

# The impact of keV sterile neutrinos on core-collapse supernovae

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University of Copenhagen



Brookhaven Neutrino Theory Virtual Seminars

# Overview

- ① Core-collapse supernovae
- ② Sterile neutrinos with keV masses
- ③ Sterile neutrino conversions in the stellar core
- ④ The sterile-tau neutrino mixing
- ⑤ The sterile-electron neutrino mixing
- ⑥ Conclusions

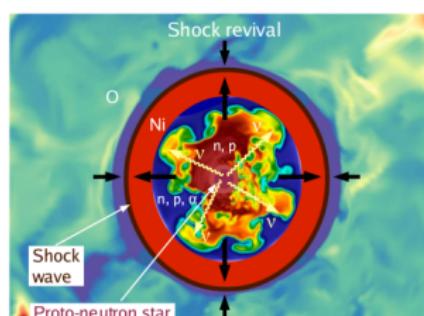
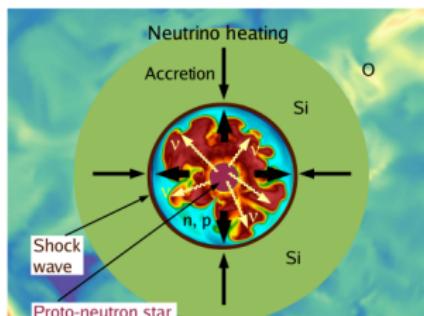
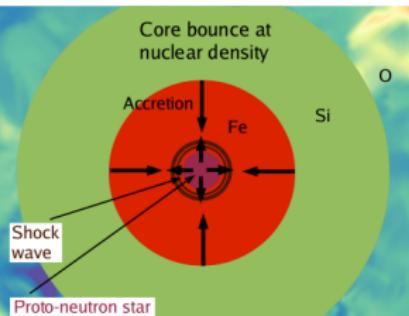
# Core-collapse supernovae

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# Why are neutrinos important for a core-collapse supernova?

## Different phases of a core-collapse supernova explosion

- Neutronization phase,  
 $\nu_e$  burst  $\sim 40$  ms
- Accretion phase,  
 $\sim 100$  ms
- Cooling phase,  
 $\sim 10$  s



H. T. Janka, arXiv:1702.08713

# Neutrino flavors

## active neutrinos



# Neutrino flavors

## active neutrinos



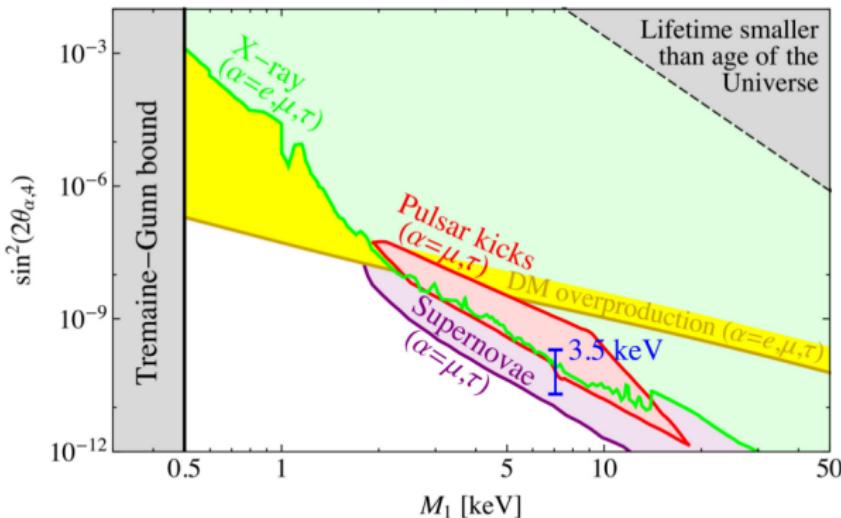
+ sterile neutrino



## **Sterile neutrinos with keV masses**

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# Sterile neutrino as dark matter candidate



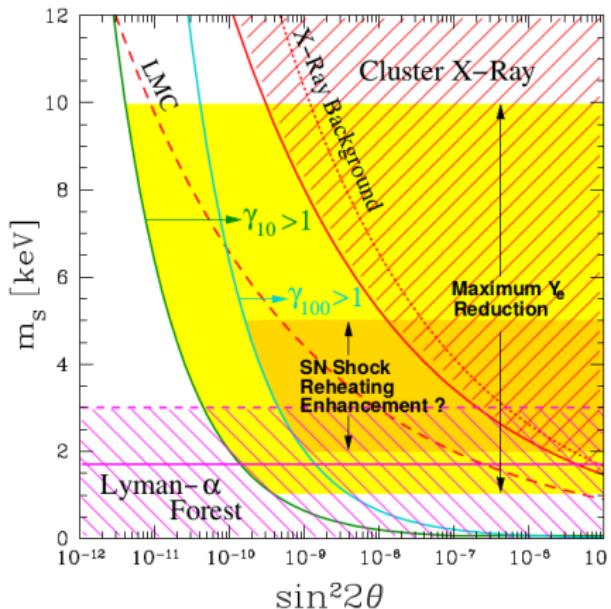
## Favorable regions

- Pulsar kicks  
(A. Kusenko (2004))
- 3.5 keV line  
(A. Boyarsky et al. (2014),  
E. Bulbul et al. (2014))

## Constraints

- Supernovae energy bounds (X. Shi & G.Sigl (1994)), ...
- DM overproduction (S. Dodelson, L. M. Widrow (1994), X. Shi, G. M. Fuller (1999))
- Radiative decay (NuSTAR, XMM, Chandra)
- Tremaine-Gunn bound

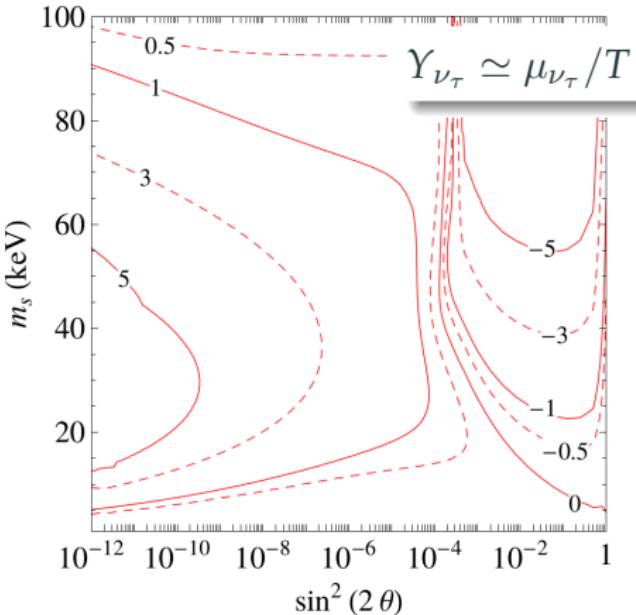
# The role of sterile neutrinos in supernovae



J. Hidaka and G. M. Fuller (2006)

- Suppression /enhancement of the SN explosion
- Change of the electron or neutrino ( $\nu_e, \nu_\mu, \nu_\tau$ ) fractions

H. Nunokawa et al. (1997), M. L. Warren et al. (2014), C. A. Argüelles et al. (2016), V. Syvolap et al. (2019)

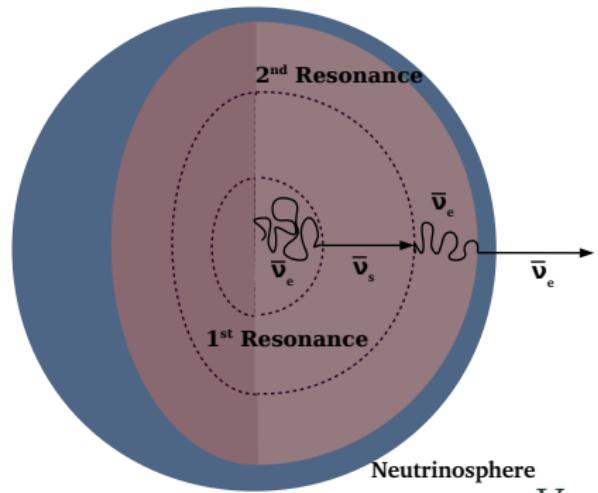


G. G. Raffelt and S. Zhou (2011)

# **Sterile neutrino conversions in the stellar core**

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# Sterile neutrino conversions in the stellar core



1D SN model  
Garching group  
archive

MSW

$\nu_\tau - \nu_s$  mixing: only 1 resonance

$$V_{\text{eff}} = \sqrt{2} G_F n_B \left[ \frac{1}{2} Y_e + Y_{\nu_e} + Y_{\nu_\mu} + 2Y_{\nu_\tau} - \frac{1}{2} \right]$$

Collisions

$\nu_e - \nu_s$  mixing: multiple resonances

$$\Gamma_{\nu_s} = \sin^2 2\tilde{\theta} \Gamma_{\nu_{\text{active}}}$$

$$V_{\text{eff}} = \sqrt{2} G_F n_B \left[ \frac{3}{2} Y_e + 2Y_{\nu_e} + Y_{\nu_\mu} + Y_{\nu_\tau} - \frac{1}{2} \right]$$

L. Stodolsky (1987), H. Nunokawa et al. (1997), K. Abazajian et al. (2001)

# Sterile neutrino conversions in the stellar core

## Collisional production

$$\langle P_{\nu_{\text{active}} \rightarrow \nu_s}(E) \rangle \approx \frac{1}{2} \frac{\sin^2 2\theta}{(\cos 2\theta - 2V_{\text{eff}} E/m_s^2)^2 + \sin 2\theta^2 + D^2}$$

## MSW production

$$P_{\nu_{\text{active}} \rightarrow \nu_s}(E_{\text{res}}) = 1 - \exp\left(-\frac{\pi^2}{2}\gamma\right), \quad \gamma = \Delta_{\text{res}}/l_{\text{osc}}$$

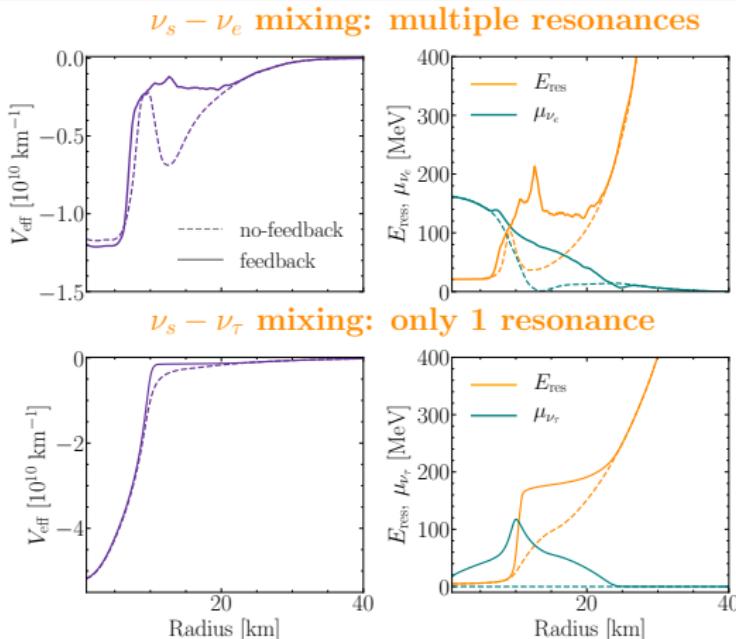
$$\Gamma_\nu(E) \simeq n(r)\sigma(E, r)$$

$$D = \frac{E\Gamma_{\nu_{\text{active}}}(E)}{m_s^2}$$

$$\Delta_{\text{res}} = \tan 2\theta \left| \frac{dV_{\text{eff}}/dr}{V_{\text{eff}}} \right|^{-1}$$

$$l_{\text{osc}}(E_{\text{res}}) = (2\pi E_{\text{res}})/(\Delta m_s^2 \sin 2\theta)$$

# Sterile neutrino conversions in the stellar core



1D SN model  
Garching group archive

$$E_{\text{res}} = \frac{\cos 2\theta \Delta m_s^2}{2V_{\text{eff}}}$$

- Negative  $V_{\text{eff}}$  → MSW resonances only for antineutrinos.
- Growing chemical potential slows down  $\bar{\nu}_s$  production.

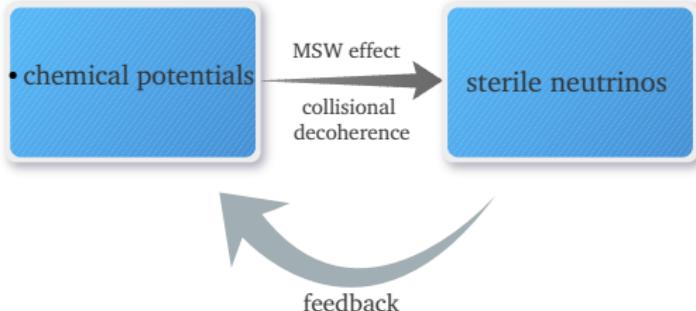
# The sterile-tau neutrino mixing

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# Development of the neutrino lepton asymmetry

Only active neutrinos

$$Y_{\nu_\tau}(r, t) \equiv 0$$

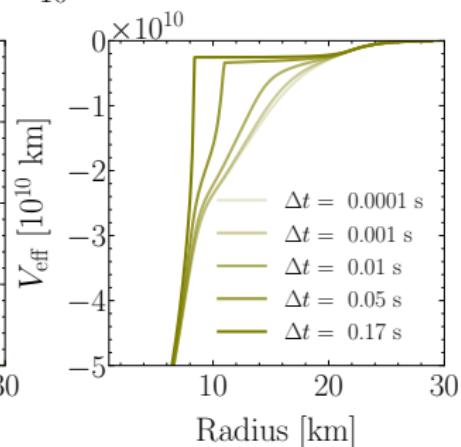
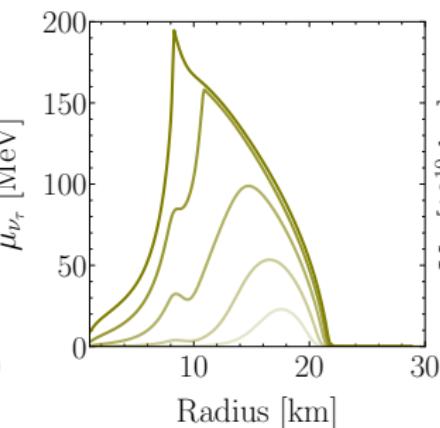
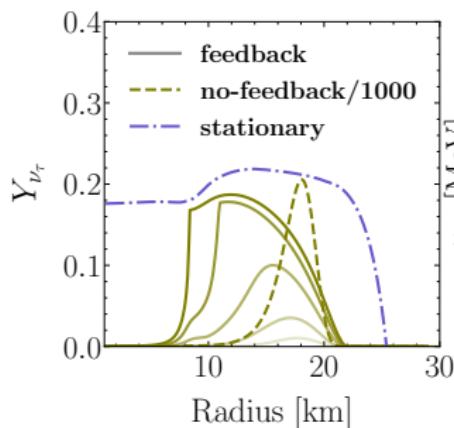


Active + sterile neutrinos

$$Y_{\nu_\tau}(r, t) = \frac{1}{n_b(r)} \int_0^t dt' \frac{d(P_{\nu_\tau \rightarrow \nu_s} n_{\nu_\tau}(r, t') - P_{\bar{\nu}_\tau \rightarrow \bar{\nu}_s} n_{\bar{\nu}_\tau}(r, t'))}{dt'}$$

# Radial evolution of the asymmetry w and w/o feedback

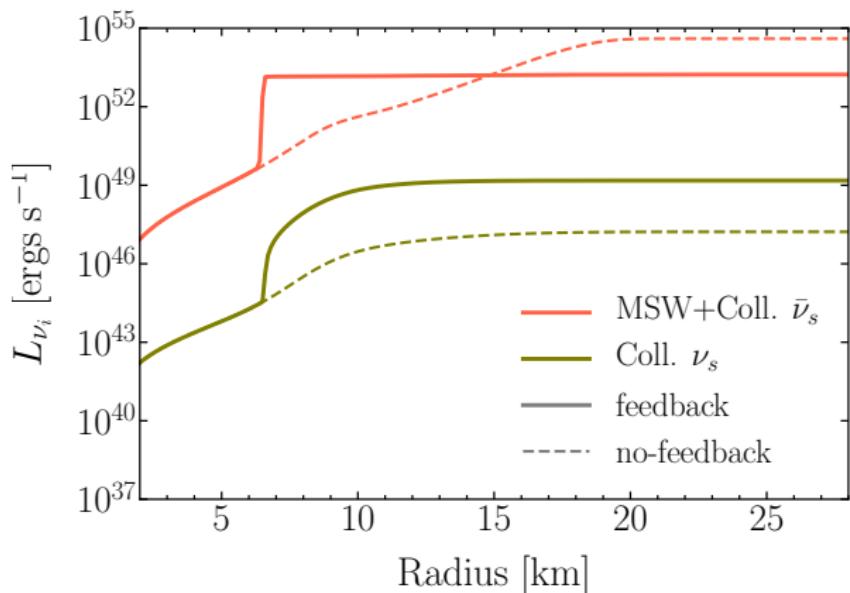
$$t_{\text{pb}} = 0.5 + \Delta t \text{ s}, \Delta m_s = 10 \text{ keV}, \sin^2 2\theta = 10^{-10}$$



- Feedback inhibits  $Y_{\nu_\tau}$  from unphysical growth.
- The  $\nu_\tau$  chemical potential grows significantly.

# Tau-sterile mixing: sterile neutrino luminosity

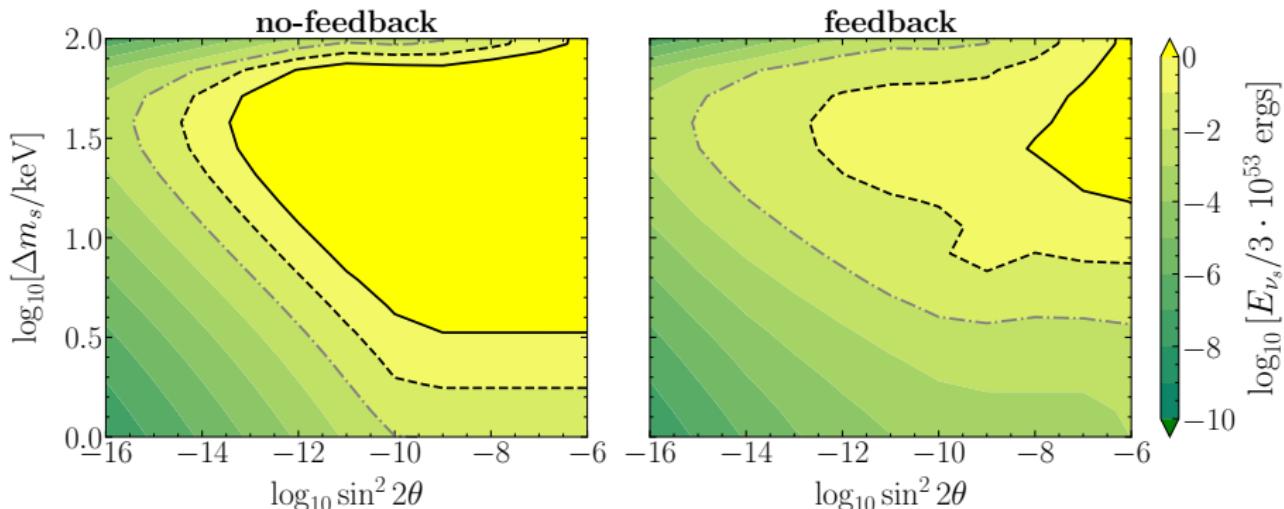
$$m_s = 10 \text{ keV}, \sin^2 2\theta = 10^{-10}$$



- The total luminosity ( $\nu_s + \bar{\nu}_s$ ) decreases with time.

# The supernova bounds on the mixing parameters

$$t_{\text{pb}} = 0.5 \text{ s}$$



- The inclusion of feedback greatly reduces the excluded region.
- Large region of the parameter space still compatible with SNe

# The sterile-electron neutrino mixing

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# Equations describing the dynamical feedback



$\beta$  equilibrium

$$\mu_e(r, t) + \mu_p(r, t) + m_p = \mu_{\nu_e}(r, t) + \mu_n(r, t) + m_n ,$$

Lepton number conservation

$$Y_e(r, t) + Y_{\nu_e}(r, t) + Y_{\nu_s}(r, t) = \text{const.} ,$$

Charge conservation

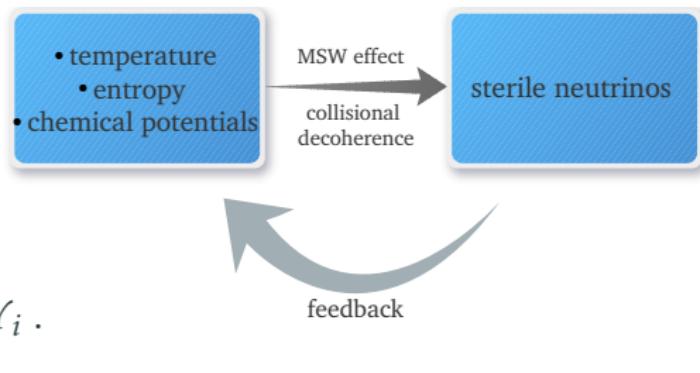
$$Y_p(r, t) + Y_n(r, t) = 1 ,$$

Baryon number conservation

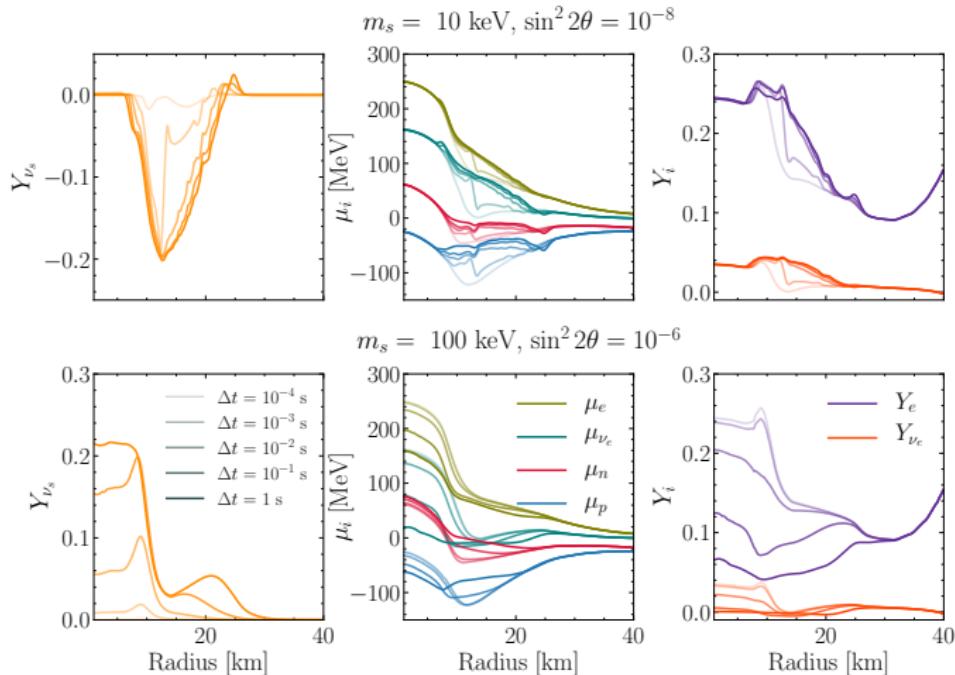
$$Y_p(r, t) = Y_e(r, t) ,$$

Entropy change

$$dS = Q/T + P/TdV - \sum_i \mu_i/TdY_i .$$

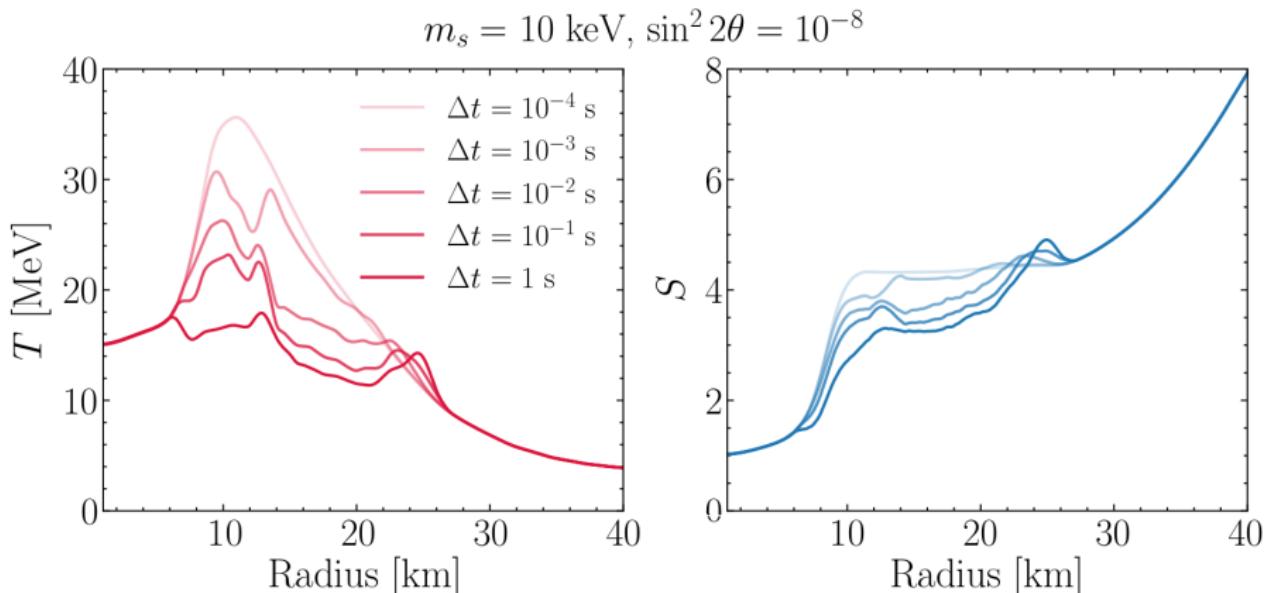


# Radial evolution of the asymmetry



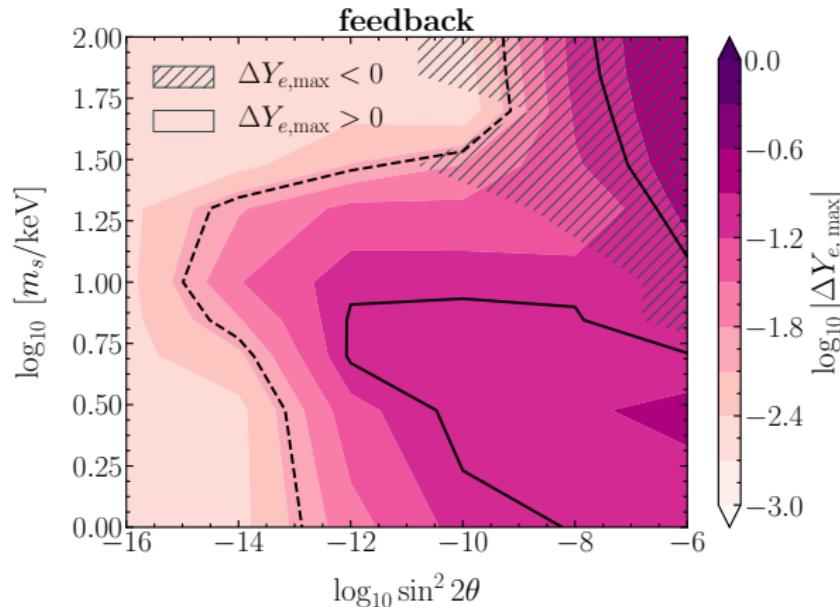
- Sterile particles modify the  $Y_e$ ,  $Y_{\nu_e}$ ,  $Y_p$  and  $Y_n$ .
- The sign of the generated change depends greatly on the  $m_s$ .

# Radial evolution of the temperature and entropy per baryon



- The  $\nu_s - \nu_e$  mixing induces large variations on
  - the entropy per baryon,
  - the supernova medium temperature.

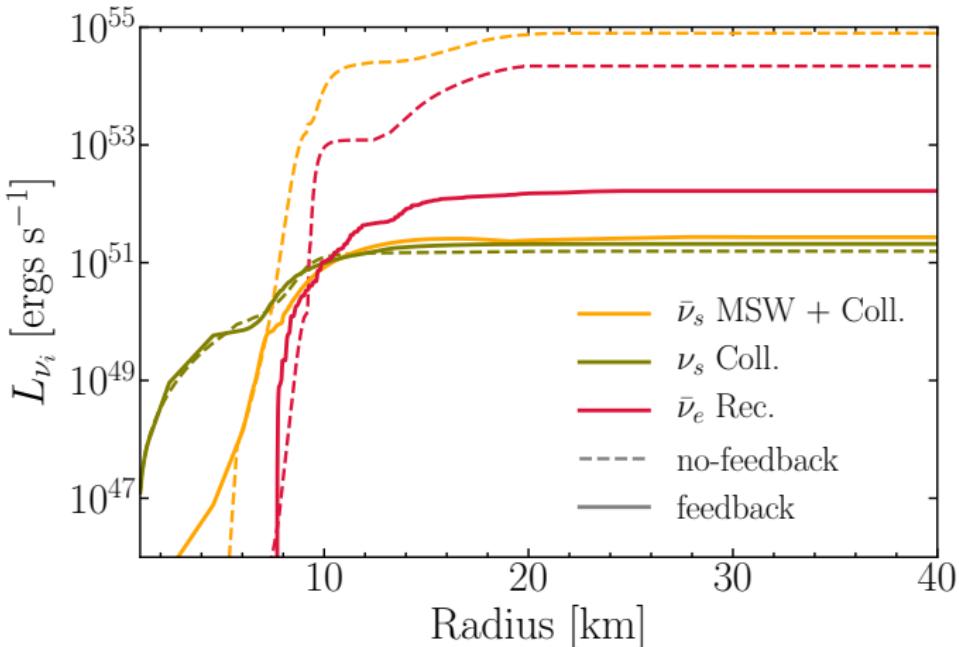
# Contour plot: electron fraction



- The change in  $Y_e$  can be negative or positive.
- Might considerably affect the evolution of the proto-neutron star.

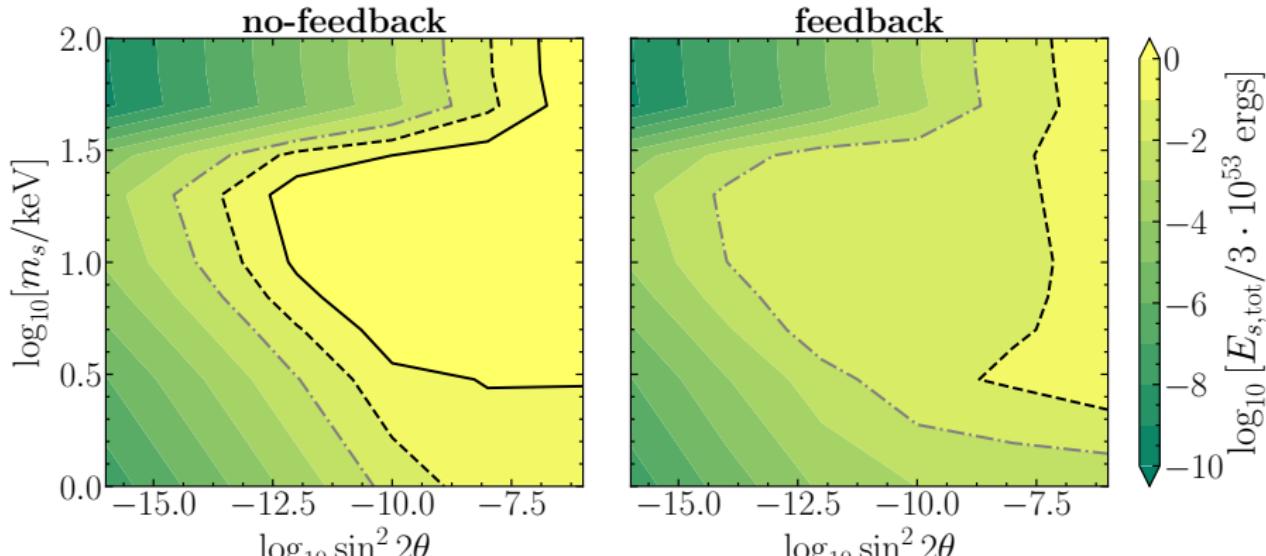
# Electron-sterile mixing: sterile neutrino luminosity

$$m_s = 10 \text{ keV}, \sin^2 2\theta = 10^{-8}$$



- The total luminosity ( $\nu_s + \bar{\nu}_s$ ) decreases with time.

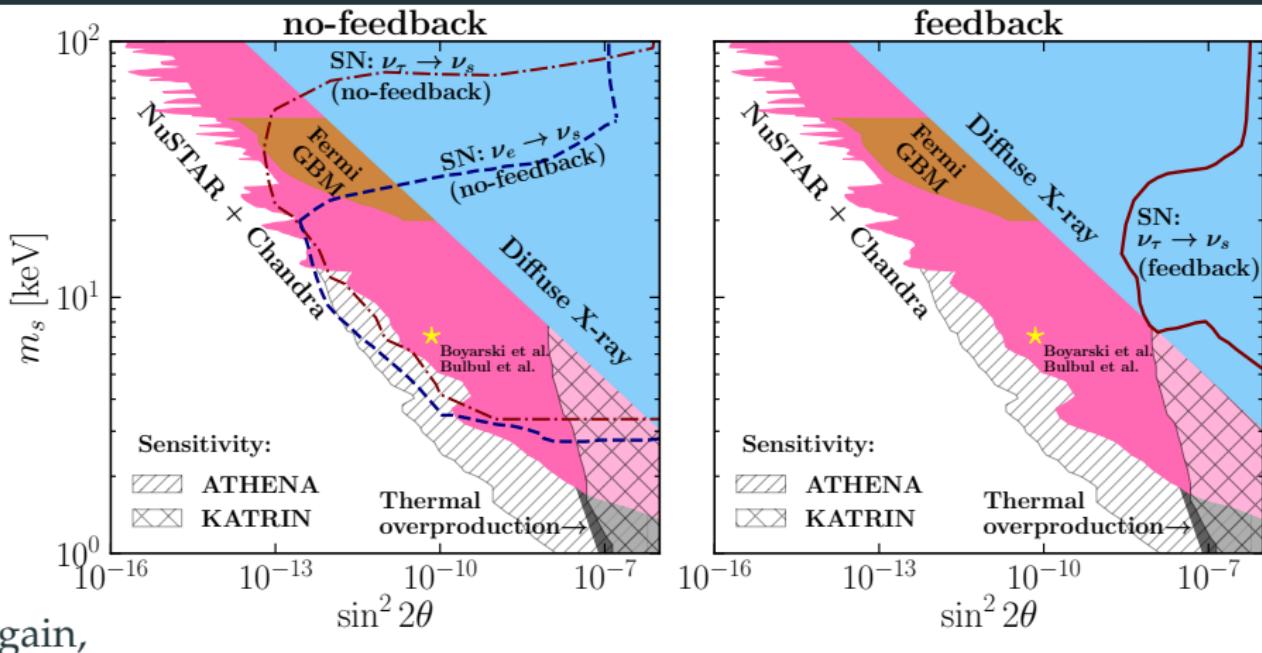
# The supernova bounds on the mixing parameters



Again,

- The inclusion of feedback greatly reduces the excluded region.
- Large region of the parameter space still compatible with SNe.

# The supernova bounds on the mixing parameters



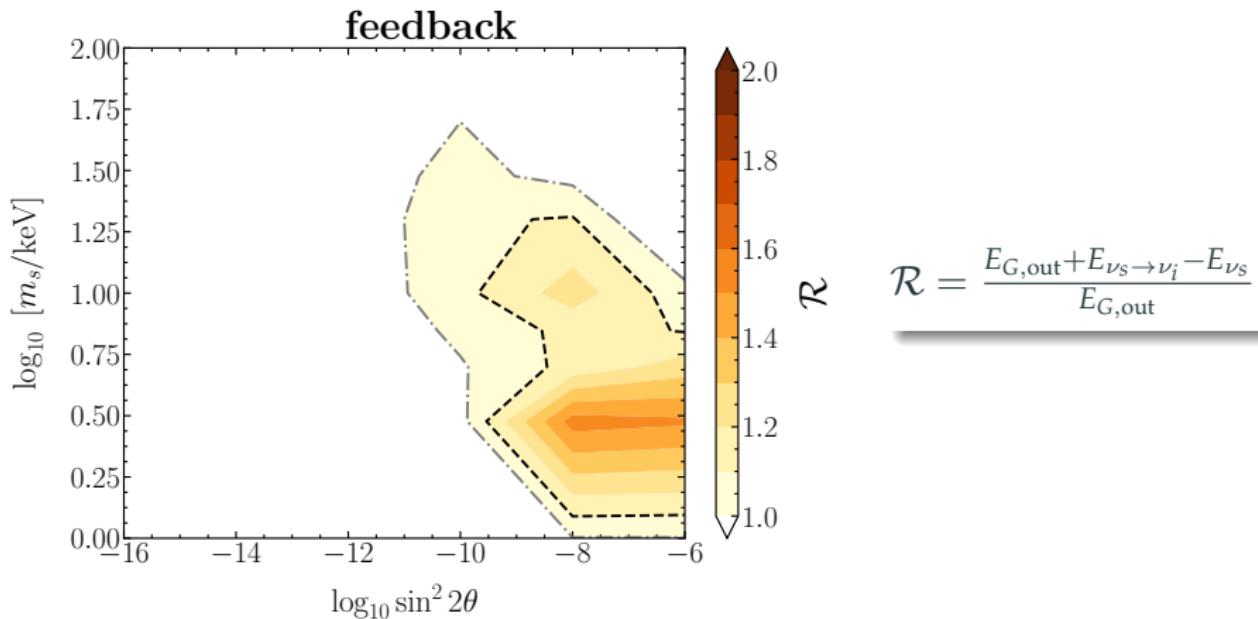
Again,

- The inclusion of feedback greatly reduces the excluded region.
- SNe cannot exclude any region of the DM parameter space.

K. C. Y. Ng et al. (2019), K. C. Y. Ng et al. (2015), S. Horiuchi et al. (2013),

K. N. Abazajian et al. (2006), A. Boyarsky et al. (2005), ...

# The region of a possible supernova explosion enhancement



- Heating of the outer layers → emission of high energy  $\nu_e, \bar{\nu}_e$
- Increased energy deposition in the stalled shock → easier explosion

## Conclusions

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# Conclusions

- Sterile neutrinos with keV mass
  - have a major impact on the SN physics.
  - lead to the growth of  $Y_{\nu_\tau}$  asymmetry.
  - force the change of  $Y_e$  and  $Y_{\nu_e}$ .
  - might aid the explosion mechanism.
- Feedback is crucial.
- New treatment of active-sterile neutrino mixing in SNe challenges sterile neutrino bounds.

# Conclusions

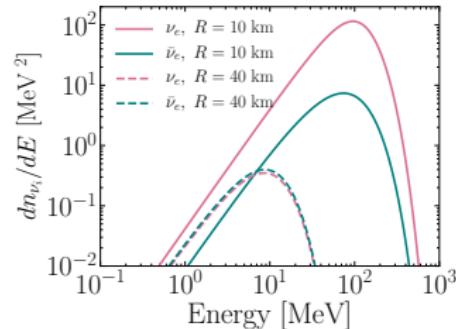
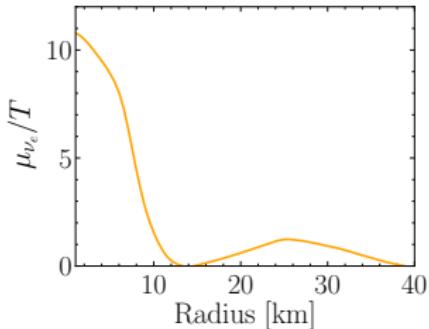
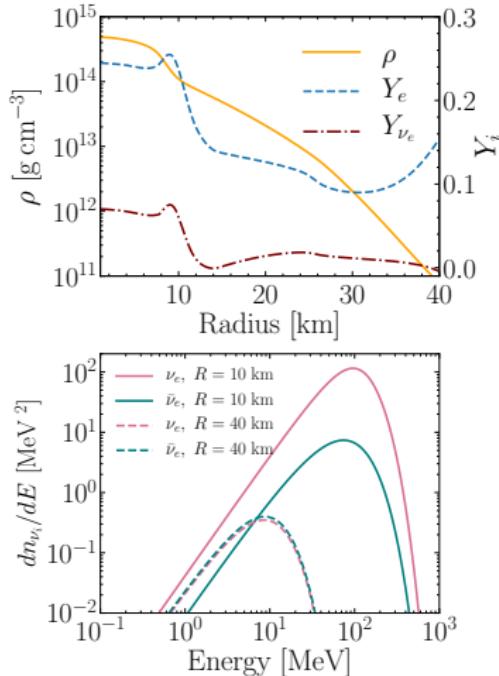
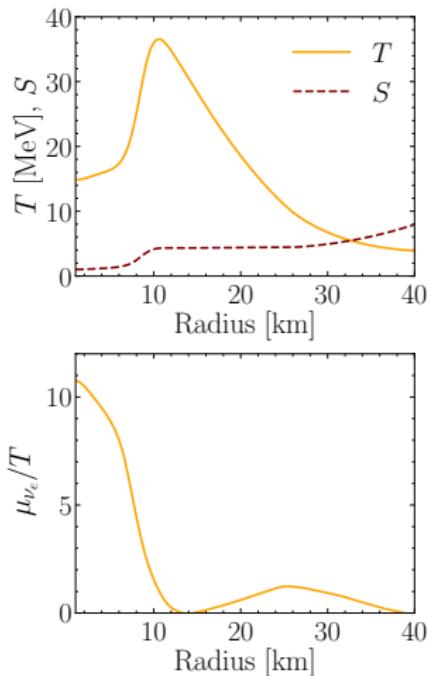
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Thank you!

## **Backup slides**

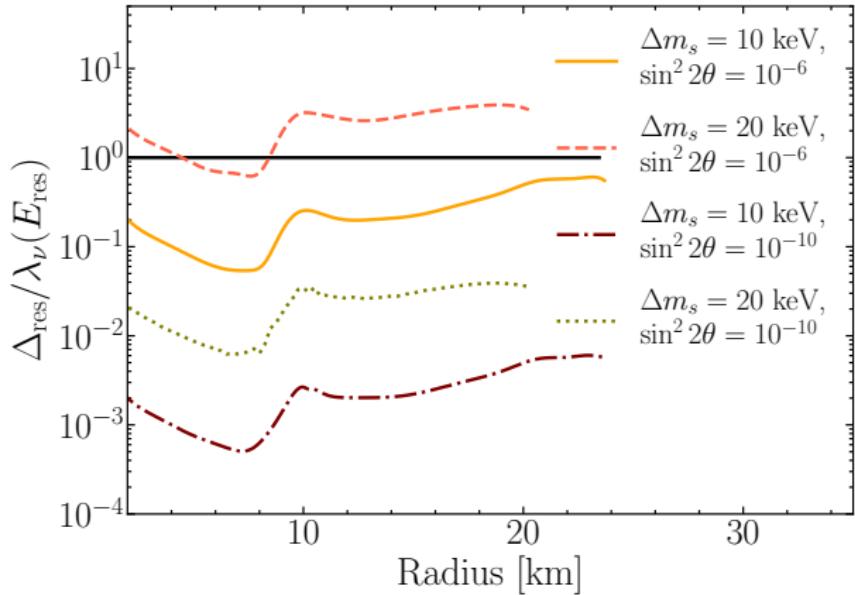
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# Initial conditions



# Will they collide or undergo MSW resonance?

$$t_{\text{pb}} = 0.5 \text{ s}$$

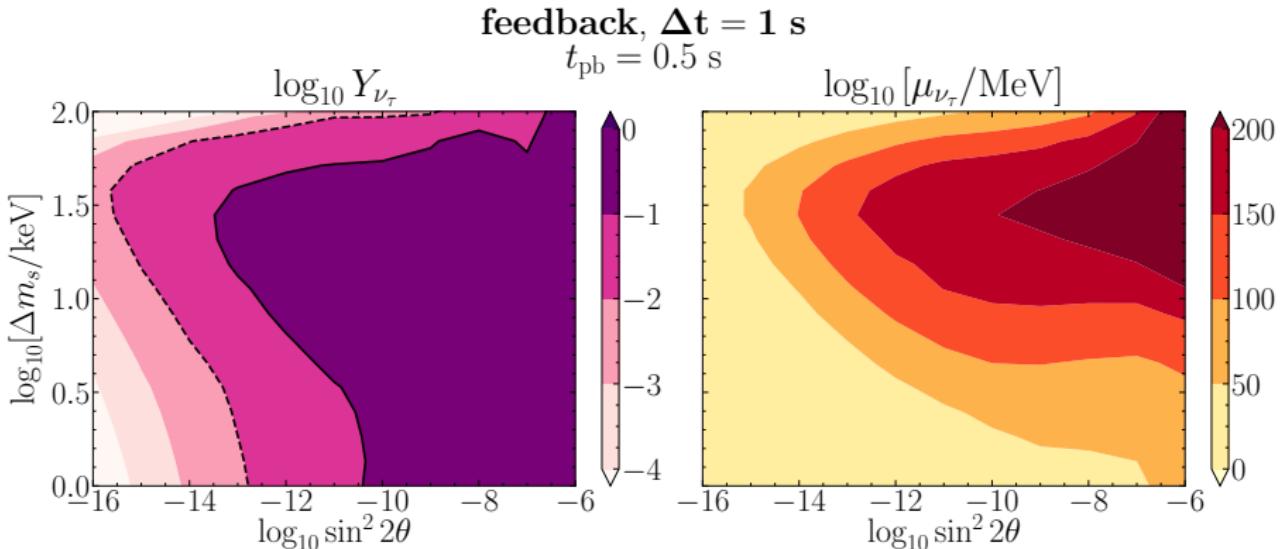


$$\Delta_{\text{res}} = \tan 2\theta \left| \frac{dV/dr}{V} \right|^{-1}$$

$$\lambda_{\nu}(E_{\text{res}}) \simeq \frac{1}{n(r)\sigma(E,r)}$$

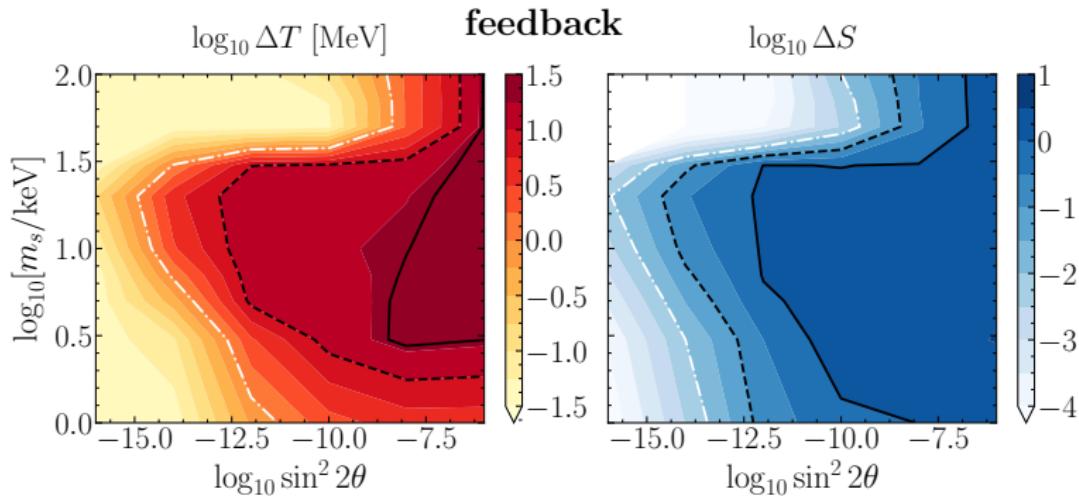
$$\Delta_{\text{res}} < \lambda_{\nu}(E_{\text{res}}) ?$$

# Contour plot of tau fraction



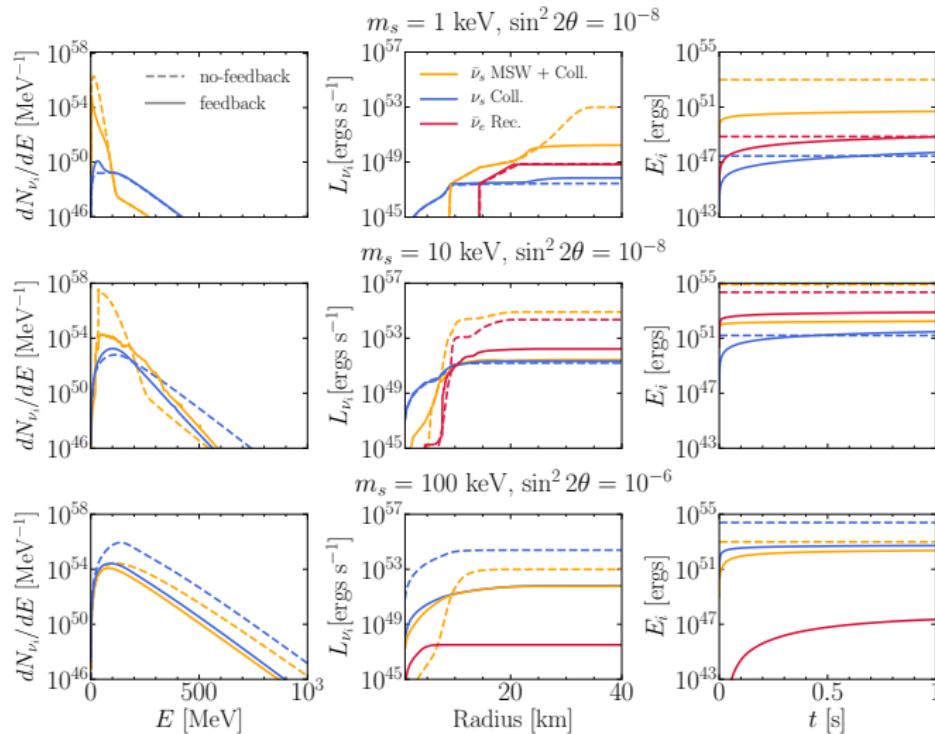
- Higher mixing angles reach the saturation value faster.
- More massive sterile neutrinos reach smaller saturation values, fewer energy modes have enhanced conversion probability.

# Contour plot: temperature and entropy

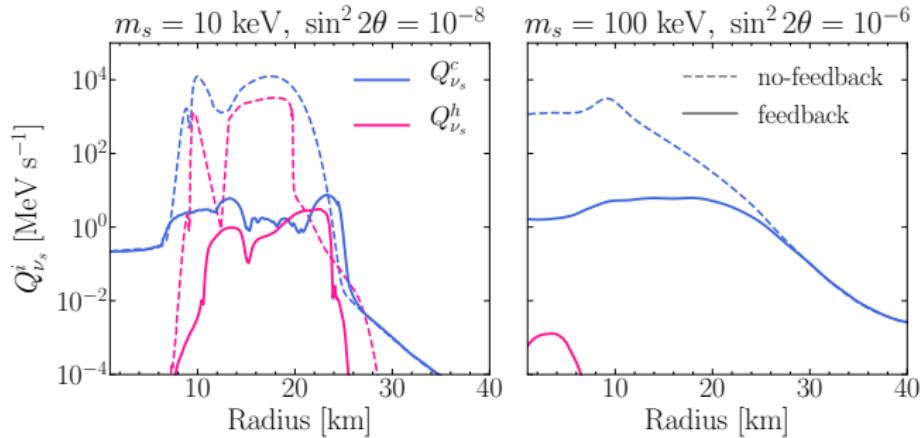


- Large variations for high mixing angles due to
  - adiabatic conversions,
  - high number of sterile neutrinos produced by collisions.

# Comparison for different mixing parameters



# Sterile neutrino heating and cooling

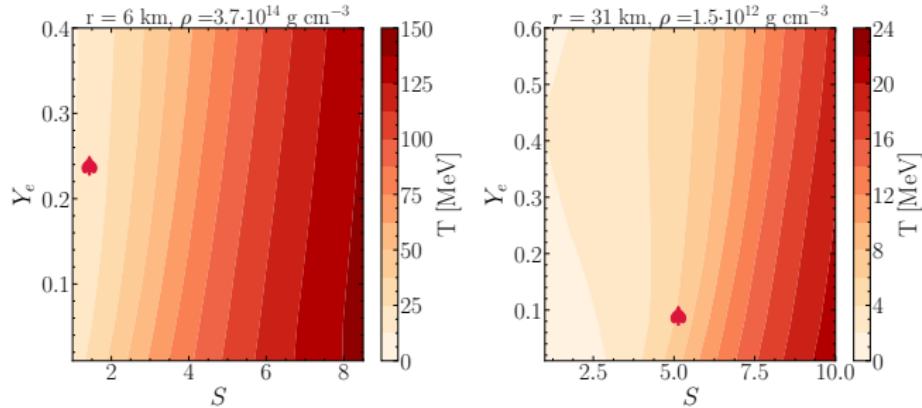


$$\dot{E}_\nu^c(r, t) \sim V(r) \Delta r^{-1} \sum_{k=1}^L P_{\text{es}}(E_k, r, t) \frac{dn_\nu}{dE_k}(r, t) dE_k E_k$$

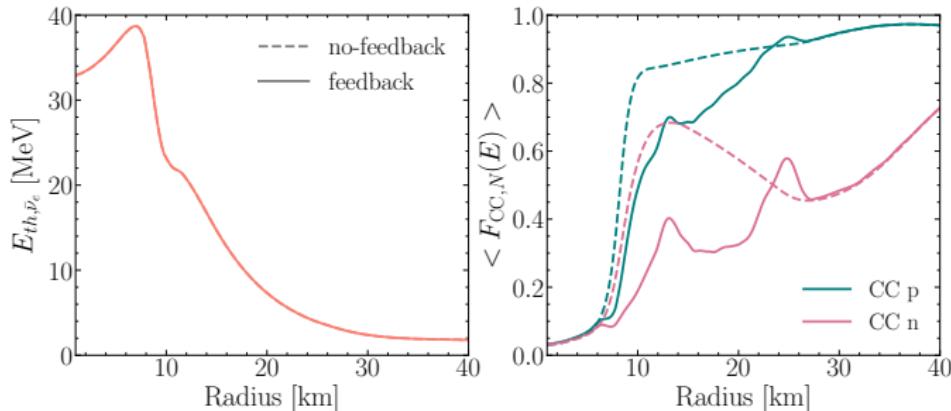
$$\dot{E}_\nu^h(r, t) \sim$$

$$\sum_{k=1}^L \left[ P_{\text{se}}(E_k, r, t) \Theta \left( \frac{\Delta r}{\lambda_\nu(E_k, r)} \right) \sum_{j=1}^{i-1} P_{\text{es}}(E_k, r_j, t) \frac{dn_\nu}{dE}(r_j, t) \frac{r_j^2}{r_i^2} dE_k E_k \right] \times V(r) \Delta r^{-1}$$

# Temperature interpolation



# Pauli blocking



- In the region affected by the sterile neutrino production  $\langle FCC, p(n)(E)_N \rangle$  decreases (increases) following the  $Y_e$  increase (decrease).