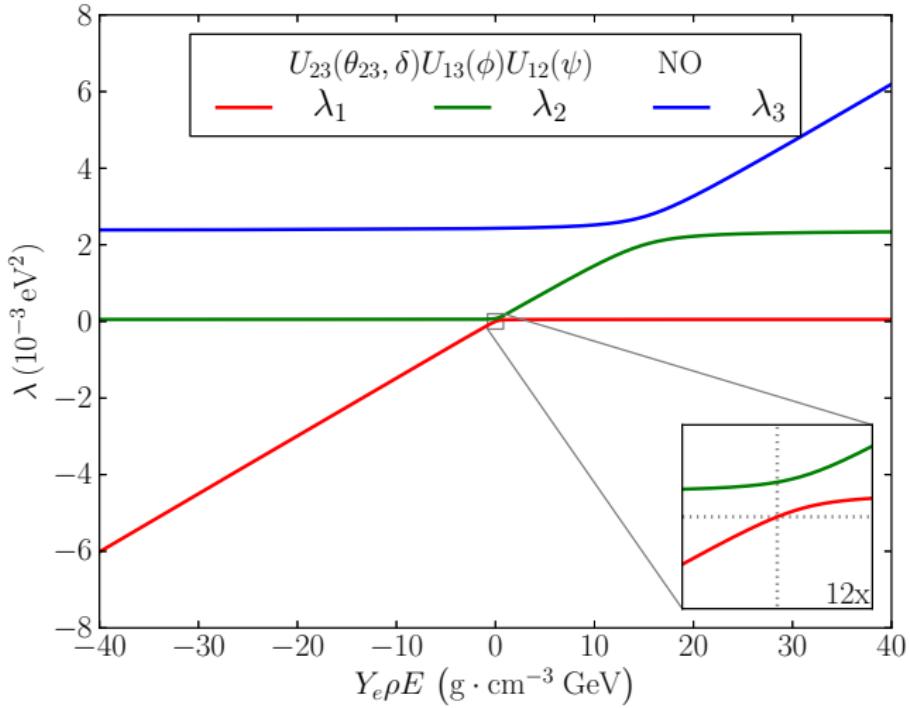
Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_{\mp}^2 = \frac{1}{2} \left[(\widetilde{m}_a^2 + \widetilde{m}_c^2) \mp \text{sgn}(\Delta m_{ee}^2) \sqrt{(\widetilde{m}_c^2 - \widetilde{m}_a^2)^2 + (2s_{13}c_{13}\Delta m_{ee}^2)^2} \right]$$

$$\widetilde{m}_0^2 = \widetilde{m}_b^2$$

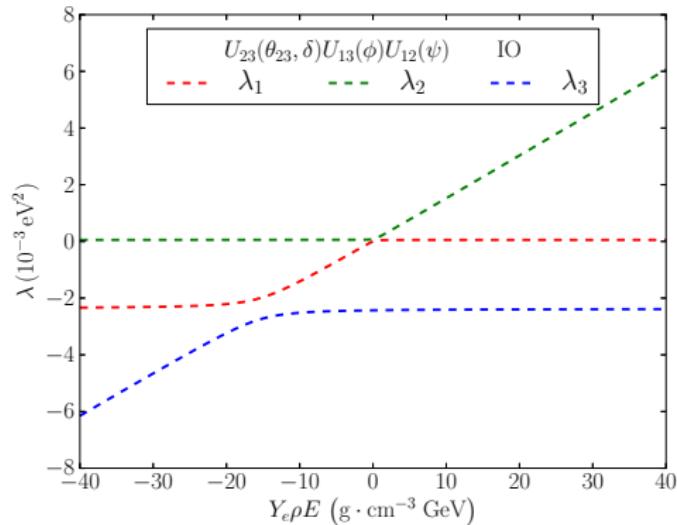
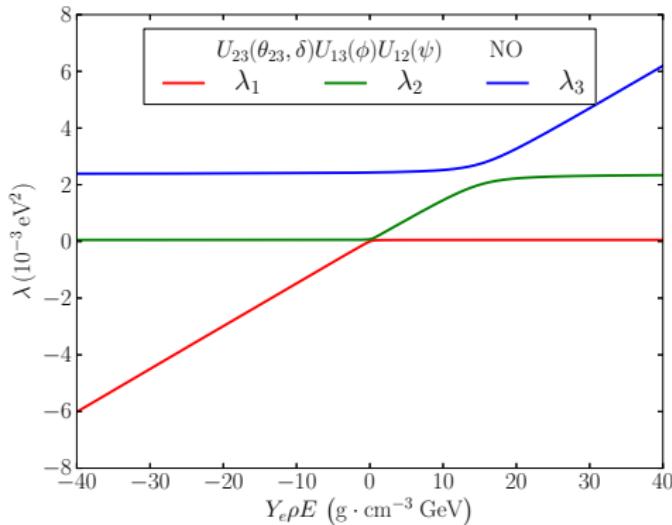
Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_1,2^2 = \frac{1}{2} \left[(\widetilde{m}_0^2 + \widetilde{m}_{-}^2) \mp \sqrt{(\widetilde{m}_0^2 - \widetilde{m}_{-}^2)^2 + (2\epsilon c_{(\tilde{\theta}_{13}-\theta_{13})} c_{12} s_{12} \Delta m_{ee}^2)^2} \right]$$

$$\widetilde{m}_3^2 = \widetilde{m}_+^2$$

Eigenvalues in Matter: Mass Ordering



NO

$$\widetilde{m^2}_1 < \widetilde{m^2}_2 < \widetilde{m^2}_3$$

IO

$$\widetilde{m^2}_3 < \widetilde{m^2}_1 < \widetilde{m^2}_2$$

Exact Neutrino Oscillations in Matter: Mixing Angles

$$s_{\widehat{12}}^2 = \frac{- \left[(\widehat{m^2}_2)^2 - \alpha \widehat{m^2}_2 + \beta \right] \Delta \widetilde{m^2}_{31}}{\left[(\widehat{m^2}_1)^2 - \alpha \widehat{m^2}_1 + \beta \right] \Delta \widetilde{m^2}_{32} - \left[(\widehat{m^2}_2)^2 - \alpha \widehat{m^2}_2 + \beta \right] \Delta \widetilde{m^2}_{31}}$$

$$s_{\widehat{13}}^2 = \frac{(\widehat{m^2}_3)^2 - \alpha \widehat{m^2}_3 + \beta}{\Delta \widetilde{m^2}_{31} \Delta \widetilde{m^2}_{32}}$$

$$s_{\widehat{23}}^2 = \frac{s_{23}^2 E^2 + c_{23}^2 F^2 + 2c_{23}s_{23}c_\delta EF}{E^2 + F^2}$$

$$e^{-i\widehat{\delta}} = \frac{c_{23}^2 s_{23}^2 (e^{-i\delta} E^2 - e^{i\delta} F^2) + (c_{23}^2 - s_{23}^2) EF}{\sqrt{(s_{23}^2 E^2 + c_{23}^2 F^2 + 2EFc_{23}s_{23}c_\delta) (c_{23}^2 E^2 + s_{23}^2 F^2 - 2EFc_{23}s_{23}c_\delta)}}$$

$$\alpha = c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2, \quad \beta = c_{12}^2 c_{13}^2 \Delta m_{21}^2 \Delta m_{31}^2$$

$$E = c_{13}s_{13} \left[\left(\widehat{m^2}_3 - \Delta m_{21}^2 \right) \Delta m_{31}^2 - s_{12}^2 \left(\widehat{m^2}_3 - \Delta m_{31}^2 \right) \Delta m_{21}^2 \right]$$

$$F = c_{12}s_{12}c_{13} \left(\widehat{m^2}_3 - \Delta m_{31}^2 \right) \Delta m_{21}^2$$

H. Zaglauer, K. Schwarzer, [Z.Phys. C40 \(1988\) 273](#)

[1604.08167](#)

DPF: July 29, 2019 32/20

$\widetilde{m}^2_{1,2} - \widetilde{\theta}_{12}$ Symmetry

From the shape of $U_{12}(\widetilde{\theta}_{12})$, it is clear that the probabilities are invariant under a simultaneous interchange of

$$\widetilde{m}^2_1 \leftrightarrow \widetilde{m}^2_2, \quad \text{and} \quad \widetilde{\theta}_{12} \rightarrow \widetilde{\theta}_{12} \pm \frac{\pi}{2}.$$

Since only even powers of $\widetilde{\theta}_{12}$ trig functions $c_{\widetilde{12}}^2, s_{\widetilde{12}}^2, c_{\widetilde{12}}s_{\widetilde{12}}, \cos(2\widetilde{\theta}_{12}), \sin(2\widetilde{\theta}_{12})$ appear in the probabilities, the sign degeneracy is irrelevant.

More usefully, we can write that the probabilities are invariant under the simultaneous interchange of

$$\widetilde{m}^2_1 \leftrightarrow \widetilde{m}^2_2, \quad c_{\widetilde{12}}^2 \leftrightarrow s_{\widetilde{12}}^2, \quad \text{and} \quad c_{\widetilde{12}}s_{\widetilde{12}} \rightarrow -c_{\widetilde{12}}s_{\widetilde{12}}.$$

This interchange constrains the $\sin^2 \Delta_{21}$ term, and the $\sin^2 \Delta_{32}$ term easily follows from the $\sin^2 \Delta_{31}$ term.

General Form of the First Order Coefficients

$$P_{\alpha\beta} = \delta_{\alpha\beta} + 4C_{21}^{\alpha\beta} \sin^2 \Delta_{21} + 4C_{31}^{\alpha\beta} \sin^2 \Delta_{31} + 4C_{32}^{\alpha\beta} \sin^2 \Delta_{32} \\ + 8D^{\alpha\beta} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

Can reduce 8 expressions down to 3:

$$(C_{21}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left(\frac{F_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} + \frac{F_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(C_{31}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left(\frac{F_1^{\alpha\beta} + G_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} - \frac{F_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(C_{32}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left(-\frac{F_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} + \frac{F_2^{\alpha\beta} + G_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(D^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left(\frac{K_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} - \frac{K_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$K_1^{\alpha\beta} = \mp s_{23} c_{23} c_{\tilde{1}\tilde{3}}^2 s_{\tilde{1}\tilde{2}}^2 (c_{\tilde{1}\tilde{3}}^2 c_{\tilde{1}\tilde{2}}^2 - s_{\tilde{1}\tilde{3}}^2) s_\delta, \quad \alpha \neq \beta$$

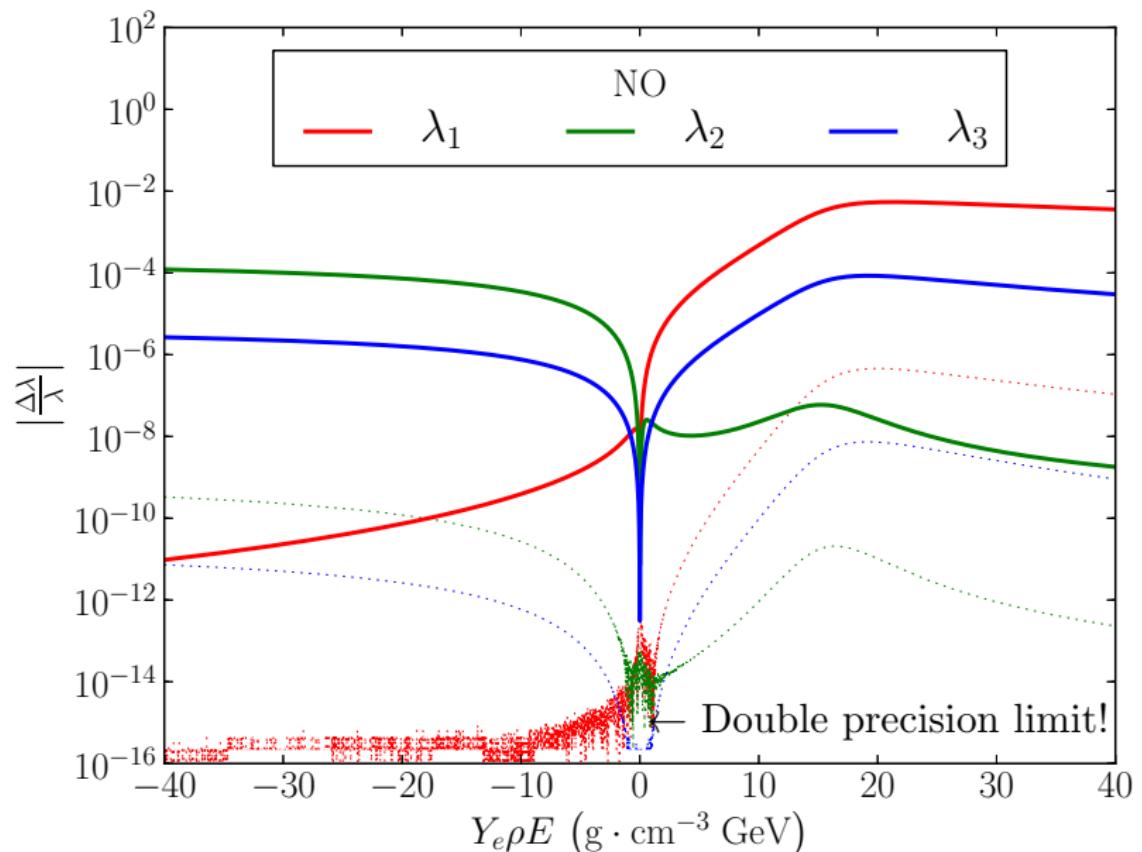
First Order Coefficients

$\nu_\alpha \rightarrow \nu_\beta$	$F_1^{\alpha\beta}$
$\nu_e \rightarrow \nu_e$	$-2c_{\widetilde{13}}^3 s_{\widetilde{13}} s_{\widetilde{12}}^3 c_{\widetilde{12}}$
$\nu_\mu \rightarrow \nu_e$	$c_{\widetilde{13}} s_{\widetilde{12}}^2 [s_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} (c_{23}^2 + c_{2\widetilde{13}} s_{23}^2)$ $-s_{23} c_{23} (s_{\widetilde{13}}^2 s_{\widetilde{12}}^2 + c_{2\widetilde{13}} c_{\widetilde{12}}^2) c_\delta]$
$\nu_\mu \rightarrow \nu_\mu$	$2c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 s_{\widetilde{13}} c_{\widetilde{12}} + s_{23} c_{23} s_{\widetilde{12}} c_{\widetilde{12}} c_\delta) \times$ $(c_{23}^2 c_{\widetilde{12}}^2 - 2s_{23} c_{23} s_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} c_\delta + s_{23}^2 s_{\widetilde{13}}^2 s_{\widetilde{12}}^2)$

$\nu_\alpha \rightarrow \nu_\beta$	$G_1^{\alpha\beta}$
$\nu_e \rightarrow \nu_e$	$2s_{\widetilde{13}} c_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} c_{2\widetilde{13}}$
$\nu_\mu \rightarrow \nu_e$	$-2s_{\widetilde{13}} c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 c_{2\widetilde{13}} c_{\widetilde{12}} - s_{23} c_{23} s_{\widetilde{13}} s_{\widetilde{12}} c_\delta)$
$\nu_\mu \rightarrow \nu_\mu$	$-2c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 s_{\widetilde{13}} c_{\widetilde{12}} + s_{23} c_{23} s_{\widetilde{12}} c_\delta)$ $\times (1 - 2c_{\widetilde{13}}^2 s_{23}^2)$

Three channels gives them all with unitarity!

Eigenvalues: Precision



Hamiltonians

After a constant (θ_{23}, δ) rotation, $2E\tilde{H} =$

$$\begin{pmatrix} \widetilde{m}_a^2 & s_{13}c_{13}\Delta m_{ee}^2 \\ s_{13}c_{13}\Delta m_{ee}^2 & \widetilde{m}_c^2 \end{pmatrix} + \epsilon s_{12}c_{12}\Delta m_{ee}^2 \begin{pmatrix} c_{13} & c_{13} \\ -s_{13} & -s_{13} \end{pmatrix}$$

After a $U_{13}(\tilde{\theta}_{13})$ rotation, $2E\hat{H} =$

$$\begin{pmatrix} \widetilde{m}_-^2 & & \\ & \widetilde{m}_0^2 & \\ & & \widetilde{m}_+^2 \end{pmatrix} + \epsilon c_{12}s_{12}\Delta m_{ee}^2 \begin{pmatrix} c_{(\tilde{\theta}_{13}-\theta_{13})} & c_{(\tilde{\theta}_{13}-\theta_{13})} & s_{(\tilde{\theta}_{13}-\theta_{13})} \\ c_{(\tilde{\theta}_{13}-\theta_{13})} & s_{(\tilde{\theta}_{13}-\theta_{13})} & s_{(\tilde{\theta}_{13}-\theta_{13})} \end{pmatrix}$$

After a $U_{12}(\tilde{\theta}_{12})$ rotation, $2E\check{H} =$

$$\begin{pmatrix} \widetilde{m}_1^2 & & \\ & \widetilde{m}_2^2 & \\ & & \widetilde{m}_3^2 \end{pmatrix} + \epsilon s_{(\tilde{\theta}_{13}-\theta_{13})}s_{12}c_{12}\Delta m_{ee}^2 \begin{pmatrix} -s_{\widetilde{12}} & & \\ -s_{\widetilde{12}} & c_{\widetilde{12}} & \\ & & c_{\widetilde{12}} \end{pmatrix}$$

Perturbative Expansion

Hamiltonian: $\check{H} = \check{H}_0 + \check{H}_1$

$$\check{H}_0 = \frac{1}{2E} \begin{pmatrix} \widetilde{m^2}_1 & & \\ & \widetilde{m^2}_2 & \\ & & \widetilde{m^2}_3 \end{pmatrix}, \quad \check{H}_1 = \epsilon' \frac{\Delta m_{ee}^2}{2E} \begin{pmatrix} & -s_{\widetilde{12}} \\ & c_{\widetilde{12}} \end{pmatrix}$$

Eigenvalues: $\widetilde{m^2}_i^{\text{ex}} = \widetilde{m^2}_i + \widetilde{m^2}_i^{(1)} + \widetilde{m^2}_i^{(2)} + \dots$

$$\widetilde{m^2}_i^{(1)} = 2E(\check{H}_1)_{ii} = 0$$

$$\widetilde{m^2}_i^{(2)} = \sum_{k \neq i} \frac{[2E(\check{H}_1)_{ik}]^2}{\Delta \widetilde{m^2}_{ik}}$$

Perturbative Expansion: Eigenvectors

Use vacuum expressions with $U \rightarrow V$ where

$$V = \tilde{U}W$$

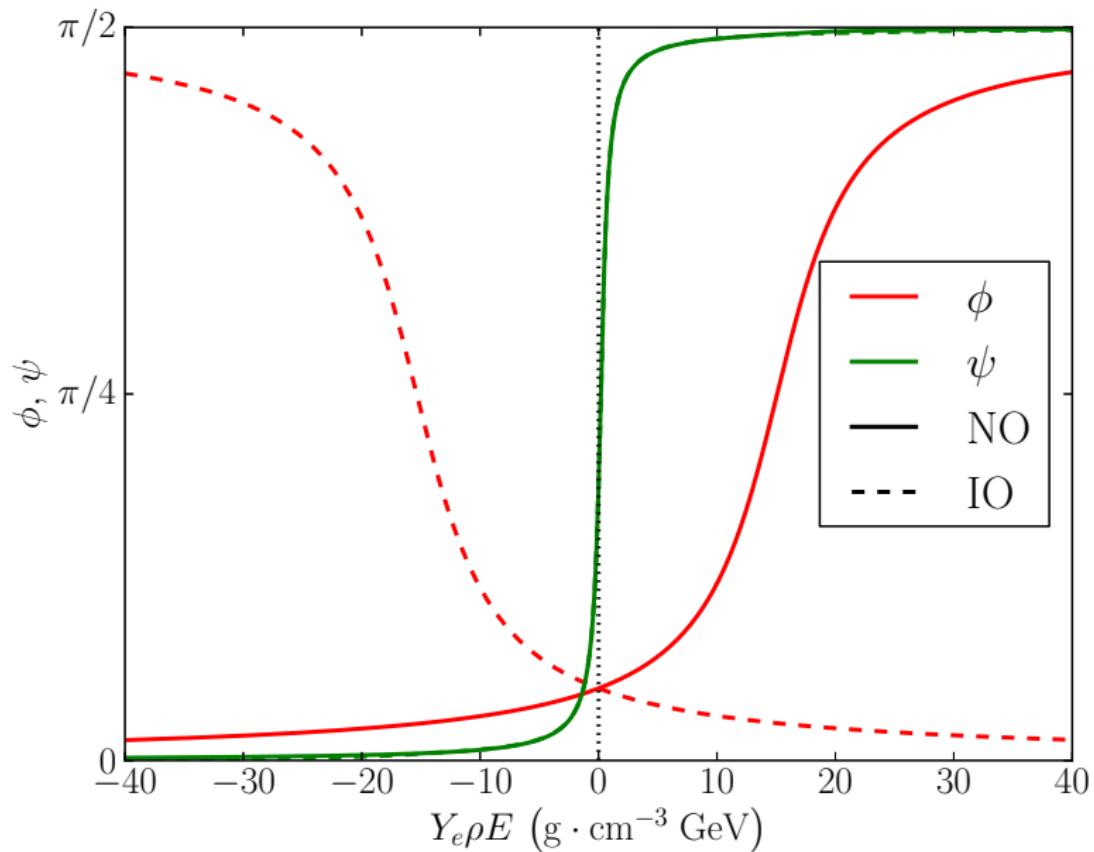
\tilde{U} is U with $\theta_{13} \rightarrow \tilde{\theta}_{13}$ and $\theta_{12} \rightarrow \tilde{\theta}_{12}$,

$$W = W_0 + W_1 + W_2 + \dots \quad W_0 = \mathbb{1}$$

$$W_1 = \epsilon' \Delta m_{ee}^2 \begin{pmatrix} & -\frac{s_{\tilde{12}}}{\Delta m_{31}^2} \\ \frac{s_{\tilde{12}}}{\Delta m_{31}^2} & -\frac{c_{\tilde{12}}}{\Delta m_{32}^2} \end{pmatrix}$$

$$W_2 = -\epsilon'^2 \frac{(\Delta m_{ee}^2)^2}{2} \begin{pmatrix} \frac{s_{\tilde{12}}^2}{(\Delta m_{31}^2)^2} & -\frac{s_{2\tilde{12}}}{\Delta m_{32}^2 \Delta m_{21}^2} \\ \frac{s_{2\tilde{12}}}{\Delta m_{31}^2 \Delta m_{21}^2} & \frac{c_{\tilde{12}}^2}{(\Delta m_{32}^2)^2} \end{pmatrix} \left[\frac{c_{\tilde{12}}^2}{(\Delta m_{32}^2)^2} + \frac{s_{\tilde{12}}^2}{(\Delta m_{31}^2)^2} \right]$$

The Two Matter Angles



Zeroth Order Coefficients

$$P_{\alpha\beta} = \delta_{\alpha\beta} + 4C_{21}^{\alpha\beta} \sin^2 \Delta_{21} + 4C_{31}^{\alpha\beta} \sin^2 \Delta_{31} + 4C_{32}^{\alpha\beta} \sin^2 \Delta_{32} \\ + 8D^{\alpha\beta} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

$\nu_\alpha \rightarrow \nu_\beta$	$(C_{21}^{\alpha\beta})^{(0)}$	
$\nu_e \rightarrow \nu_e$	$-c_{\widetilde{13}}^4 s_{\widetilde{12}}^2 c_{\widetilde{12}}^2$	
$\nu_\mu \rightarrow \nu_e$	$c_{\widetilde{13}}^2 s_{\widetilde{12}}^2 c_{\widetilde{12}}^2 (c_{23}^2 - s_{\widetilde{13}}^2 s_{23}^2) + c_{2\widetilde{12}} J_r^m c_\delta$	
$\nu_\mu \rightarrow \nu_\mu$	$-(c_{23}^2 c_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 s_{\widetilde{12}}^2) (c_{23}^2 s_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2) \\ - 2(c_{23}^2 - s_{\widetilde{13}}^2 s_{23}^2) c_{2\widetilde{12}} J_{rr}^m c_\delta + (2J_{rr}^m c_\delta)^2$	
$\nu_\alpha \rightarrow \nu_\beta$	$(C_{31}^{\alpha\beta})^{(0)}$	$(D^{\alpha\beta})^{(0)}$
$\nu_e \rightarrow \nu_e$	$-c_{\widetilde{13}}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2$	0
$\nu_\mu \rightarrow \nu_e$	$s_{\widetilde{13}}^2 c_{\widetilde{13}}^2 c_{\widetilde{12}}^2 s_{23}^2 + J_r^m c_\delta$	$-J_r^m s_\delta$
$\nu_\mu \rightarrow \nu_\mu$	$-c_{\widetilde{13}}^2 s_{23}^2 (c_{23}^2 s_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2) \\ - 2s_{23}^2 J_r^m c_\delta$	0

$$J_r^m \equiv s_{\widetilde{12}} c_{\widetilde{12}} s_{\widetilde{13}} c_{\widetilde{13}}^2 s_{23} c_{23}, \quad J_{rr}^m \equiv J_r^m / c_{\widetilde{13}}^2$$

Verifying the CPV Term in Matter

The amount of CPV is

$$J \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

where the Jarlskog is

$$J = 8c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23}s_\delta$$

C. Jarlskog, [PRL 55 \(1985\)](#)

The exact term in matter is known to be

$$\frac{\widehat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2}$$

V. Naumov, [Int. J. Mod. Phys. 1992](#)

P. Harrison, W. Scott, [hep-ph/9912435](#)

Our expression reproduces this order by order in ϵ' for all channels.

Angles in Matter

Angles receive corrections at first order:

$$\tilde{\theta}_{12}^{(1)} = \epsilon' \Delta m_{ee}^2 s_{\widetilde{12}} c_{\widetilde{12}} \left(\frac{1}{\Delta \widetilde{m}^2_{32}} - \frac{1}{\Delta \widetilde{m}^2_{31}} \right)$$

$$\tilde{\theta}_{13}^{(1)} = -\epsilon' \Delta m_{ee}^2 \frac{s_{\widetilde{13}}}{c_{\widetilde{13}}} \left(\frac{s_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{31}} + \frac{c_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{32}} \right)$$

$$\tilde{\theta}_{23}^{(1)} = \epsilon' \Delta m_{ee}^2 \frac{c_\delta}{c_{\widetilde{13}}} \left(\frac{s_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{31}} + \frac{c_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{32}} \right)$$

$$\tilde{\delta}^{(1)} = -\epsilon' \Delta m_{ee}^2 \frac{2 c_{\widetilde{23}} s_\delta}{s_{\widetilde{23}} c_{\widetilde{13}}} \left(\frac{s_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{31}} + \frac{c_{\widetilde{12}}^2}{\Delta \widetilde{m}^2_{32}} \right)$$

Second order: see paper

Other Expressions

We were not the first to examine this problem.

- ▶ Madrid: drop $\frac{\Delta m_{21}^2}{\Delta m_{31}^2}$ and s_{13} terms; $\sim |\text{sum of two amplitudes}|^2$

$$P_{\mu e} = 4s_{23}^2 s_{13}^2 c_{13}^2 \left(\frac{\Delta m_{31}^2}{b} \right)^2 \sin^2 \Delta_b + 4c_{23}^2 s_{12}^2 c_{12}^2 \left(\frac{\Delta m_{21}^2}{a} \right)^2 \sin^2 \Delta_a \\ + 8J_r \frac{\Delta m_{21}^2}{a} \frac{\Delta m_{31}^2}{b} \sin \Delta_a \sin \Delta_b \cos(\delta + \Delta_{31}) , \quad b = a - \Delta m_{31}^2$$

A. Cervera, et al., [hep-ph/0002108](#)

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A. Cervera, et al., [hep-ph/0002108](#)

E. Akhmedov, et al., [hep-ph/0402175](#)

A. Friedland, C. Lunardini, [hep-ph/0606101](#)

H. Nunokawa, S. Parke, J. Valle, [0710.0554](#)

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A. Cervera, et al., [hep-ph/0002108](#)

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A. Friedland, C. Lunardini, [hep-ph/0606101](#)

H. Nunokawa, S. Parke, J. Valle, [0710.0554](#)

- ▶ AKT: from mass basis rotated 12 then 23 converted into 13

- ▶ Δm_{ee}^2 appears all over the expressions

S. Agarwalla, Y. Kao, T. Takeuchi, [1302.6773](#)

Other Expressions

- ▶ AM: Powers of $s_{13}^2 \simeq \frac{\Delta m_{21}^2}{\Delta m_{31}^2}$ through the 5/2 order
K. Asano, H. Minakata, [1103.4387](#)
- ▶ Various other expressions
 - J. Arafune, M. Koike, J. Sato, [hep-ph/9703351](#)
 - M. Freund, [hep-ph/0103300](#)
 - E. Akhmedov, et al., [hep-ph/0402175](#)

Others...

Which is best?

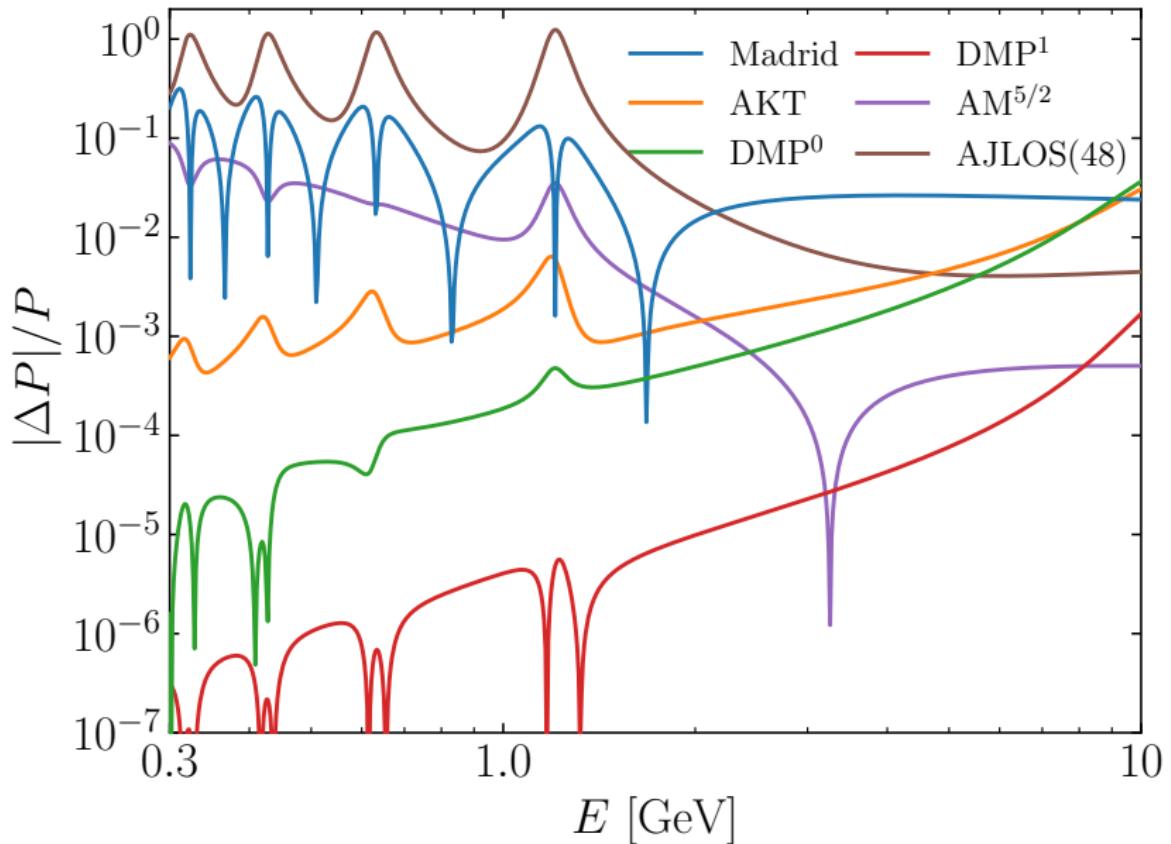
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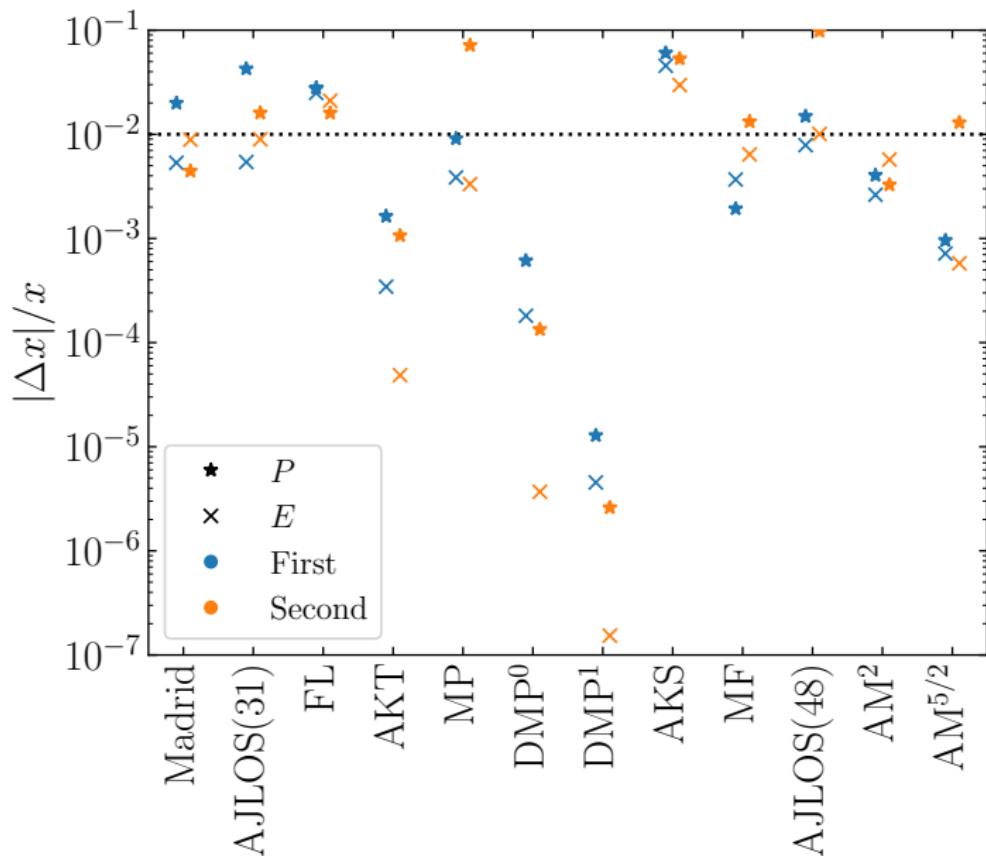
Others...

Which is best?
What does “best” mean?

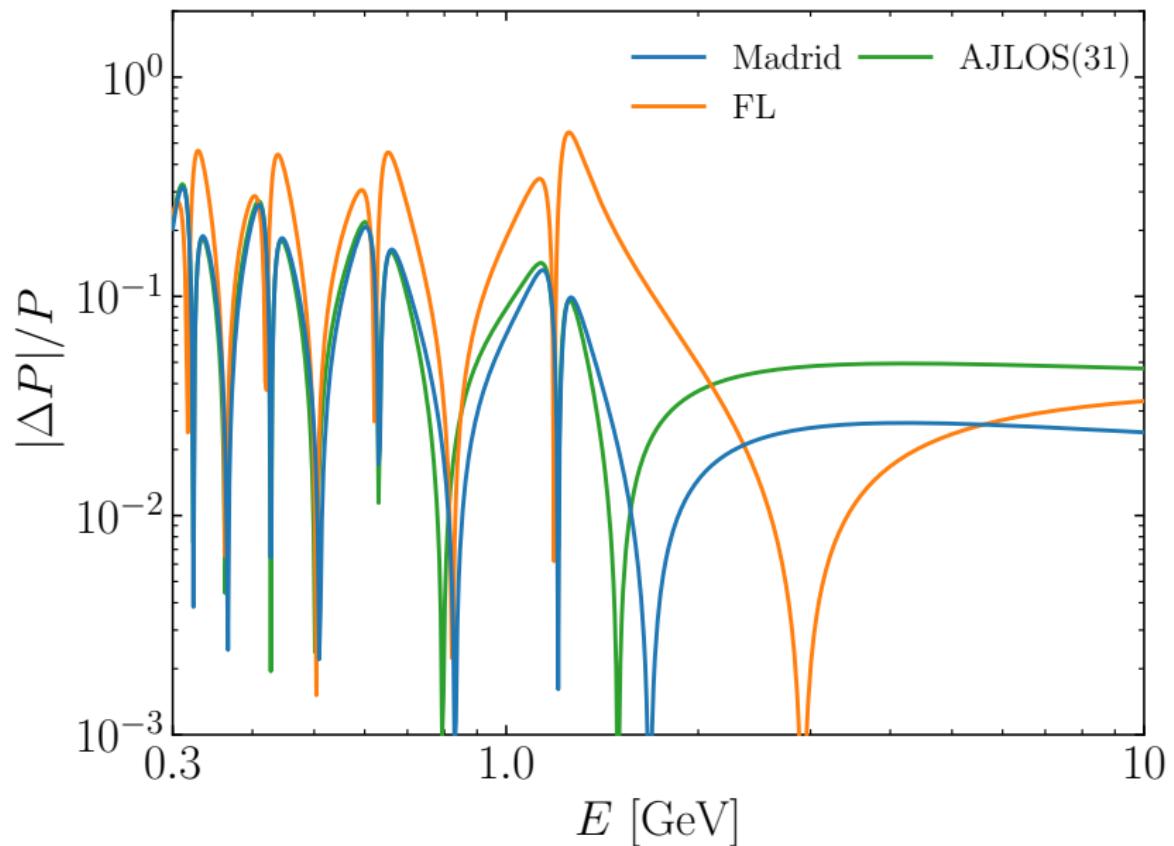
Comparative Precision ($L = 1300$ km)



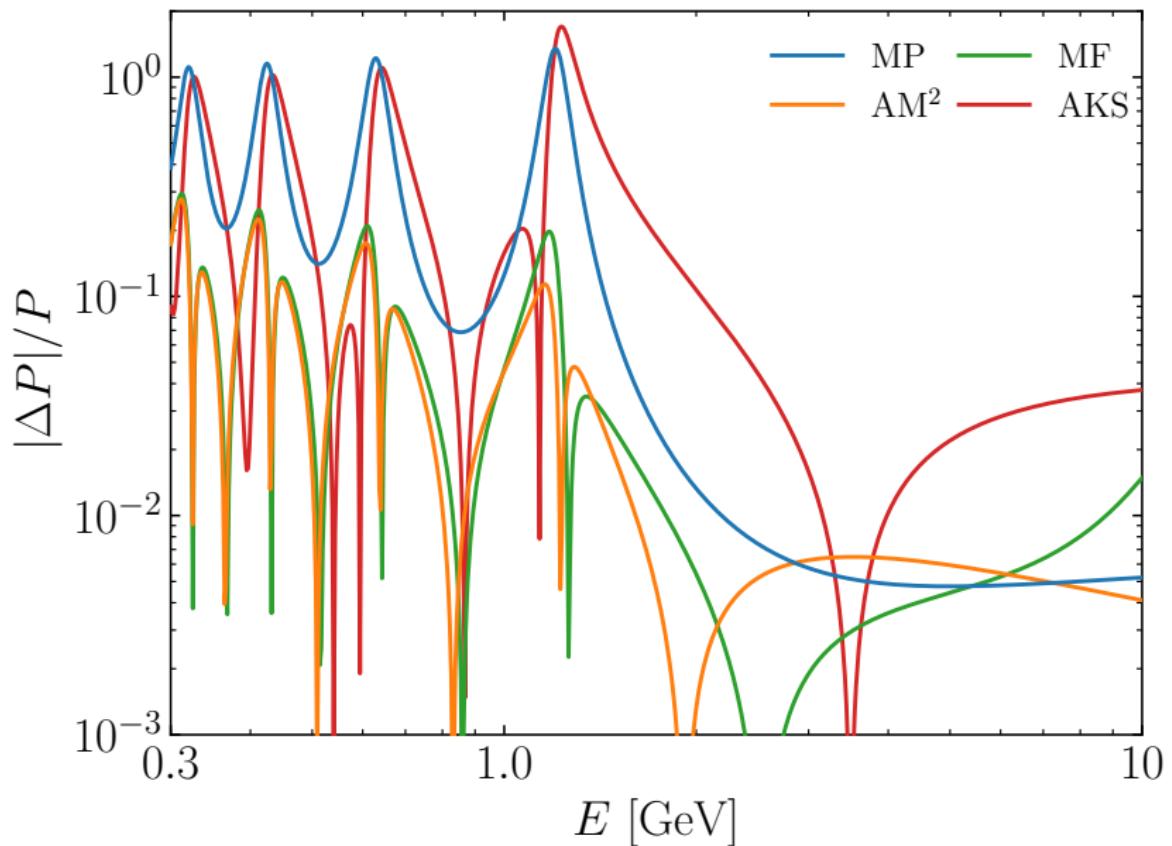
Comparative Precision: At the Peaks



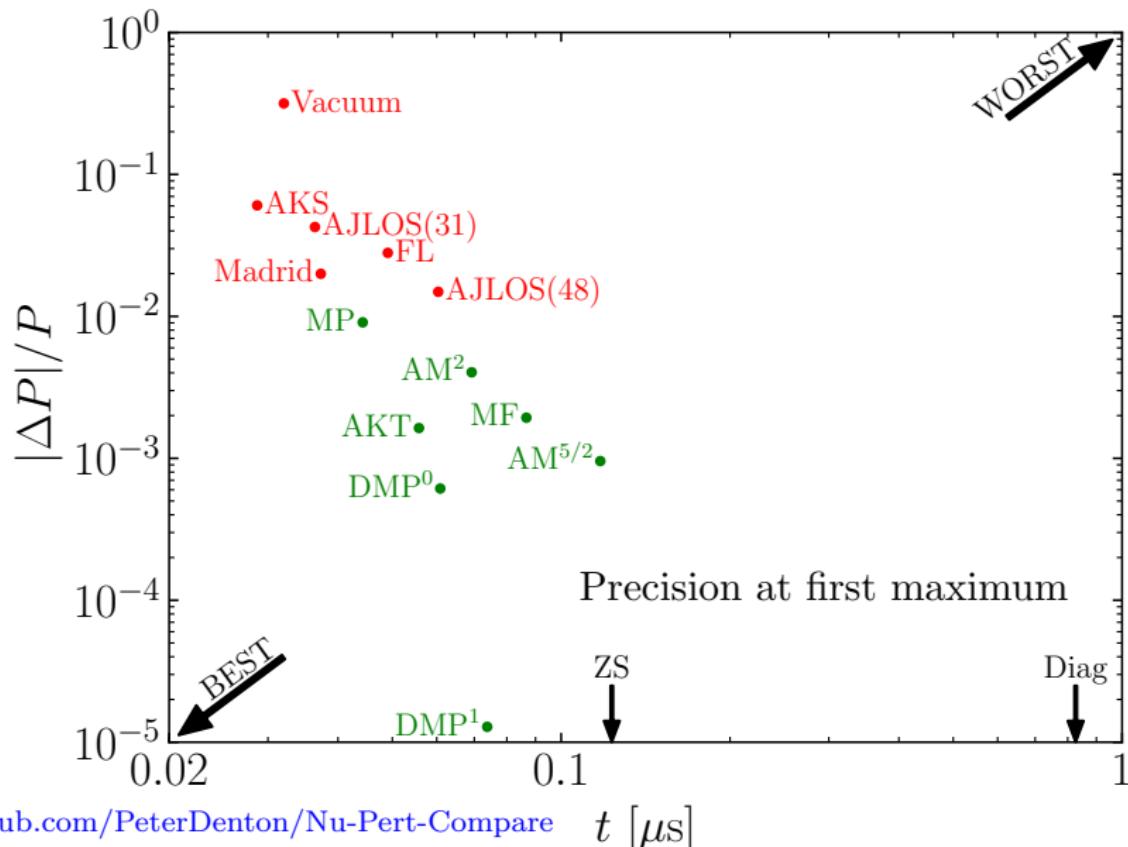
Comparative Precision



Comparative Precision



Speed \propto Simplicity



Proper Expansions

Parameter x is an *expansion parameter* iff

$$\lim_{x \rightarrow 0} P_{\text{approx}}(x) = P_{\text{exact}}(x = 0)$$

	ϵ	s_{13}	$a/\Delta m_{31}^2$	
Madrid(like)	✗	✗	✗	Cervera+, hep-ph/0002108
AKT	✓	✓	✓	Agarwalla+, 1302.6773
MP	✓	✗	✗	Minakata, Parke, 1505.01826
DMP	✓	✓	✓	PBD+, 1604.08167
AKS	✗	✗	✗	Arafune+, hep-ph/9703351
MF	✓	✗	✗	Freund, hep-ph/0103300
AJLOS(48)	✓	✗	✗	Akhmedov+, hep-ph/0402175
AM	✗	✗	✗	Asano, Minakata, 1103.4387

$$\epsilon \equiv \frac{\Delta m_{21}^2}{\Delta m_{ee}^2}$$

Comparative Review

- ▶ Many expressions in the literature (12 considered)
- ▶ Most are not at the 1% level
- ▶ Most are not exact in vacuum
- ▶ Changing the basis to remove level crossings seems best
 - ▶ AKT, (MP), DMP
 - ▶ Δm_{ee}^2 naturally appears (regardless of the name)
- ▶ The order of rotations matters:
 - ▶ Constant 23 rotation, then in matter: 13, 12
- ▶ First order DMP corrections are quite simple