

## Abstract

We develop a perturbative framework for neutrino oscillations in uniform matter density so that the resulting oscillation probabilities are accurate for the complete matter potential versus baseline divided by neutrino energy plane. This extension also gives the *exact* oscillation probabilities in vacuum for all values of baseline divided by neutrino energy. The expansion parameter used is related to the ratio of the solar to the atmospheric  $\Delta m^2$  scales but with a unique choice of the atmospheric  $\Delta m^2$  such that certain first-order effects are taken into account in the zeroth-order Hamiltonian. Using a mixing matrix formulation, this framework has the exceptional feature that the neutrino oscillation probability in matter has the same structure as in vacuum, to all orders in the expansion parameter. It also contains all orders in the matter potential and  $\sin \theta_{13}$ . It facilitates immediate physical interpretation of the analytic results, and makes the expressions for the neutrino oscillation probabilities extremely compact and very accurate even at zeroth order in our perturbative expansion. The first and second order results are also given which improve the precision by approximately two or more orders of magnitude per perturbative order. We have also extended the framework by comparing the effect of additional rotations versus perturbations. Finally, we explore how  $\Delta m_{ee}^2$  is modified in matter.

# Analytic and Compact Expressions for Neutrino Oscillations in Matter

Peter B. Denton

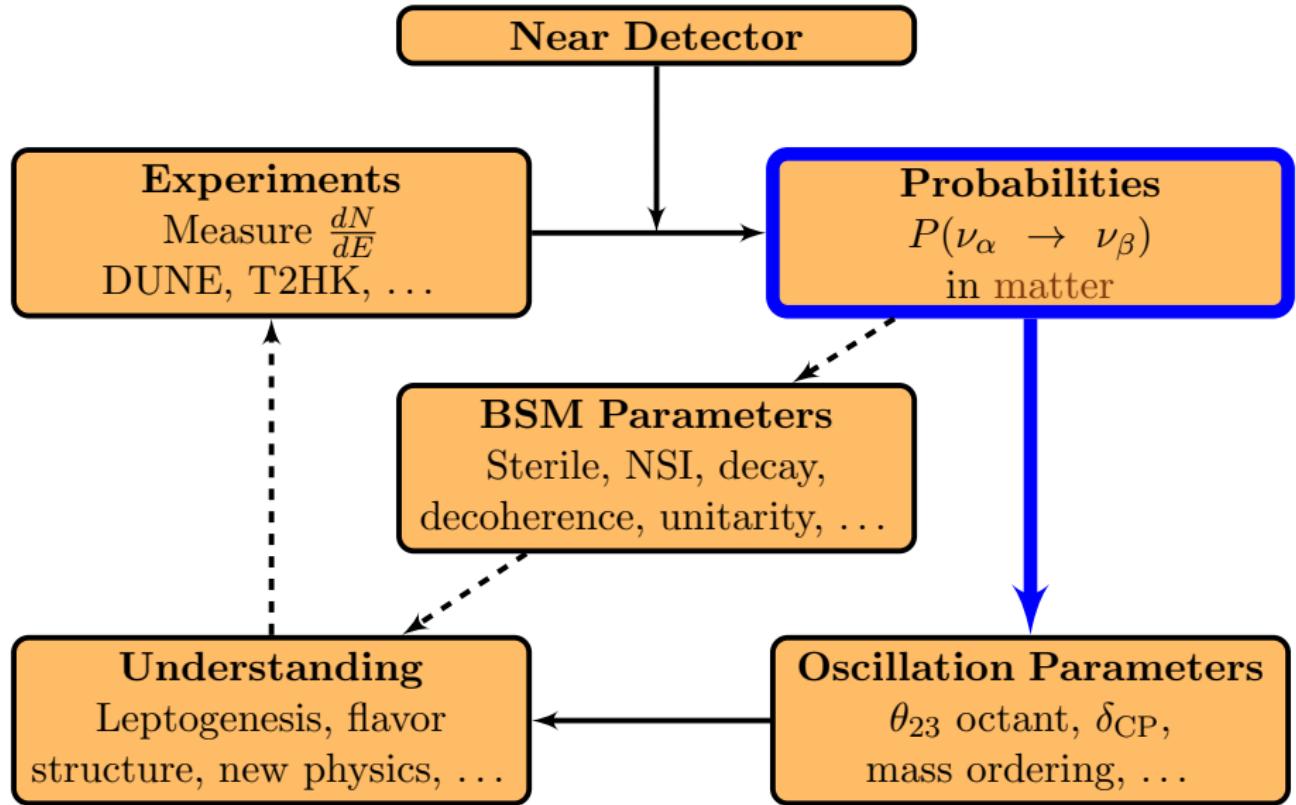
POND<sup>2</sup>

December 4, 2018

with S. Parke  
and X. Zhang and H. Minakata.  
[1604.08167](https://arxiv.org/abs/1604.08167), [1806.01277](https://arxiv.org/abs/1806.01277), [1808.09453](https://arxiv.org/abs/1808.09453)  
[github.com/PeterDenton/Nu-Pert](https://github.com/PeterDenton/Nu-Pert)



# A Theorist's Long-Baseline Picture



# The Several Billion Dollar Question

What is  $P(\nu_\mu \rightarrow \nu_e)$ ?

$$P({}^{\langle}\bar{\nu}_{\mu}{}^{\rangle} \rightarrow {}^{\langle}\bar{\nu}_e{}^{\rangle}) = |\mathcal{A}_{\mu e}|^2 \quad \mathcal{A}_{\mu e} = \mathcal{A}_{31} + e^{\pm i \Delta_{32}} \mathcal{A}_{21}$$

$$\mathcal{A}_{31} = 2 s_{13} c_{13} s_{23} \sin \Delta_{31}$$

$$\mathcal{A}_{21} = 2 s_{12} c_{13} (c_{12} c_{23} e^{i\delta} - s_{12} s_{13} s_{23}) \sin \Delta_{21}$$

$$\Delta_{ij} = \Delta m^2 {}_{ij} L / 4E$$

# The Several Billion Dollar Question

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$$\Delta_{ij} = \Delta m^2_{ij} L / 4E$$

...in matter?

Now: NOvA, T2K, MINOS, ...

Upcoming: DUNE, T2HK, ...

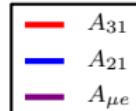
Second maximum: T2HKK? ESSnub? ...

# Neutrino oscillations in vacuum: appearance

$$\delta = 0.0\pi$$

$$\Delta_{32} = 0.5\pi$$

NO



$$P(\nu_\mu \rightarrow \nu_e) = A_{\mu e} A_{\mu e}^*$$

$A_{21}$

$A_{\mu e}$



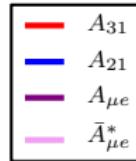
Denton & Parke

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$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \bar{A}_{\mu e}^* \bar{A}_{\mu e}$$

Denton & Parke

# Neutrino oscillations in vacuum: appearance

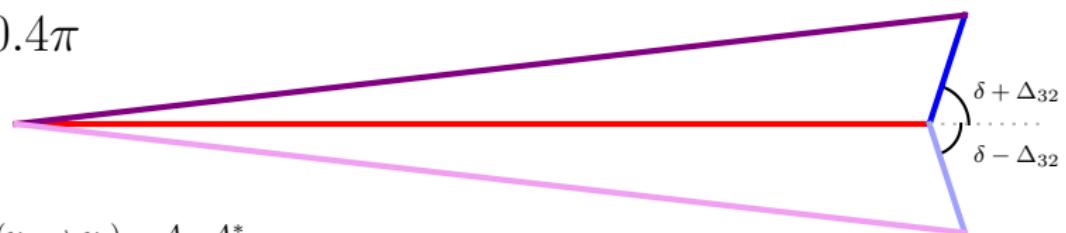
$$\delta = 0.0\pi$$

$$\Delta_{32} = 0.4\pi$$

NO

- $A_{31}$
- $A_{21}$
- $A_{\mu e}$
- $\bar{A}_{\mu e}^*$

$$P(\nu_\mu \rightarrow \nu_e) = A_{\mu e} A_{\mu e}^*$$
$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \bar{A}_{\mu e}^* \bar{A}_{\mu e}$$



Denton & Parke

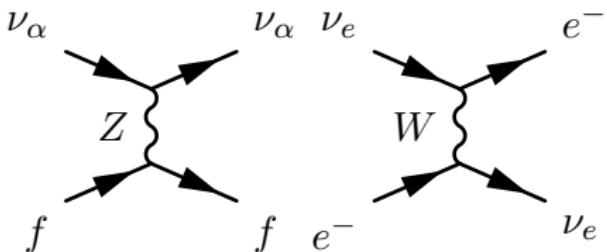
# Neutrino oscillations in vacuum: appearance

# Matter Effects Matter

Call Schrödinger equation's eigenvalues  $m_i^2$  and eigenvectors  $U_i$ .

$$\mathcal{A}(\nu_\alpha \rightarrow \nu_\beta) = \sum_i U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} \quad P = |\mathcal{A}|^2$$

In matter  $\nu$ 's propagate in a new basis that depends on  $a \propto \rho E$ .



L. Wolfenstein, [PRD 17 \(1978\)](#)

Eigenvalues:  $m_i^2 \rightarrow \widetilde{m}_i^2(a)$

Eigenvectors are given by  $\theta_{ij} \rightarrow \widetilde{\theta}_{ij}(a)$        $\Leftarrow$       Unitarity

# Hamiltonian Dynamics

$$H = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & \Delta m_{21}^2 & \\ & \Delta m_{31}^2 & \end{pmatrix} U^\dagger + \begin{pmatrix} \textcolor{brown}{a} & 0 & \\ & 0 & 0 \end{pmatrix} \right]$$

$$a = 2\sqrt{2}G_F N_e E$$

Find eigenvalues and eigenvectors:

$$H = \frac{1}{2E} \tilde{U} \begin{pmatrix} 0 & \widetilde{\Delta m_{21}^2} & \\ & \widetilde{\Delta m_{31}^2} & \end{pmatrix} \tilde{U}^\dagger$$

Computationally works, but we can do better than a **black box**...

Analytic expression?

# Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation.

$$\widetilde{m^2}_1 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3B}S - \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widetilde{m^2}_2 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3B}S + \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widetilde{m^2}_3 = \frac{A}{3} + \frac{2}{3}\sqrt{A^2 - 3B}S$$

$$A = \Delta m_{21}^2 + \Delta m_{31}^2 + a$$

$$B = \Delta m_{21}^2 \Delta m_{31}^2 + a [c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2]$$

$$C = a \Delta m_{21}^2 \Delta m_{31}^2 c_{12}^2 c_{13}^2$$

$$S = \cos \left\{ \frac{1}{3} \cos^{-1} \left[ \frac{2A^3 - 9AB + 27C}{2(A^2 - 3B)^{3/2}} \right] \right\}$$

H. Zaglauer, K. Schwarzer, Z. Phys. C Particles and Fields, 40 (1988)

Traded one **black box** for another...

# Alternative Solutions

## Perturbative expansion:

- ▶ Small matter potential:  $a/\Delta m^2$

Y. Li, Y. Wang, Z-z. Xing, [1605.00900](#)

- ▶  $s_{13}, s_{13}^2$

A. Cervera, et al., [hep-ph/0002108](#)

H. Minakata, [0910.5545](#)

K. Asano, H. Minakata, [1103.4387](#)

- ▶  $\Delta m_{21}^2/\Delta m_{31}^2 \sim 0.03$

J. Arafune, J. Sato, [hep-ph/9607437](#)

A. Cervera, et al., [hep-ph/0002108](#)

M. Freund, [hep-ph/0103300](#)

E. Akhmedov, et al., [hep-ph/0402175](#)

M. Blennow, A. Smirnov, [1306.2903](#)

H. Minakata, S. Parke, [1505.01826](#)

**PBD**, H. Minakata, S. Parke, [1604.08167](#)

## Change of basis:

**PBD**, S. Parke, X. Zhang, [1806.01277](#)

# A Tale of Two Tools

Split the Hamiltonian into:

- ▶ Large, diagonal part ( $H_0$ )
- ▶ Small, off-diagonal part ( $H_1$ )
- ▶ Improves precision at zeroth order
- ▶ Naturally leads to using  $\Delta m_{ee}^2 \equiv c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2$

H. Nunokawa, S. Parke, R. Zukanovich, [hep-ph/0503283](#)

## 1. Rotations:

- ▶ A two-flavor rotation only requires solving a quadratic
- ▶ Diagonalize away the big off-diagonal terms
- ▶ Follows the order of the PMNS matrix

## 2. Perturbative expansion:

- ▶ Smallness parameter is  $|\epsilon'| \leq 0.015$
- ▶ Correct eigenvalues ( $\widetilde{m^2}_i$ ) and eigenvectors ( $\widetilde{\theta}_{ij}$ )
- ▶ Eigenvalues already include 1<sup>st</sup> order corrections at 0<sup>th</sup> order
- ▶ Can improve the precision to arbitrary order

# Atmospheric Resonance



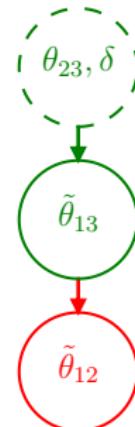
1.  $U_{23}(\theta_{23}, \delta)$  commutes with matter potential
2. Largest off-diagonal term:

$s_{13}c_{13}\Delta m_{ee}^2$  in the 1-3 position

- ▶ Eigenvalues still cross at the solar resonance:
  - ▶ No perturbation theory there
- ▶ Smallness parameter:
  - ▶ After  $U_{23}$ :  $s_{13}c_{13} = 0.15$
  - ▶ After  $U_{13}$ :  $s_{12}c_{12}\frac{\Delta m_{21}^2}{\Delta m_{ee}^2} = 0.015$

MP15

# Solar Resonance



Vacuum  
Rot.

Matter  
Rot.

- 3. Largest off-diagonal term:

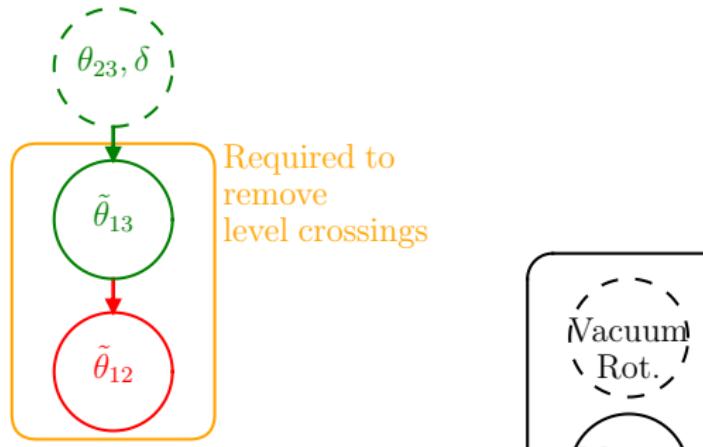
$$s_{12}c_{12}c_{\tilde{\theta}_{13}-\theta_{13}} \Delta m_{21}^2 \text{ in the 1-2 position}$$

- ▶ Largest except for  $\nu$ 's above the atmospheric resonance

- ▶  $|\epsilon'| < 0.015$ , zero in vacuum
- ▶ Perturbation theory valid everywhere now
- ▶ Rotation order matches PMNS
- ▶ Take vacuum expressions, replace  $\theta_{13}$ ,  $\theta_{12}$ , and  $\Delta m_{ij}^2$
- ▶ Extremely precise  $|\Delta P/P| < 10^{-3}$

MP15  
DMP16

# Solar Resonance



Vacuum  
Rot.

Matter  
Rot.

3. Largest off-diagonal term:

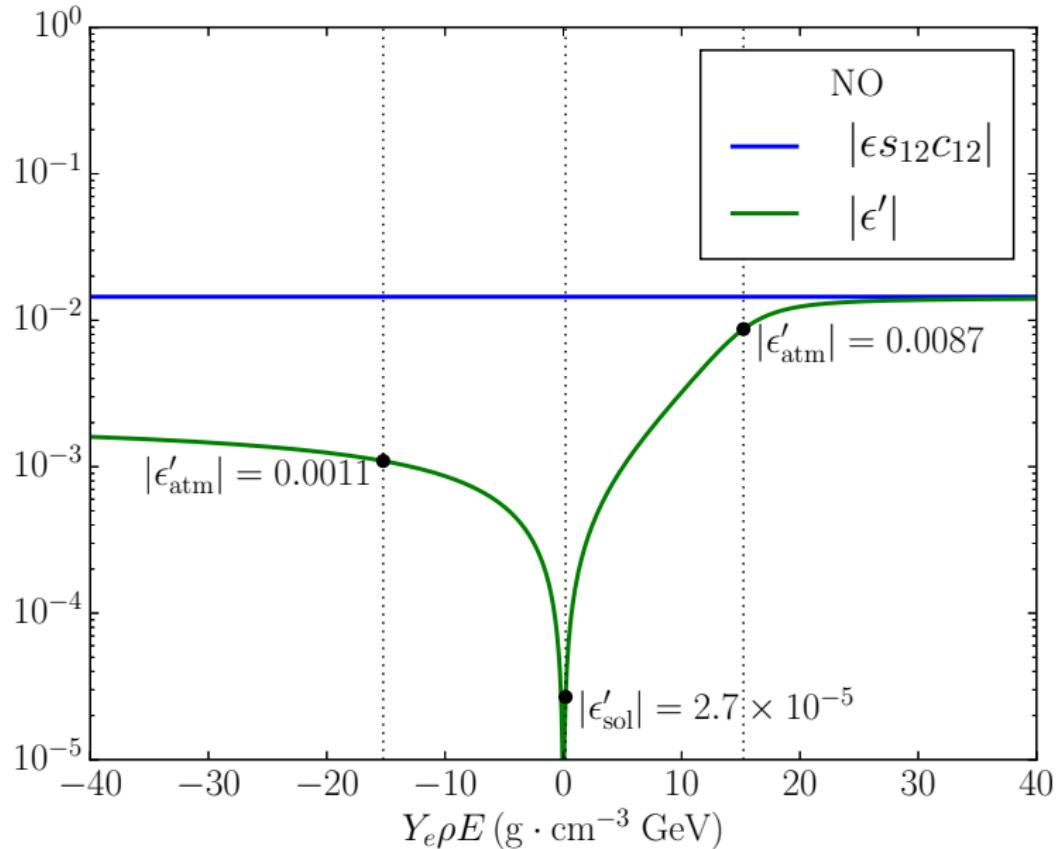
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MP15  
DMP16

# Expansion Parameter



## Probability in Matter: DMP 0<sup>th</sup>

Vacuum

$\Rightarrow$

$\widetilde{\text{Matter}}$

$$P_{\alpha\beta}(\Delta m_{21}^2, \Delta m_{31}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta) \Rightarrow P_{\alpha\beta}(\widetilde{\Delta m^2}_{21}, \widetilde{\Delta m^2}_{31}, \widetilde{\theta}_{13}, \widetilde{\theta}_{12}, \theta_{23}, \delta)$$

Same expression, 4 new variables.

## Probability in Matter: DMP 0<sup>th</sup>

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Same expression, 4 new variables.

$$\cos 2\widetilde{\theta}_{13} = \frac{\Delta m_{ee}^2 \cos 2\theta_{13} - a}{\widetilde{\Delta m^2}_{ee}}$$

$$\widetilde{\Delta m^2}_{ee} = \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

## Probability in Matter: DMP 0<sup>th</sup>

Vacuum  $\Rightarrow$   $\widetilde{\text{Matter}}$

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$$\cos 2\widetilde{\theta}_{12} = \frac{\Delta m_{21}^2 \cos 2\theta_{12} - a_{12}}{\widetilde{\Delta m^2}_{21}}, \quad a_{12} = (a + \Delta m_{ee}^2 - \widetilde{\Delta m^2}_{ee})/2$$

$$\widetilde{\Delta m^2}_{21} = \Delta m_{21}^2 \sqrt{(\cos 2\theta_{12} - a_{12}/\Delta m_{21}^2)^2 + \cos^2(\widetilde{\theta}_{13} - \theta_{13}) \sin^2 2\theta_{12}}$$

# Probability in Matter: DMP 0<sup>th</sup>

Vacuum

$\Rightarrow$

$\widetilde{\text{Matter}}$

$$P_{\alpha\beta}(\Delta m_{21}^2, \Delta m_{31}^2, \theta_{13}, \theta_{12}, \theta_{23}, \delta) \Rightarrow P_{\alpha\beta}(\widetilde{\Delta m^2}_{21}, \widetilde{\Delta m^2}_{31}, \widetilde{\theta}_{13}, \widetilde{\theta}_{12}, \theta_{23}, \delta)$$

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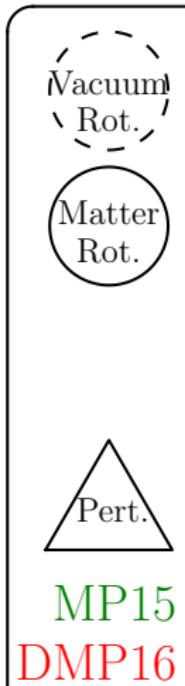
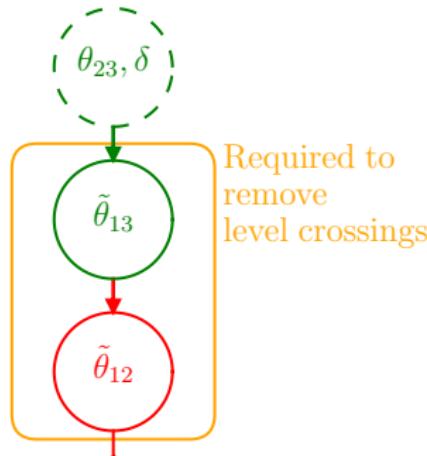
$$\cos 2\widetilde{\theta}_{12} = \frac{\Delta m_{21}^2 \cos 2\theta_{12} - a_{12}}{\widetilde{\Delta m^2}_{21}}, \quad a_{12} = (a + \Delta m_{ee}^2 - \widetilde{\Delta m^2}_{ee})/2$$

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$$\widetilde{\Delta m^2}_{31} = \Delta m_{31}^2 + \frac{1}{4}a + \frac{1}{2}(\widetilde{\Delta m^2}_{21} - \Delta m_{21}^2) + \frac{3}{4}(\widetilde{\Delta m^2}_{ee} - \Delta m_{ee}^2)$$

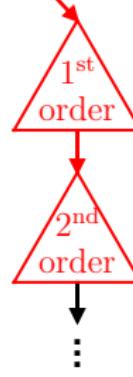
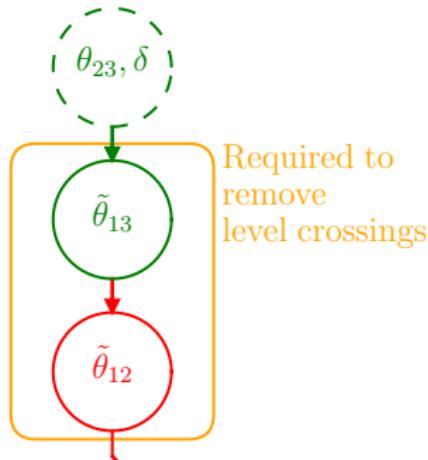
# Improve with Perturbation

4.  $\gtrsim 2$  orders of magnitude of improvement in precision:  
 $|\Delta P/P| < 10^{-6}$
- ▶ Eigenvalues need no correction
- ▶ Compact form utilizes a  
 $\tilde{m}_1^2 \leftrightarrow \tilde{m}_2^2$ ,  $\tilde{\theta}_{12} \leftrightarrow \tilde{\theta}_{12} \pm \pi/2$  symmetry

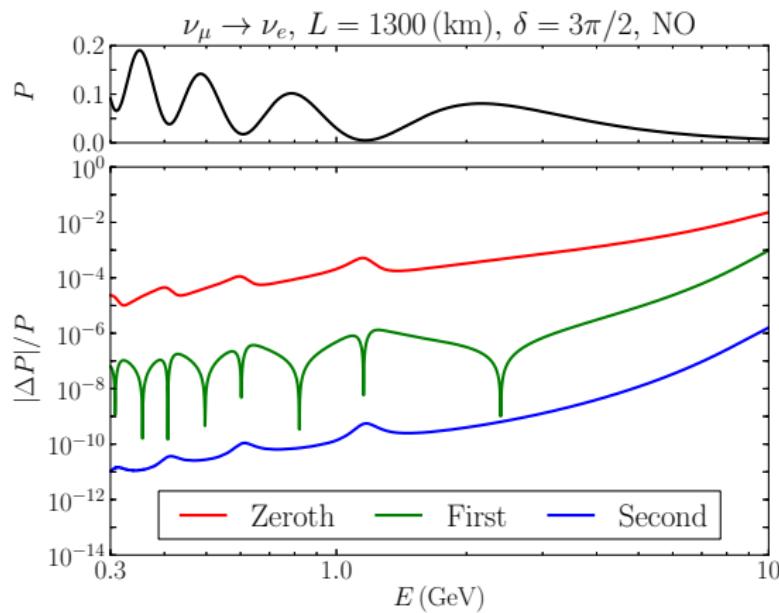


# Higher Orders

5.  $\gtrsim 2$  more orders of magnitude of improvement per order:  
 $|\Delta P/P| < 10^{-9}, \dots$



# Precision



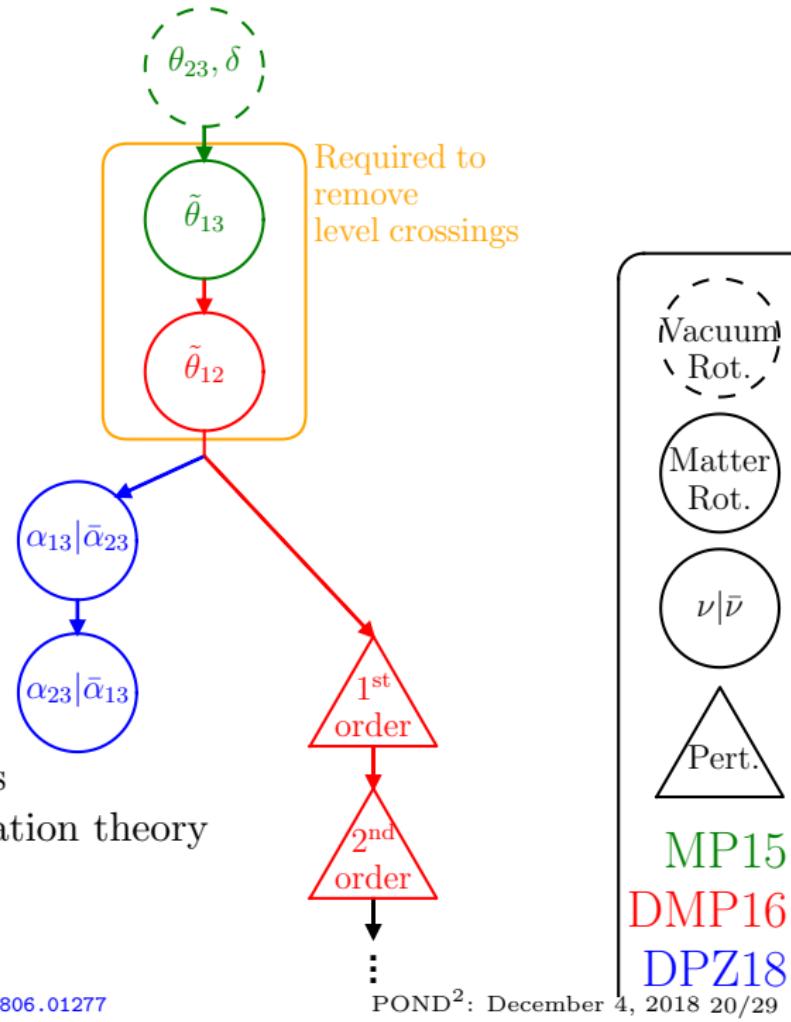
DUNE: NO, $\delta = 3\pi/2$		First min	First max
$P(\nu_\mu \rightarrow \nu_e)$		0.0047	0.081
$E \text{ (GeV)}$		1.2	2.2
$\frac{ \Delta P }{P}$	Zeroth	$5 \times 10^{-4}$	$4 \times 10^{-4}$
	First	$3 \times 10^{-7}$	$2 \times 10^{-7}$
	Second	$6 \times 10^{-10}$	$5 \times 10^{-10}$

# More Rotations

Instead continue to diagonalize large terms

4. 1-3 sector for  $\nu$ 's
- 2-3 sector for  $\bar{\nu}$ 's
5. Then opposite

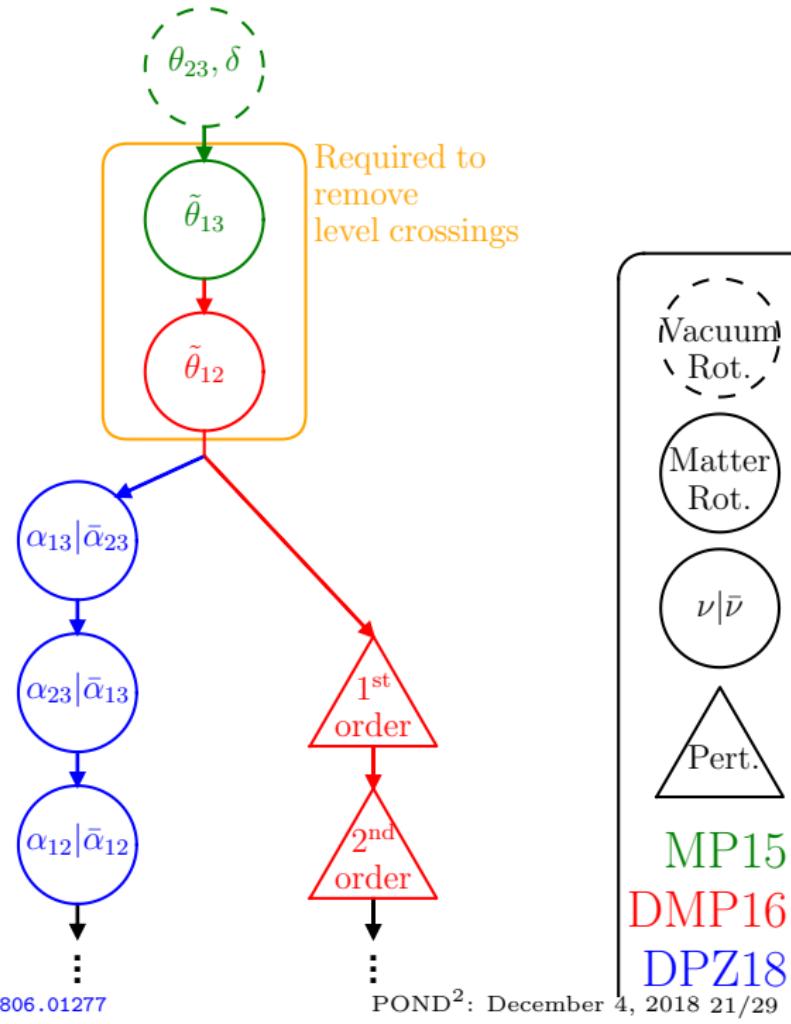
- ▶ 2 additional rotations  
 $\equiv$  1 order of perturbation theory



# Even More Rotations

6. 1-2 sector for either  $\nu/\bar{\nu}$

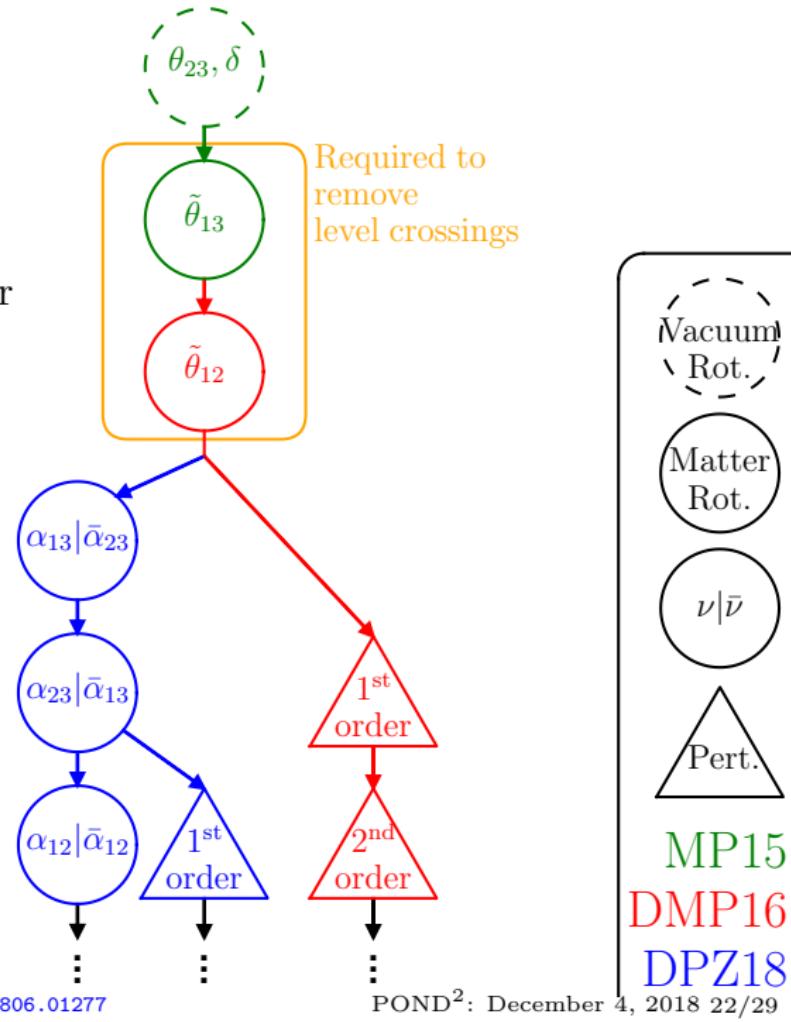
- ▶ 3 additional rotations  
 $\equiv$  2 orders of pert. th.



# More Options

## 6. Perturbation theory after 2 additional rotations

- ▶ 2 additional rotations  
+ 1 order of pert. th.  
 $\equiv$  2 orders of pert. th.



# The Effective $\Delta m_{ee}^2$ in Matter

$$\Delta m_{ee}^2 = c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2$$

$\Delta m_{ee}^2$  is an important quantity for understanding oscillations:

- ▶ Optimal expression for reactor experiments

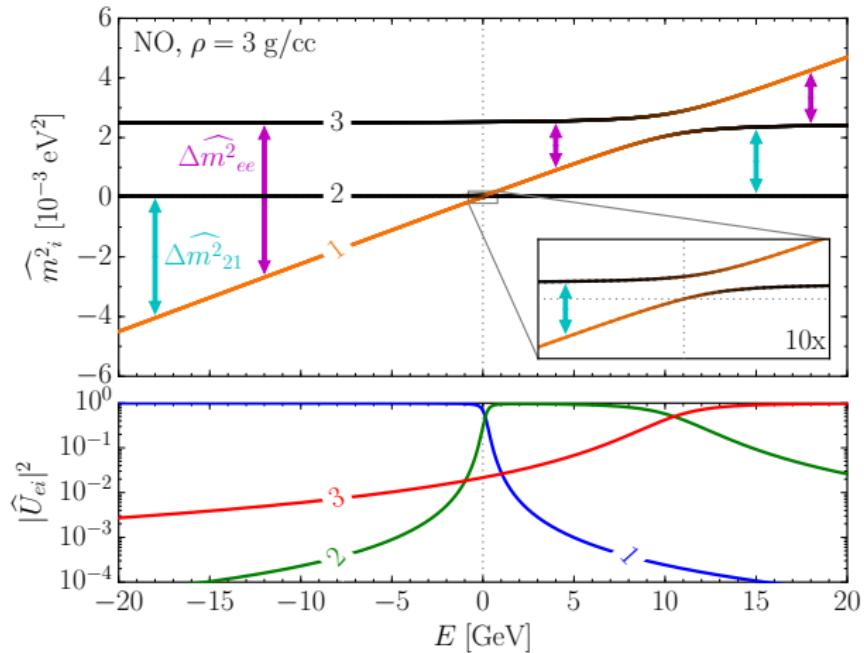
H. Nunokawa, S. Parke, R. Zukanovich, [hep-ph/0503283](#)

S. Parke, [1601.07464](#)

- ▶ Shows up naturally in DMP on long-baseline matter effect

How does  $\Delta m_{ee}^2$  evolve in matter?

# Asymptotic Evolution of $\widehat{\Delta m^2}_{ee}$



$$\widehat{\Delta m^2}_{ee} = \begin{cases} \widehat{m}_3^2 - \widehat{m}_1^2 & E \rightarrow -\infty \\ \widehat{m}_3^2 - \widehat{m}_2^2 & E \rightarrow +\infty \end{cases}$$

# Intermediate Evolution of $\Delta\widehat{m}^2_{ee}$

$$\Delta\widehat{m}^2_{ee} = \begin{cases} \widehat{m}^2_3 - \widehat{m}^2_1 & E \rightarrow -\infty \\ \widehat{m}^2_3 - \widehat{m}^2_2 & E \rightarrow +\infty \end{cases}$$

Since  $\widehat{m}^2_2(E \rightarrow -\infty) = \widehat{m}^2_1(E \rightarrow +\infty) = \text{constant}$ ,  
call  $m_0^2 \equiv \Delta m_{21}^2 c_{12}^2$

Now we can define

$$\Delta\widehat{m}^2_{ee} \equiv \widehat{m}^2_3 - (\widehat{m}^2_1 + \widehat{m}^2_2 - m_0^2)$$

$$\Delta\widehat{m}^2_{ee} - \Delta m_{ee}^2 = (\widehat{m}^2_3 - m_3^2) - (\widehat{m}^2_1 - m_1^2) - (\widehat{m}^2_2 - m_2^2)$$

Easy to see that  $\Delta\widehat{m}^2_{ee}(E = 0) = \Delta m_{ee}^2$

Relationship to vacuum expression?

# Relationship to Vacuum Expression

In vacuum we can equivalently write:

$$\Delta m_{ee}^2 = \begin{cases} c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2 \\ m_3^2 - (m_1^2 + m_2^2 - m_0^2) \end{cases}$$

Elevate everything to matter equivalent,  
except  $m_0^2$  which we know we want to be a constant.

$$\widehat{\Delta m^2}_{ee} = \widehat{m^2}_3 - (\widehat{m^2}_1 + \widehat{m^2}_2 - m_0^2)$$

$$\widehat{\Delta m^2}_{EE} = c_{12}^2 \widehat{\Delta m^2}_{31} + s_{12}^2 \widehat{\Delta m^2}_{32}$$

The difference between these similar formulas:

$$\Delta_{Ee} = \widehat{m^2}_1 + c_{12}^2 \widehat{\Delta m^2}_{21} - c_{12}^2 \Delta m_{21}^2$$

# Use DMP!

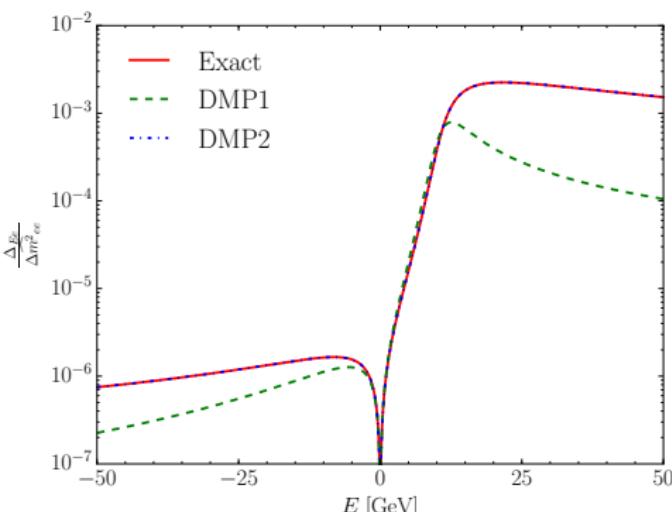
At zeroth order:  $\Delta_{Ee}^{(0)} = 0$

At first order, only correction is to  $\tilde{\theta}_{12}$ ,

PBD, S. Parke, X. Zhang, [1806.01277](#)

$$\Delta_{Ee}^{(1)} = t_{\tilde{13}} s_{12} c_{12}^2 \sin 2\theta_{13} a \frac{(\Delta m_{21}^2)^2}{\tilde{\Delta m_{32}^2} \tilde{\Delta m_{31}^2}}$$

At second order eigenvalues are also corrected,  $\Delta_{Ee}^{(2)} = \dots$

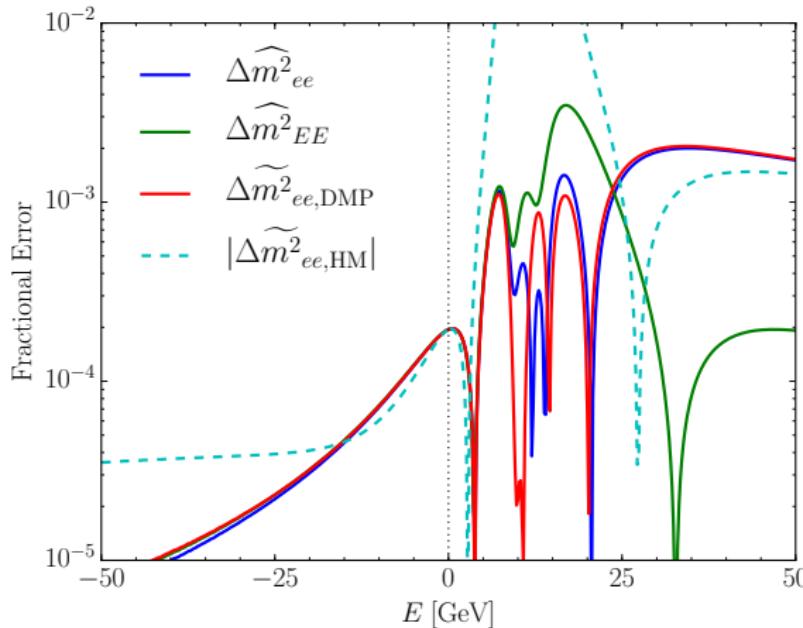


Avoid  $\cos(\frac{1}{3} \cos^{-1} X)$ , use DMP:

$$\widetilde{\Delta m_{ee,DMP}^2} / \Delta m_{ee}^2 \equiv \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}}$$

Which is best?

# Comparison of Two-Flavor Precision



The  $\Delta\widehat{m}_{ee}^2$  expression also leads to a simple rewriting of the eigenvalues.

HM: H. Minakata, [1702.03332](#)  
21 term in probability not included

The winner is:  $\Delta\widehat{m}_{ee}^2 \equiv \widehat{m}_3^2 - (\widehat{m}_1^2 + \widehat{m}_2^2 - m_0^2)$  !

Precision is better than 0.06%

## Key Points

- ▶ Include 1<sup>st</sup> order corrections in 0<sup>th</sup> order eigenvalues ( $\Delta m_{ee}^2$ )
- ▶ Rotate **large terms first**  $\Rightarrow$  PMNS order, removes level crossings
- ▶ All channels, energies, and baselines handled simultaneously
- ▶ 0<sup>th</sup> order probabilities: **same structure as vacuum** probabilities
- ▶ 0<sup>th</sup> order: **accurate** enough for current & future experiments
- ▶ **Further precision** through perturbation and/or more rotations
- ▶ Elevate  $\Delta m_{ee}^2$  to include the matter effect, DMP makes it simple
- ▶ New physics? Xining Zhang on steriles next!

# Backups

# Neutrino Oscillations in Vacuum: Disappearance

It is easy to calculate the *exact* disappearance expression in vacuum:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - 4 \sum_{i < j} |U_{\alpha i}|^2 |U_{\alpha j}|^2 \sin^2 \Delta_{ji}$$

For the electron case this expression is simple:

$$\begin{aligned} P(\nu_e \rightarrow \nu_e) = 1 \\ &\quad - 4c_{12}^2 s_{12}^2 c_{13}^4 \sin^2 \Delta_{21} \\ &\quad - 4c_{12}^2 c_{13}^2 s_{13}^2 \sin^2 \Delta_{31} \\ &\quad - 4s_{12}^2 c_{13}^2 s_{13}^2 \sin^2 \Delta_{32} \end{aligned}$$

$$\begin{aligned} \Delta_{ij} &= \frac{\Delta m_{ij}^2 L}{4E} \\ \Delta m_{ij}^2 &= m_i^2 - m_j^2 \end{aligned}$$

# A Simple Solution

For two-flavor oscillations:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

- ▶ Solar:  $\theta_{21}$ ,  $\Delta m_{21}^2$
- ▶ Reactor:  $\theta_{13}$ ,  $\Delta m_{ee}^2$

# Neutrino Oscillation Parameters Status

Six parameters:

- |   |   |
|---|---|
| 1. $\theta_{13} = 8.6^\circ$                              | 4. $\theta_{23} \sim 45^\circ$ (octant)                                     |
| 2. $\theta_{12} = 34^\circ$                               | 5. $ \Delta m_{31}^2  = 2.5 \times 10^{-3}$ eV <sup>2</sup> (mass ordering) |
| 3. $\Delta m_{21}^2 = 7.4 \times 10^{-5}$ eV <sup>2</sup> | 6. $\delta = ???$   |

NuFIT, 1811.05487

PMNS order allows for easy measurement of  $\theta_{13}$  and  $\theta_{12}$ .  
 $\theta_{23}$  and  $\delta_{\text{CP}}$  require full three-flavor description.

# Alternative Solutions: Example

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2 \theta_{13}}{\hat{C}^2} \sin^2(\hat{C}\hat{\Delta}), \quad (36a)$$

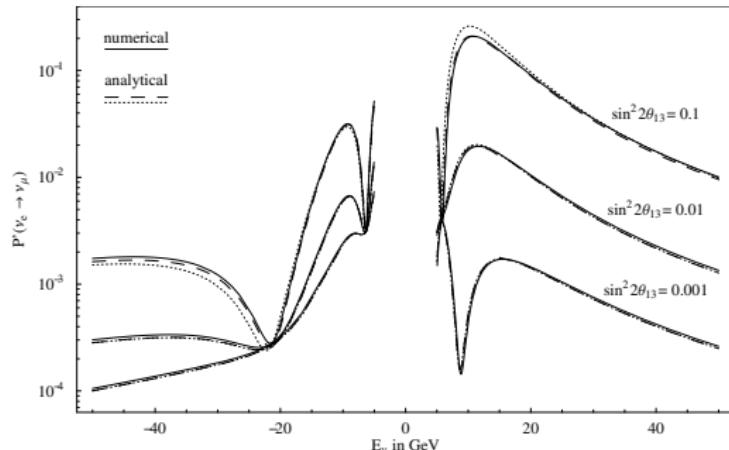
$$\begin{aligned} P_{\sin \delta} &= \frac{1}{2} \alpha \frac{\sin \delta \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \sin 2 \theta_{23}}{\hat{A} \hat{C} \cos \theta_{13}^2} \sin(\hat{C}\hat{\Delta}) \\ &\times \{\cos(\hat{C}\hat{\Delta}) - \cos((1+\hat{A})\hat{\Delta})\}, \end{aligned} \quad (36b)$$

$$\begin{aligned} P_{\cos \delta} &= \frac{1}{2} \alpha \frac{\cos \delta \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \sin 2 \theta_{23}}{\hat{A} \hat{C} \cos \theta_{13}^2} \sin(\hat{C}\hat{\Delta}) \\ &\times \{\sin((1+\hat{A})\hat{\Delta}) \mp \sin(\hat{C}\hat{\Delta})\}, \end{aligned} \quad (36c)$$

$$\begin{aligned} P_1 &= -\alpha \frac{1 - \hat{A} \cos 2 \theta_{13}}{\hat{C}^3} \sin^2 \theta_{12} \sin^2 2 \theta_{13} \sin^2 \theta_{23} \Delta \\ &\times \sin(2\hat{A}\hat{C}) + \alpha \frac{2\hat{A}(-\hat{A} + \cos 2 \theta_{13})}{\hat{C}^4} \\ &\times \sin^2 \theta_{12} \sin^2 2 \theta_{13} \sin^2 \theta_{23} \sin^2(\hat{C}\hat{\Delta}), \end{aligned} \quad (36d)$$

$$\begin{aligned} P_2 &= \alpha \frac{\mp 1 + \hat{C} \pm \hat{A} \cos 2 \theta_{13}}{2\hat{C}^2 \hat{A} \cos^2 \theta_{13}} \cos \theta_{13} \sin 2 \theta_{12} \sin 2 \theta_{13} \\ &\times \sin 2 \theta_{23} \sin^2(\hat{C}\hat{\Delta}), \end{aligned} \quad (36e)$$

$$\begin{aligned} P_3 &= \alpha^2 \frac{2\hat{C} \cos^2 \theta_{23} \sin^2 \theta_{12}}{\hat{A}^2 \cos^2 \theta_{13} (\mp \hat{A} + \hat{C} \pm \cos 2 \theta_{13})} \\ &\times \sin^2 \left( \frac{1}{2} (1 + \hat{A} \mp \hat{C}) \Delta \right). \end{aligned} \quad (36f)$$



M. Freund, [hep-ph/0103300](https://arxiv.org/abs/hep-ph/0103300)

# Our Methodology

- ▶ Start with  $\epsilon = \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} = 0.03$
- ▶ Perform one fixed and two variable rotations:  $(\theta_{23}, \delta)$ ,  $\tilde{\theta}_{13}$ ,  $\tilde{\theta}_{12}$
- ▶ Write the probabilities with simple  $L/E$  dependence:

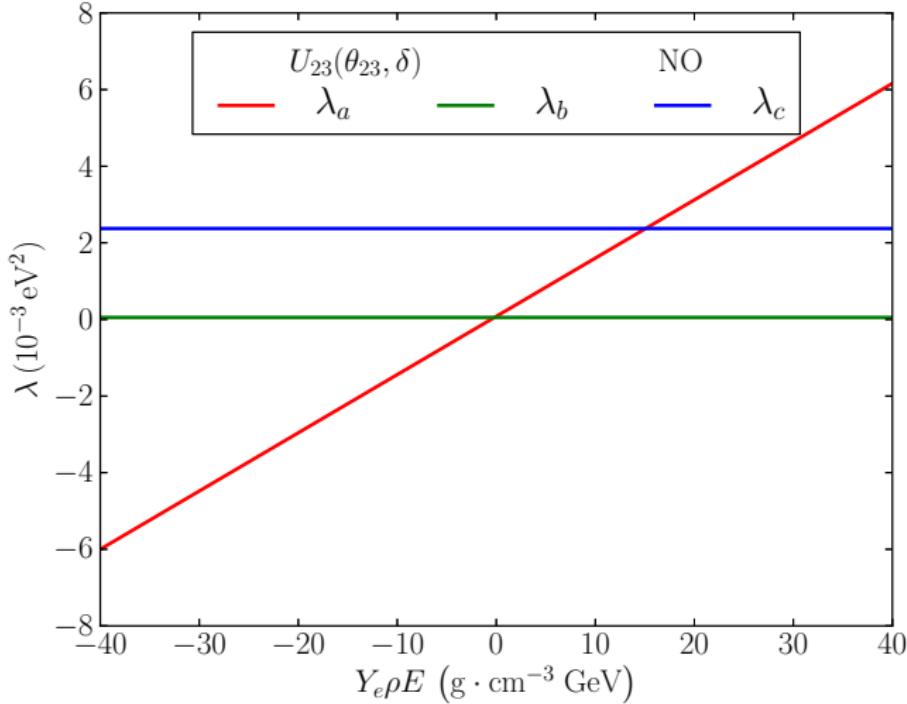
$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - \sum_{i < j} \Re [U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}] \sin^2 \Delta_{ij}$$
$$+ 8\Im [U_{\alpha 1} U_{\beta 2}^* U_{\alpha 2}^* U_{\beta 1}] \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

C. Jarlskog: [PRL 55 \(1985\)](#)

Nonvanishing Wronskian  $\Rightarrow$  fewest number of  $L/E$  functions

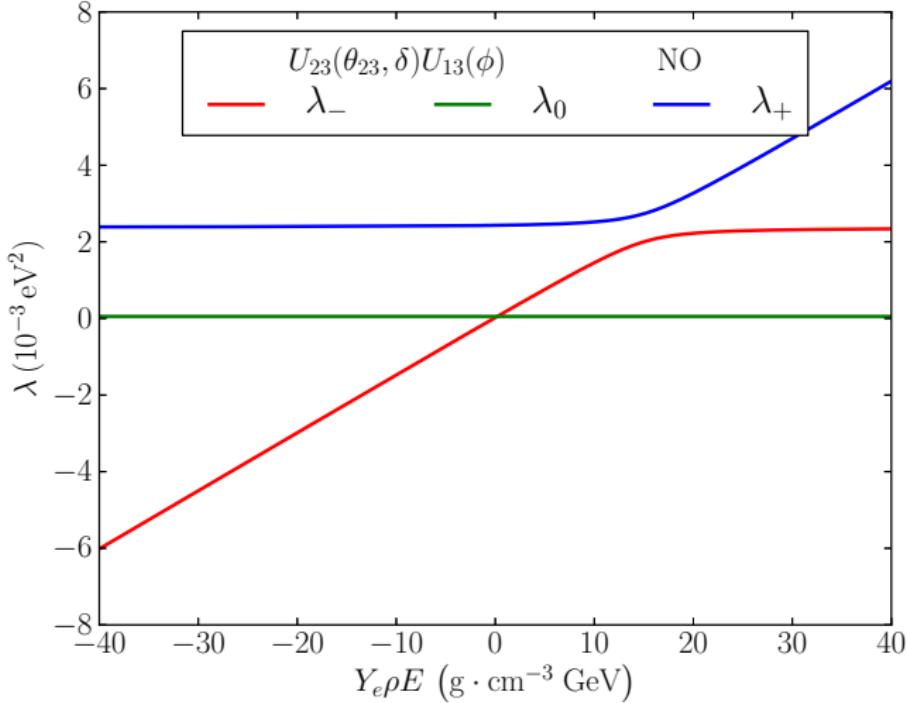
Clear that the CPV term is  $\mathcal{O}[(L/E)^3]$  not  $\mathcal{O}[(L/E)^1]$

# Eigenvalues in Matter: Two Rotations are Needed



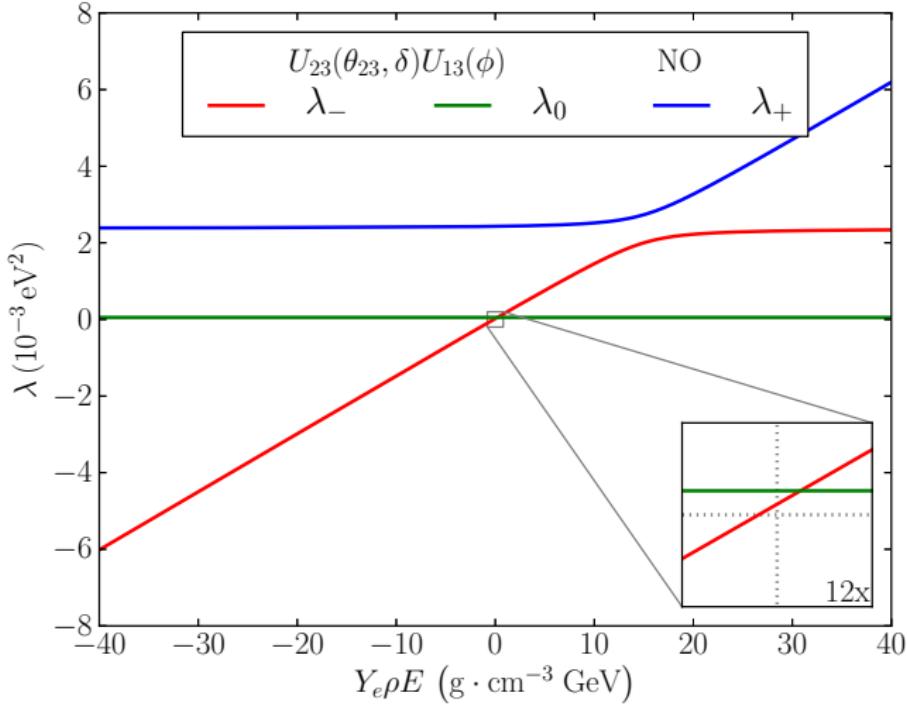
$$\widetilde{m}_a^2 = a + (s_{13}^2 + \epsilon s_{12}^2) \Delta m_{ee}^2, \quad \widetilde{m}_b^2 = \epsilon c_{12}^2 \Delta m_{ee}^2, \quad \widetilde{m}_c^2 = (c_{13}^2 + \epsilon s_{12}^2) \Delta m_{ee}^2$$

# Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_{\mp}^2 = \frac{1}{2} \left[ (\widetilde{m}_a^2 + \widetilde{m}_c^2) \mp \text{sgn}(\Delta m_{ee}^2) \sqrt{(\widetilde{m}_c^2 - \widetilde{m}_a^2)^2 + (2s_{13}c_{13}\Delta m_{ee}^2)^2} \right]$$
$$\widetilde{m}_0^2 = \widetilde{m}_b^2$$

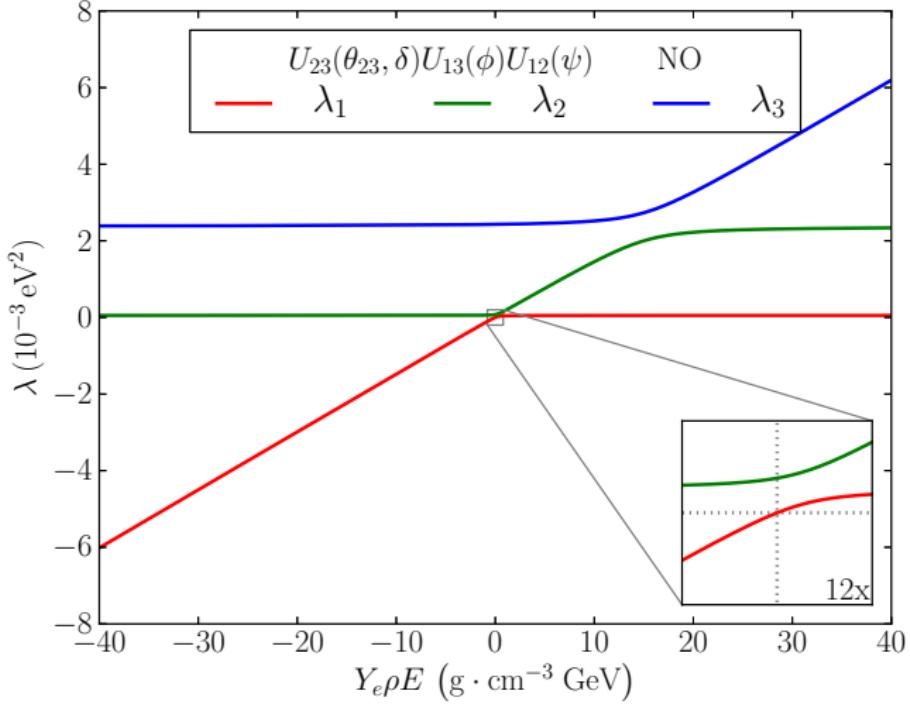
# Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_{\mp}^2 = \frac{1}{2} \left[ (\widetilde{m}_a^2 + \widetilde{m}_c^2) \mp \text{sgn}(\Delta m_{ee}^2) \sqrt{(\widetilde{m}_c^2 - \widetilde{m}_a^2)^2 + (2s_{13}c_{13}\Delta m_{ee}^2)^2} \right]$$

$$\widetilde{m}_0^2 = \widetilde{m}_b^2$$

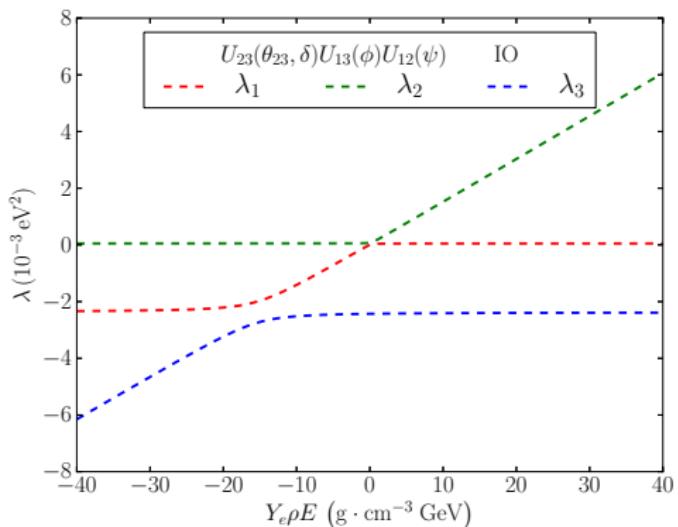
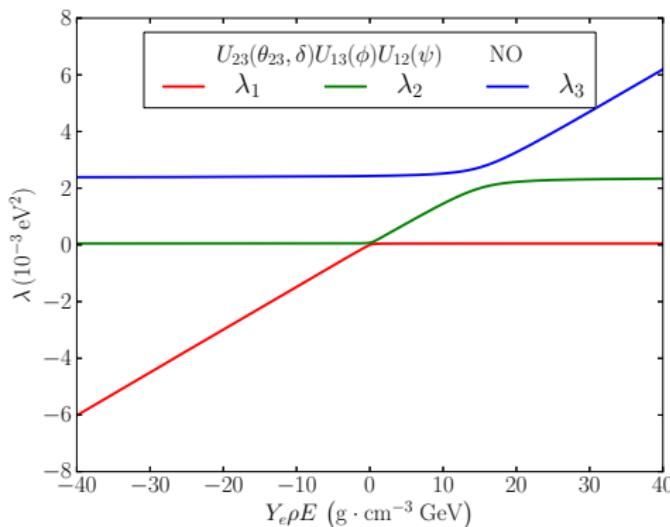
# Eigenvalues in Matter: Two Rotations are Needed



$$\widetilde{m}_{1,2}^2 = \frac{1}{2} \left[ (\widetilde{m}_0^2 + \widetilde{m}_-^2) \mp \sqrt{(\widetilde{m}_0^2 - \widetilde{m}_-^2)^2 + (2\epsilon c_{(\tilde{\theta}_{13}-\theta_{13})} c_{12} s_{12} \Delta m_{ee}^2)^2} \right]$$

$$\widetilde{m}_3^2 = \widetilde{m}_+^2$$

# Eigenvalues in Matter: Mass Ordering



NO

$$\widetilde{m}_1^2 < \widetilde{m}_2^2 < \widetilde{m}_3^2$$

IO

$$\widetilde{m}_3^2 < \widetilde{m}_1^2 < \widetilde{m}_2^2$$

# 1 + 2 Rotations

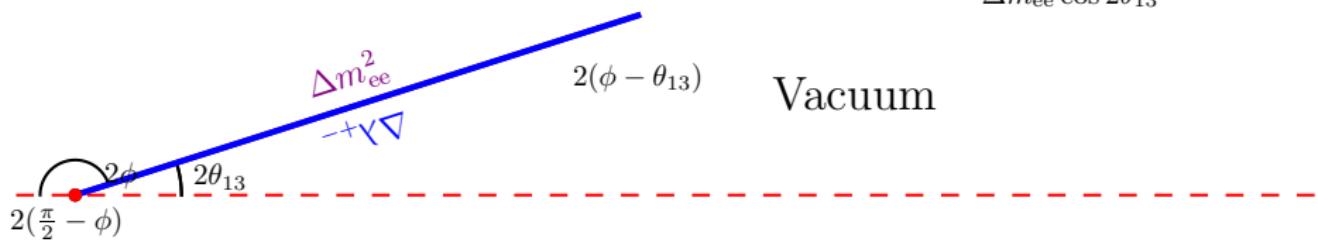
1. Perform a constant  $U_{23}(\theta_{23}, \delta)$  rotation
  - ▶  $U_{23}$  commutes with the matter potential
  - ▶ Resultant Hamiltonian is real
  - ▶ ‘Expansion parameter’ is  $c_{13}s_{13} = 0.15$  at this point
2. Diagonalize the diagonal and  $\mathcal{O}(\epsilon^0)$  off-diagonal terms with  $U_{13}(\tilde{\theta}_{13})$ 
  - ▶  $\tilde{\theta}_{13}(a=0) = \theta_{13}$
  - ▶ Expansion parameter is  $c_{12}s_{12}\frac{\Delta m_{21}^2}{\Delta m_{ee}^2} = 0.015$

H. Minakata, S. Parke, [1505.01826](#)

3. Diagonalize the terms non-zero in vacuum with  $U_{12}(\tilde{\theta}_{12})$ 
  - ▶  $\tilde{\theta}_{12}(a=0) = \theta_{12}$
  - ▶ Expansion parameter is now  $\epsilon' = c_{12}s_{12} \cancel{s}_{(\tilde{\theta}_{13}-\theta_{13})} \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} < 0.015$
  - ▶  $\epsilon'(a=0) = 0$

## 31 Triangle

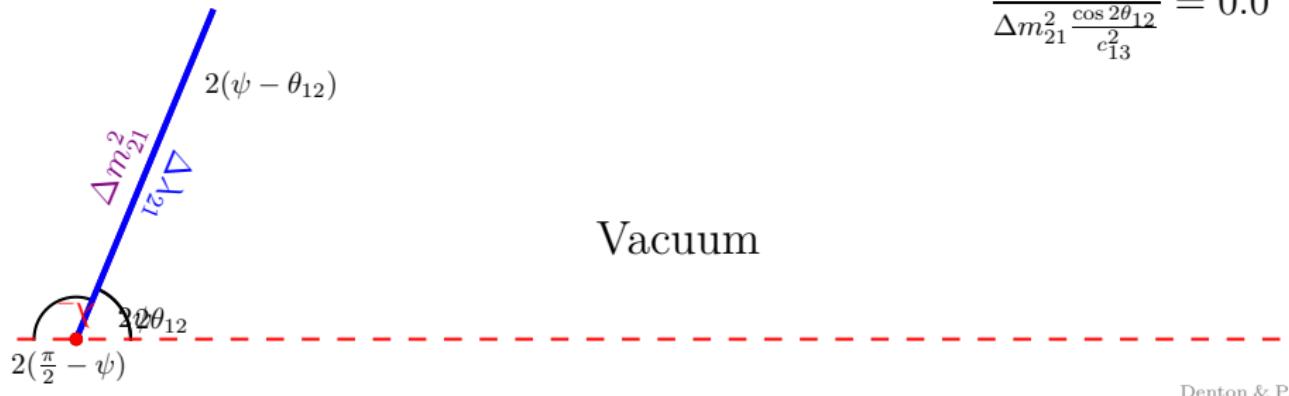
$$\frac{a}{\Delta m_{ee}^2 \cos 2\theta_{13}} = 0.0$$



Denton & Parke

# 31 Triangle

## 21 Triangle



Denton & Parke

# 21 Triangle

## CPV Term

The exact CPV term in matter is

$$P \supset \pm 8 s_\delta c_{12} s_{12} c_{13}^2 s_{13} c_{23} s_{23} \prod_{i>j} \frac{\Delta m_{ij}^2}{\widetilde{\Delta m_{ij}^2}} \sin \widetilde{\Delta_{32}} \sin \widetilde{\Delta_{31}} \sin \widetilde{\Delta_{21}}$$

V. Naumov, Int. J. Mod. Phys. 1992

P. Harrison, W. Scott, [hep-ph/9912435](#)

Our expression reproduces this order by order in  $\epsilon'$  for all channels.

# Exact Neutrino Oscillations in Matter: Mixing Angles

$$s_{\widehat{12}}^2 = \frac{- \left[ (\widehat{m^2}_2)^2 - \alpha \widehat{m^2}_2 + \beta \right] \Delta \widetilde{m^2}_{31}}{\left[ (\widehat{m^2}_1)^2 - \alpha \widehat{m^2}_1 + \beta \right] \Delta \widetilde{m^2}_{32} - \left[ (\widehat{m^2}_2)^2 - \alpha \widehat{m^2}_2 + \beta \right] \Delta \widetilde{m^2}_{31}}$$

$$s_{\widehat{13}}^2 = \frac{(\widehat{m^2}_3)^2 - \alpha \widehat{m^2}_3 + \beta}{\Delta \widetilde{m^2}_{31} \Delta \widetilde{m^2}_{32}}$$

$$s_{\widehat{23}}^2 = \frac{s_{23}^2 E^2 + c_{23}^2 F^2 + 2c_{23}s_{23}c_\delta EF}{E^2 + F^2}$$

$$e^{-i\widehat{\delta}} = \frac{c_{23}^2 s_{23}^2 (e^{-i\delta} E^2 - e^{i\delta} F^2) + (c_{23}^2 - s_{23}^2) EF}{\sqrt{(s_{23}^2 E^2 + c_{23}^2 F^2 + 2EFc_{23}s_{23}c_\delta) (c_{23}^2 E^2 + s_{23}^2 F^2 - 2EFc_{23}s_{23}c_\delta)}}$$

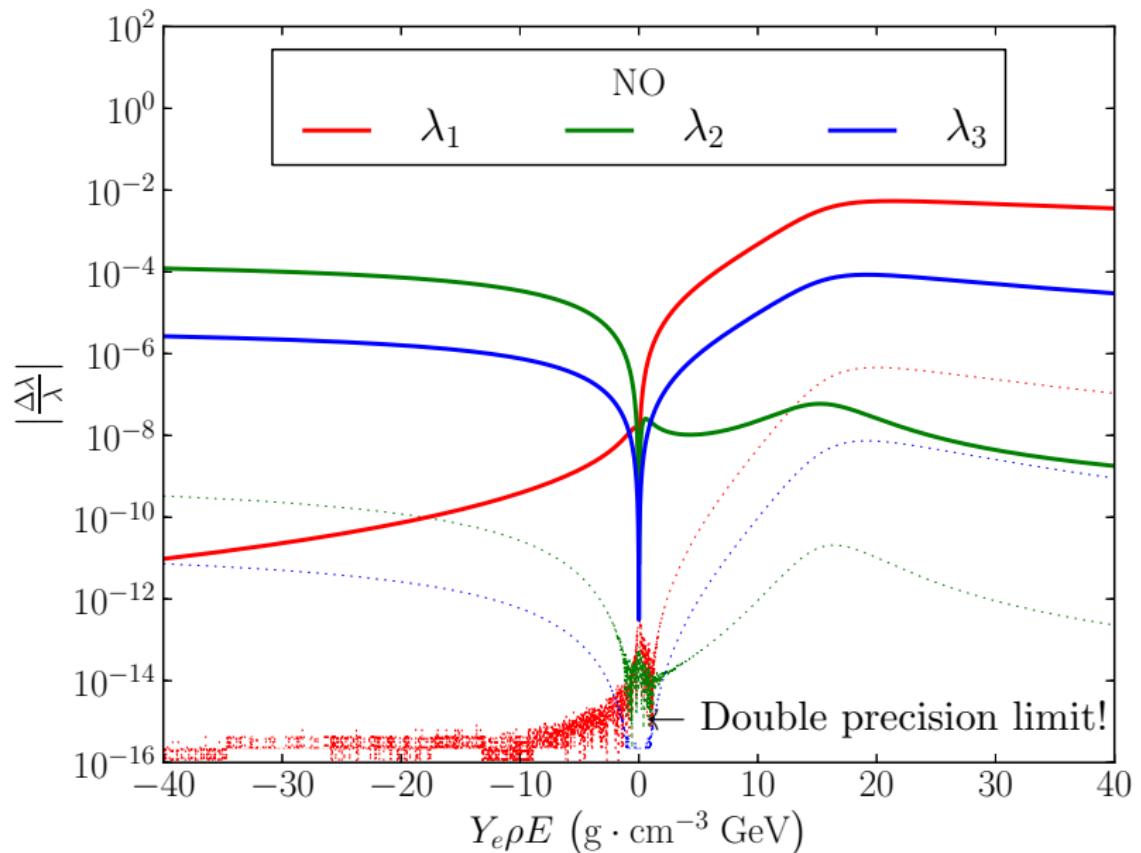
$$\alpha = c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2, \quad \beta = c_{12}^2 c_{13}^2 \Delta m_{21}^2 \Delta m_{31}^2$$

$$E = c_{13}s_{13} \left[ \left( \widehat{m^2}_3 - \Delta m_{21}^2 \right) \Delta m_{31}^2 - s_{12}^2 \left( \widehat{m^2}_3 - \Delta m_{31}^2 \right) \Delta m_{21}^2 \right]$$

$$F = c_{12}s_{12}c_{13} \left( \widehat{m^2}_3 - \Delta m_{31}^2 \right) \Delta m_{21}^2$$

H. Zaglauer, K. Schwarzer, Z. Phys. C Particles and Fields, 40 (1988)

# Eigenvalues: Precision



# Hamiltonians

After a constant  $(\theta_{23}, \delta)$  rotation,  $2E\tilde{H} =$

$$\begin{pmatrix} \widetilde{m}_a^2 & s_{13}c_{13}\Delta m_{ee}^2 \\ s_{13}c_{13}\Delta m_{ee}^2 & \widetilde{m}_c^2 \end{pmatrix} + \epsilon s_{12}c_{12}\Delta m_{ee}^2 \begin{pmatrix} c_{13} & c_{13} \\ -s_{13} & -s_{13} \end{pmatrix}$$

After a  $U_{13}(\tilde{\theta}_{13})$  rotation,  $2E\hat{H} =$

$$\begin{pmatrix} \widetilde{m}_-^2 & & \\ & \widetilde{m}_0^2 & \\ & & \widetilde{m}_+^2 \end{pmatrix} + \epsilon c_{12}s_{12}\Delta m_{ee}^2 \begin{pmatrix} c_{(\tilde{\theta}_{13}-\theta_{13})} & c_{(\tilde{\theta}_{13}-\theta_{13})} & \\ c_{(\tilde{\theta}_{13}-\theta_{13})} & s_{(\tilde{\theta}_{13}-\theta_{13})} & \\ s_{(\tilde{\theta}_{13}-\theta_{13})} & & \end{pmatrix}$$

After a  $U_{12}(\tilde{\theta}_{12})$  rotation,  $2E\check{H} =$

$$\begin{pmatrix} \widetilde{m}_1^2 & & \\ & \widetilde{m}_2^2 & \\ & & \widetilde{m}_3^2 \end{pmatrix} + \epsilon s_{(\tilde{\theta}_{13}-\theta_{13})}s_{12}c_{12}\Delta m_{ee}^2 \begin{pmatrix} & & -s_{\widetilde{12}} \\ & & c_{\widetilde{12}} \\ -s_{\widetilde{12}}^2 & c_{\widetilde{12}} & \end{pmatrix}$$

# Perturbative Expansion

Hamiltonian:  $\check{H} = \check{H}_0 + \check{H}_1$

$$\check{H}_0 = \frac{1}{2E} \begin{pmatrix} \widetilde{m^2}_1 & & \\ & \widetilde{m^2}_2 & \\ & & \widetilde{m^2}_3 \end{pmatrix}, \quad \check{H}_1 = \epsilon' \frac{\Delta m_{ee}^2}{2E} \begin{pmatrix} & -s_{\widetilde{12}} \\ -s_{\widetilde{12}} & c_{\widetilde{12}} \end{pmatrix}$$

Eigenvalues:  $\widetilde{m^2}_i^{\text{ex}} = \widetilde{m^2}_i + \widetilde{m^2}_i^{(1)} + \widetilde{m^2}_i^{(2)} + \dots$

$$\widetilde{m^2}_i^{(1)} = 2E(\check{H}_1)_{ii} = 0$$

$$\widetilde{m^2}_i^{(2)} = \sum_{k \neq i} \frac{[2E(\check{H}_1)_{ik}]^2}{\Delta \widetilde{m^2}_{ik}}$$

# Perturbative Expansion: Eigenvectors

Use vacuum expressions with  $U \rightarrow \tilde{U}$  where

$$V = \tilde{U}W$$

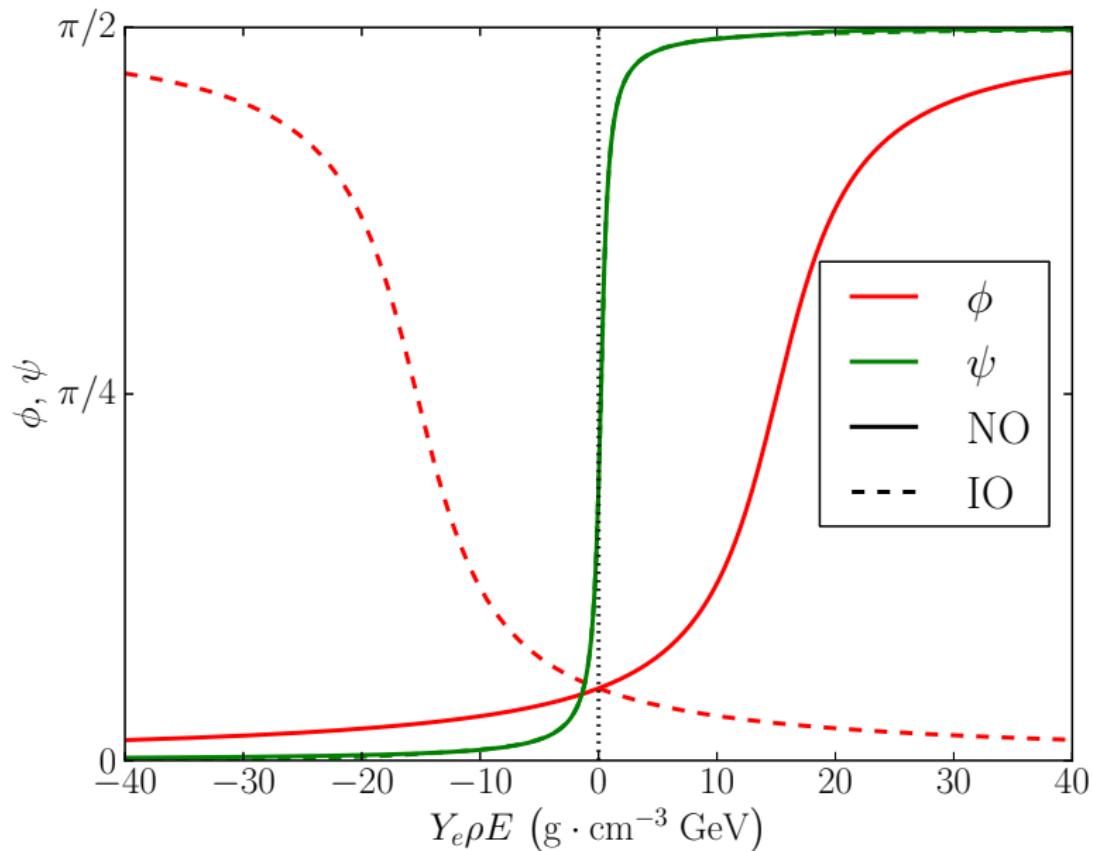
$\tilde{U}$  is  $U$  with  $\theta_{13} \rightarrow \tilde{\theta}_{13}$  and  $\theta_{12} \rightarrow \tilde{\theta}_{12}$ ,

$$W = W_0 + W_1 + W_2 + \dots \quad W_0 = \mathbb{1}$$

$$W_1 = \epsilon' \Delta m_{ee}^2 \begin{pmatrix} & -\frac{s_{\tilde{12}}}{\Delta m_{31}^2} \\ \frac{s_{\tilde{12}}}{\Delta m_{31}^2} & -\frac{c_{\tilde{12}}}{\Delta m_{32}^2} \end{pmatrix}$$

$$W_2 = -\epsilon'^2 \frac{(\Delta m_{ee}^2)^2}{2} \begin{pmatrix} \frac{s_{\tilde{12}}^2}{(\Delta m_{31}^2)^2} & -\frac{s_{2\tilde{12}}}{\Delta m_{32}^2 \Delta m_{21}^2} \\ \frac{s_{2\tilde{12}}}{\Delta m_{31}^2 \Delta m_{21}^2} & \frac{c_{\tilde{12}}^2}{(\Delta m_{32}^2)^2} \end{pmatrix} \left[ \frac{c_{\tilde{12}}^2}{(\Delta m_{32}^2)^2} + \frac{s_{\tilde{12}}^2}{(\Delta m_{31}^2)^2} \right]$$

# The Two Matter Angles



## $\widetilde{m}^2_{1,2} - \widetilde{\theta}_{12}$ Interchange

From the shape of  $U_{12}(\widetilde{\theta}_{12})$ , it is clear that the probabilities are invariant under a simultaneous interchange of

$$\widetilde{m}^2_1 \leftrightarrow \widetilde{m}^2_2, \quad \text{and} \quad \widetilde{\theta}_{12} \rightarrow \widetilde{\theta}_{12} \pm \frac{\pi}{2}.$$

Since only even powers of  $\widetilde{\theta}_{12}$  trig functions  $c_{\widetilde{12}}^2, s_{\widetilde{12}}^2, c_{\widetilde{12}}s_{\widetilde{12}}, \cos(2\widetilde{\theta}_{12}), \sin(2\widetilde{\theta}_{12})$  appear in the probabilities, the sign degeneracy is irrelevant.

More usefully, we can write that the probabilities are invariant under the simultaneous interchange of

$$\widetilde{m}^2_1 \leftrightarrow \widetilde{m}^2_2, \quad c_{\widetilde{12}}^2 \leftrightarrow s_{\widetilde{12}}^2, \quad \text{and} \quad c_{\widetilde{12}}s_{\widetilde{12}} \rightarrow -c_{\widetilde{12}}s_{\widetilde{12}}.$$

This interchange constrains the  $\sin^2 \Delta_{21}$  term, and the  $\sin^2 \Delta_{32}$  term easily follows from the  $\sin^2 \Delta_{31}$  term.

# Zeroth Order Coefficients

$$P_{\alpha\beta} = \delta_{\alpha\beta} + 4C_{21}^{\alpha\beta} \sin^2 \Delta_{21} + 4C_{31}^{\alpha\beta} \sin^2 \Delta_{31} + 4C_{32}^{\alpha\beta} \sin^2 \Delta_{32} \\ + 8D^{\alpha\beta} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

$\nu_\alpha \rightarrow \nu_\beta$	$(C_{21}^{\alpha\beta})^{(0)}$	
$\nu_e \rightarrow \nu_e$	$-c_{\widetilde{13}}^4 s_{\widetilde{12}}^2 c_{\widetilde{12}}^2$	
$\nu_\mu \rightarrow \nu_e$	$c_{\widetilde{13}}^2 s_{\widetilde{12}}^2 c_{\widetilde{12}}^2 (c_{23}^2 - s_{\widetilde{13}}^2 s_{23}^2) + c_{2\widetilde{12}} J_r^m c_\delta$	
$\nu_\mu \rightarrow \nu_\mu$	$-(c_{23}^2 c_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 s_{\widetilde{12}}^2)(c_{23}^2 s_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2) \\ - 2(c_{23}^2 - s_{\widetilde{13}}^2 s_{23}^2)c_{2\widetilde{12}} J_{rr}^m c_\delta + (2J_{rr}^m c_\delta)^2$	
$\nu_\alpha \rightarrow \nu_\beta$	$(C_{31}^{\alpha\beta})^{(0)}$	$(D^{\alpha\beta})^{(0)}$
$\nu_e \rightarrow \nu_e$	$-c_{\widetilde{13}}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2$	0
$\nu_\mu \rightarrow \nu_e$	$s_{\widetilde{13}}^2 c_{\widetilde{13}}^2 c_{\widetilde{12}}^2 s_{23}^2 + J_r^m c_\delta$	$-J_r^m s_\delta$
$\nu_\mu \rightarrow \nu_\mu$	$-c_{\widetilde{13}}^2 s_{23}^2 (c_{23}^2 s_{\widetilde{12}}^2 + s_{23}^2 s_{\widetilde{13}}^2 c_{\widetilde{12}}^2) \\ - 2s_{23}^2 J_r^m c_\delta$	0

$$J_r^m \equiv s_{\widetilde{12}} c_{\widetilde{12}} s_{\widetilde{13}} c_{\widetilde{13}}^2 s_{23} c_{23}, \quad J_{rr}^m \equiv J_r^m / c_{\widetilde{13}}^2$$

# General Form of the First Order Coefficients

Can reduce 8 expressions down to 3:

$$(C_{21}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left( \frac{F_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} + \frac{F_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(C_{31}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left( \frac{F_1^{\alpha\beta} + G_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} - \frac{F_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(C_{32}^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left( -\frac{F_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} + \frac{F_2^{\alpha\beta} + G_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$(D^{\alpha\beta})^{(1)} = \epsilon' \Delta m_{ee}^2 \left( \frac{K_1^{\alpha\beta}}{\Delta \tilde{m}_{31}^2} - \frac{K_2^{\alpha\beta}}{\Delta \tilde{m}_{32}^2} \right)$$

$$K_1^{\alpha\beta} = \begin{cases} 0 & \alpha = \beta \\ \mp s_{23} c_{23} c_{\tilde{13}} s_{\tilde{12}}^2 (c_{\tilde{13}}^2 c_{\tilde{12}}^2 - s_{\tilde{13}}^2) s_\delta & \alpha \neq \beta \end{cases}$$

where the minus sign is for  $\nu_\mu \rightarrow \nu_e$

# First Order Coefficients

$\nu_\alpha \rightarrow \nu_\beta$	$F_1^{\alpha\beta}$
$\nu_e \rightarrow \nu_e$	$-2c_{\widetilde{13}}^3 s_{\widetilde{13}} s_{\widetilde{12}}^3 c_{\widetilde{12}}$
$\nu_\mu \rightarrow \nu_e$	$c_{\widetilde{13}} s_{\widetilde{12}}^2 [s_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} (c_{23}^2 + c_{2\widetilde{13}} s_{23}^2)$ $-s_{23} c_{23} (s_{\widetilde{13}}^2 s_{\widetilde{12}}^2 + c_{2\widetilde{13}} c_{\widetilde{12}}^2) c_\delta]$
$\nu_\mu \rightarrow \nu_\mu$	$2c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 s_{\widetilde{13}} c_{\widetilde{12}} + s_{23} c_{23} s_{\widetilde{12}} c_\delta) \times$ $(c_{23}^2 c_{\widetilde{12}}^2 - 2s_{23} c_{23} s_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} c_\delta + s_{23}^2 s_{\widetilde{13}}^2 s_{\widetilde{12}}^2)$

$\nu_\alpha \rightarrow \nu_\beta$	$G_1^{\alpha\beta}$
$\nu_e \rightarrow \nu_e$	$2s_{\widetilde{13}} c_{\widetilde{13}} s_{\widetilde{12}} c_{\widetilde{12}} c_{2\widetilde{13}}$
$\nu_\mu \rightarrow \nu_e$	$-2s_{\widetilde{13}} c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 c_{2\widetilde{13}} c_{\widetilde{12}} - s_{23} c_{23} s_{\widetilde{13}} s_{\widetilde{12}} c_\delta)$
$\nu_\mu \rightarrow \nu_\mu$	$-2c_{\widetilde{13}} s_{\widetilde{12}} (s_{23}^2 s_{\widetilde{13}} c_{\widetilde{12}} + s_{23} c_{23} s_{\widetilde{12}} c_\delta)$ $\times (1 - 2c_{\widetilde{13}}^2 s_{23}^2)$

# Variable Matter Density

This work assumed  $\rho$  is constant

If  $\rho$  doesn't vary too much, we can set  $\rho$  to the average

$$\rho = \bar{\rho} = \frac{1}{L} \int_0^L \rho(x) dx$$

$\rho$  doesn't vary "too much" when

$$|\dot{\hat{\theta}}| \ll \left| \frac{\Delta \widehat{m^2}}{2E} \right|$$