

## Abstract

The oscillation of neutrinos is a distinct phenomenon in particle physics; it is also the only particle physics probe we have to physics we don't understand. This new phenomenon adds 7+ parameters to the Standard Model and oscillations can probe 6 of them; measuring and understanding these 6 parameters has been the focus of an intense global effort in neutrino physics for the last two decades. In this colloquium we will explore neutrino oscillations and their behavior in the presence of background matter fields. I will present approximation schemes to better understand the relationship between the underlying parameters and the physical observables. Along the way, I will discuss several interesting math results including a historical discussion and the (re-)discovery of a linear algebra expression with broad applicability.

# Neutrino Oscillations in Matter and Linear Algebra

Peter B. Denton

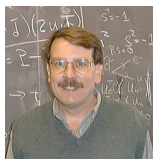
IIT Colloquium

April 22, 2021

**BROOKHAVEN**  
NATIONAL LABORATORY

Brookhaven  
**NDI**  
Neutrino Discovery Initiative

# Analytic Oscillation Probability Collaborators



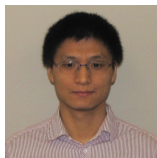
Stephen Parke



Hisakazu Minakata



Gabriela Barenboim



Xining Zhang



Christoph Ternes



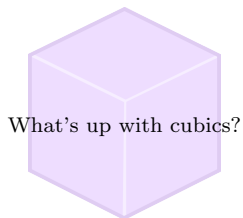
Terrence Tao

1604.08167, 1806.01277, 1808.09453,  
1902.00517, 1902.07185, 1907.02534  
1908.03795, 1909.02009

[github.com/PeterDenton/Nu-Pert](https://github.com/PeterDenton/Nu-Pert)  
[github.com/PeterDenton/Nu-Pert-Compare](https://github.com/PeterDenton/Nu-Pert-Compare)

# Path

1. **Statement** of oscillation question
2. Get the eigen**values**
3. Get the eigen**vectors**
4. Useful **approximations**

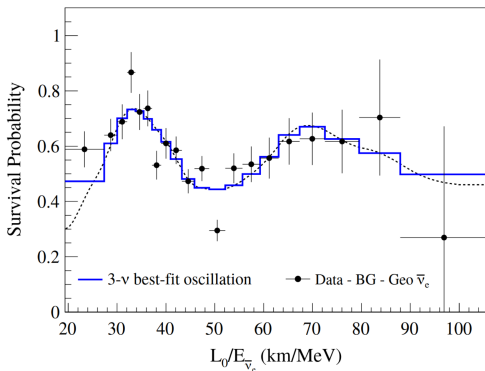




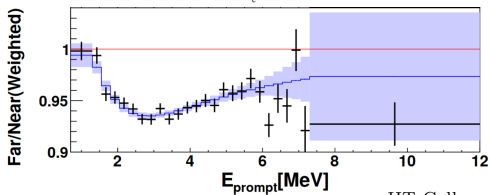
# Statement of oscillation question

# Neutrino Masses

1. Neutrinos experience time  $\Rightarrow$  must have mass
2. Neutrino oscillate  $\Rightarrow$  must mix & masses must be different



KamLAND [1303.4667](#)



Daya Bay [1809.02261](#)

# Experiment to Oscillation Parameters

Six oscillation parameters:  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\delta$ ,  $\Delta m_{21}^2$ ,  $\Delta m_{31}^2$

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- ▶ Atmospheric  $\nu_\mu$  disappearance  $\rightarrow \sin 2\theta_{23}$ ,  $|\Delta m_{31}^2|$   
SuperK, IMB, IceCube
- ▶ Solar  $\nu_e$  disappearance  $\rightarrow \pm \cos 2\theta_{12}$ ,  $\pm \Delta m_{21}^2$   
SNO, Borexino, SuperK
- ▶ Reactor  $\nu_e$  disappearance:
  - ▶ LBL  $\rightarrow \sin 2\theta_{12}$  and  $|\Delta m_{21}^2|$   
KamLAND
  - ▶ Future LBL  $\rightarrow \pm \Delta m_{31}^2$   
JUNO
  - ▶ MBL  $\rightarrow \theta_{13}$ ,  $|\Delta m_{31}^2|$   
Daya Bay, RENO, Double Chooz
- ▶ Accelerator LBL  $\nu_e$  appearance:  $\pm \Delta m_{31}^2$ ,  $\pm \cos 2\theta_{23}$ ,  $\theta_{13}$ ,  $\delta$   
T2K, NOvA, T2HK, DUNE

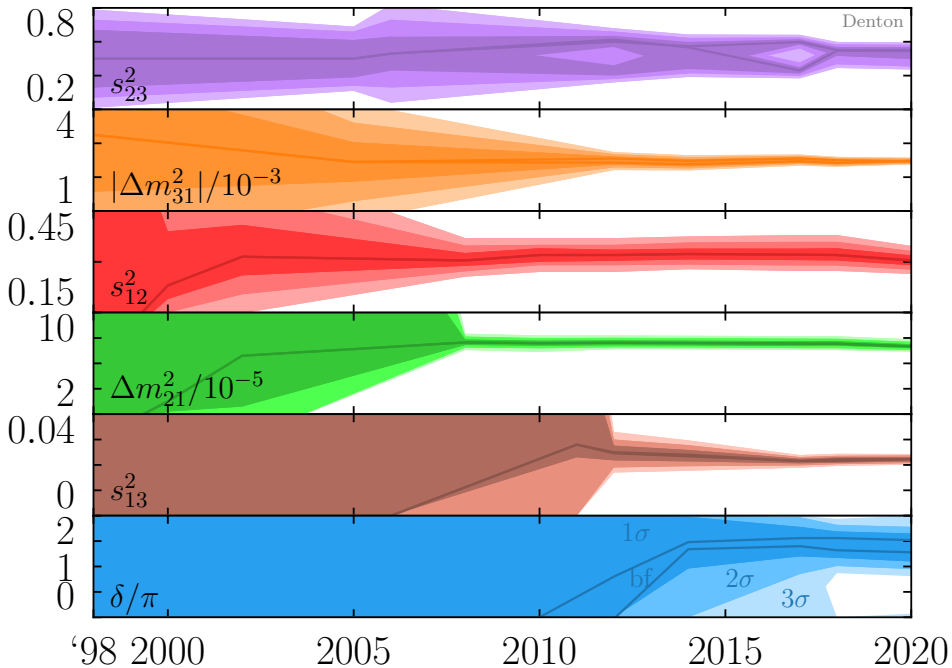
# Experiment to Oscillation Parameters

Six oscillation parameters:  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\delta$ ,  $\Delta m_{21}^2$ ,  $\Delta m_{31}^2$

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T2K, NOvA, T2HK, DUNE

7th parameter: absolute mass scale

Cosmology, KATRIN,  $0\nu\beta\beta$



# Schrödinger Equation

Neutrinos propagate in eigenstates of the Hamiltonian

$$i\frac{d}{dt}|\nu\rangle = H|\nu\rangle$$

In the absence of any interactions  $H_{\text{vac}}|\nu_i\rangle = E_i|\nu_i\rangle$ .

$$|\nu_i(L)\rangle = e^{-iE_iL}|\nu_i(0)\rangle \rightarrow e^{-im_i^2/2E}|\nu_i(0)\rangle$$

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We don't produce neutrinos in eigenstates of the Hamiltonian in vacuum, e.g. mass eigenstates

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle \quad \alpha \in \{e, \mu, \tau\}$$

$U$  is a unitary  $3 \times 3$  matrix which has four degrees of freedom

Unitarity  $\Rightarrow$  9 dofs, rephasing  $\Rightarrow 9 - 5 = 4$



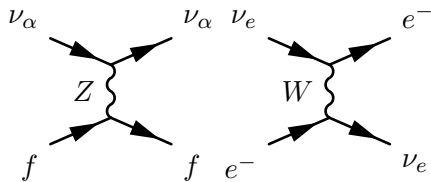
# Matter Effects Matter

$$\mathcal{A}(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i=1}^3 U_{\alpha i}^* e^{-im_i^2 L/2E} U_{\beta i} \quad P = |\mathcal{A}|^2$$

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In matter  $\nu$ 's propagate in a new basis that depends on  $a \propto N_e E_\nu$ .



L. Wolfenstein [PRD 17 \(1978\)](#)

Eigenvalues:  $m_i^2 \rightarrow \widehat{m}_i^2(a)$

Eigenvectors are given by  $\theta_{ij} \rightarrow \widehat{\theta}_{ij}(a) \quad \Leftarrow \quad \text{Unitarity}$

# Hamiltonian Dynamics

$$H_{\text{flav}} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} a & & \\ & 0 & \\ & & 0 \end{pmatrix} \right]$$

$$a = 2\sqrt{2}G_F N_e E$$

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$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

PBD, R. Pestes [2006.09384](#)

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Find eigenvalues and eigenvectors:

PBD, R. Pestes [2006.09384](#)

$$H_{\text{flav}} = \frac{1}{2E} \hat{U} \begin{pmatrix} 0 & & \\ & \widehat{\Delta m}_{21}^2 & \\ & & \widehat{\Delta m}_{31}^2 \end{pmatrix} \hat{U}^\dagger$$

J. Kopp [physics/0610206](#)

Computationally works, but we can do better than a **black box**...

Analytic expression?

# Analytic Oscillation Probabilities in Matter

☑ Solar:  $P_{ee} \simeq \sin^2 \theta_{\odot}$

Approx: S. Mikheev, A. Smirnov [Nuovo Cim. C9 \(1986\) 17-26](#)

Exact: S. Parke [PRL 57 \(1986\) 2322](#)

☑ Long-baseline: All three flavors

Exact: H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

Approx: [PBD](#), H. Minakata, S. Parke, [1604.08167](#)

Review: G. Barenboim, [PBD](#), S. Parke, C. Ternes [1902.00517](#)

☑  $\nu_e$  disappearance (neutrino factory):

$$\Delta \widehat{m}_{ee}^2 = \widehat{m}_3^2 - (\widehat{m}_1^2 + \widehat{m}_2^2 - \Delta m_{21}^2 c_{12}^2)$$

[PBD](#), S. Parke, [1808.09453](#)

☐ Atmospheric

Get the eigen**values**

# Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation: eigenvalues

$$(\widehat{m^2}_i)^3 - A(\widehat{m^2}_i)^2 + B\widehat{m^2}_i - C = 0$$

$$A \equiv \sum_i \widehat{m^2}_i = \Delta m_{31}^2 + \Delta m_{21}^2 + a$$

$$B \equiv \sum_{i>j} \widehat{m^2}_i \widehat{m^2}_j = \Delta m_{31}^2 \Delta m_{21}^2 + a(\Delta m_{ee}^2 c_{13}^2 + \Delta m_{21}^2)$$

$$C \equiv \prod_i \widehat{m^2}_i = a \Delta m_{31}^2 \Delta m_{21}^2 c_{13}^2 c_{12}^2$$

G. Cardano *Ars Magna* 1545

V. Barger, et al. [PRD 22 \(1980\) 2718](#)

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)



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Then write down eigen**v**ectors (mixing angles)

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

K. Kimura, A. Takamura, H. Yokomakura [hep-ph/0205295](#)

[PBD](#), S. Parke, X. Zhang [1907.02534](#)

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“Unfortunately, the algebra is rather impenetrable.”

V. Barger, et al.

# The Cubic

## Math history aside

Linear:  $ax + b = 0$



Quadratic:  $ax^2 + bx + c = 0$



Cubic:  $ax^3 + bx^2 + cx + d = 0$



Quartic:  $ax^4 + bx^3 + cx^2 + dx + e = 0$



Quintic+:  $ax^5 + bx^4 + cx^3 + dx^2 + ex + f = 0$



Abel-Ruffini theorem, 1824

# The Cubic

## Math history aside

1. **Ancients** (20-16C BC)

Babylonians, Greeks, Chinese, Indians, Egyptians:  
thought about cubics, calculated cube roots

$$x^3 = a$$

2. Chinese **Wang Xiaotong** (7C AD):

numerically solved 25 general cubics

3. Persian **Omar Khayyam** (11C AD):

realized there are multiple solutions

4. Italian **Fibonacci** (12C AD):

Approximate solution to one cubic

## Math history aside: (16C AD)

### 5. Scipione del **Ferro**:

Secret solution, nearly all (didn't know negative numbers)

$$x^3 + mx = n$$

6. Antonio **Fiore**: Ferro's student, from just before his death

7. Niccol **Tartaglia**: Claimed a solution, was challenged by Fiore

8. Gerolamo **Cardano**: Gets Tartaglia's (winner) solution, promises to keep it secret. Later publishes Ferro's solution via Fiore

9. Tartaglia challenges Cardano who denies it. Cardano's student **Ferrari** accepted, Tartaglia lost along with prestige and income

10. Cardano almost discovered complex numbers

Quartic was (nearly) solved around the same time by Ferrari,  
*before* the cubic solution was published

(François Viète independently solved the cubic in France a few years later)

# Back to neutrinos

# Eigenvalues Analytically: The Exact Solution

The cubic solution (in neutrino terms)

$$\widehat{m}_1^2 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3BS} - \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m}_2^2 = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3BS} + \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m}_3^2 = \frac{A}{3} + \frac{2}{3}\sqrt{A^2 - 3BS}$$

$$A = \Delta m_{21}^2 + \Delta m_{31}^2 + a$$

$$B = \Delta m_{21}^2 \Delta m_{31}^2 + a \left[ c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2 \right]$$

$$C = a \Delta m_{21}^2 \Delta m_{31}^2 c_{12}^2 c_{13}^2$$

$$S = \cos \left\{ \frac{1}{3} \cos^{-1} \left[ \frac{2A^3 - 9AB + 27C}{2(A^2 - 3B)^{3/2}} \right] \right\}$$

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

Get the eigenvectors



# Values and Vectors

Probability amplitude:

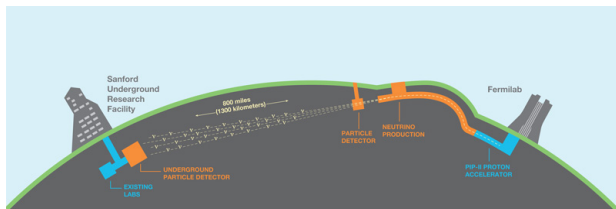
$$A_{\alpha\beta} = \sum_i \hat{U}_{\alpha i}^* e^{-im_i^2 L/2E} \hat{U}_{\beta i}$$

- ▶ **Eigenvalues** give the frequencies of the oscillations

Where should DUNE be?

- ▶ **Eigenvectors** give the amplitudes of the oscillations

How many events will DUNE see?



# Exact Neutrino Oscillations in Matter: Mixing Angles

$$s_{12}^2 = \frac{-\left[(\widehat{m^2_2})^2 - \alpha\widehat{m^2_2} + \beta\right] \Delta\widehat{m^2_{31}}}{\left[(\widehat{m^2_1})^2 - \alpha\widehat{m^2_1} + \beta\right] \Delta\widehat{m^2_{32}} - \left[(\widehat{m^2_2})^2 - \alpha\widehat{m^2_2} + \beta\right] \Delta\widehat{m^2_{31}}}$$

$$s_{13}^2 = \frac{(\widehat{m^2_3})^2 - \alpha\widehat{m^2_3} + \beta}{\Delta\widehat{m^2_{31}} \Delta\widehat{m^2_{32}}}$$

$$s_{23}^2 = \frac{s_{23}^2 E^2 + c_{23}^2 F^2 + 2c_{23}s_{23}c_\delta EF}{E^2 + F^2}$$

$$e^{-i\widehat{\delta}} = \frac{c_{23}s_{23} (e^{-i\delta} E^2 - e^{i\delta} F^2) + (c_{23}^2 - s_{23}^2) EF}{\sqrt{(s_{23}^2 E^2 + c_{23}^2 F^2 + 2EFc_{23}s_{23}c_\delta) (c_{23}^2 E^2 + s_{23}^2 F^2 - 2EFc_{23}s_{23}c_\delta)}}$$

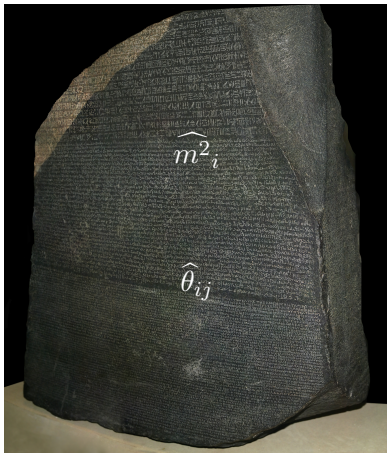
$$\alpha = c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2, \quad \beta = c_{12}^2 c_{13}^2 \Delta m_{21}^2 \Delta m_{31}^2$$

$$E = c_{13}s_{13} \left[ \left( \widehat{m^2_3} - \Delta m_{21}^2 \right) \Delta m_{31}^2 - s_{12}^2 \left( \widehat{m^2_3} - \Delta m_{31}^2 \right) \Delta m_{21}^2 \right]$$

$$F = c_{12}s_{12}c_{13} \left( \widehat{m^2_3} - \Delta m_{31}^2 \right) \Delta m_{21}^2$$

H. Zaglauer, K. Schwarzer *Z.Phys. C40 (1988) 273*

# The Rosetta Stone



# Eigenvalues to Eigenvectors

KTY pushed calculating the eigenvectors from the eigenvalues.

K. Kimura, A. Takamura, H. Yokomakura [hep-ph/0205295](#)

$$\widehat{U}_{\alpha i} \widehat{U}_{\beta i}^* = \frac{\widehat{p}_{\alpha\beta} \widehat{m}^2_i + \widehat{q}_{\alpha\beta} - \delta_{\alpha\beta} \widehat{m}^2_i (\widehat{m}^2_j + \widehat{m}^2_k)}{\Delta \widehat{m}^2_{ji} \Delta \widehat{m}^2_{ki}}$$

$$\widehat{p}_{\alpha\beta} = (2E) H_{\alpha\beta}$$

$$\widehat{q}_{\alpha\beta} = (2E)^2 (H_{\gamma\beta} H_{\alpha\gamma} - H_{\alpha\beta} H_{\gamma\gamma})$$

valid for  $\alpha \neq \beta$ .

Wanted to preserve phase information for  $\widehat{\delta}$ .

# Eigenvalues: the Rosetta Stone

We realized:

$$|\widehat{U}_{\alpha i}|^2 = \frac{(\widehat{m}^2_i - \xi_\alpha)(\widehat{m}^2_i - \chi_\alpha)}{\Delta\widehat{m}^2_{ij}\Delta\widehat{m}^2_{ik}}$$

PBD, S. Parke, X. Zhang [1907.02534](#)

where  $\xi_\alpha$  and  $\chi_\alpha$  are the submatrix eigenvalues

$$H = \begin{pmatrix} H_{\alpha\alpha} & H_{\alpha\beta} & H_{\alpha\gamma} \\ H_{\beta\alpha} & H_{\beta\beta} & H_{\beta\gamma} \\ H_{\gamma\alpha} & H_{\gamma\beta} & H_{\gamma\gamma} \end{pmatrix} \rightarrow H_\alpha = \begin{pmatrix} H_{\beta\beta} & H_{\beta\gamma} \\ H_{\gamma\beta} & H_{\gamma\gamma} \end{pmatrix}$$

e.g.

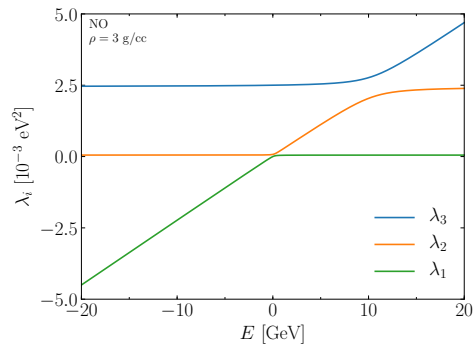
$$\xi_e + \chi_e = \Delta m_{21}^2 + \Delta m_{ee}^2 c_{13}^2$$

$$\xi_e \chi_e = \Delta m_{21}^2 [\Delta m_{ee}^2 c_{13}^2 c_{12}^2 + \Delta m_{21}^2 (s_{12}^2 c_{12}^2 - s_{13}^2 s_{12}^2 c_{12}^2)]$$

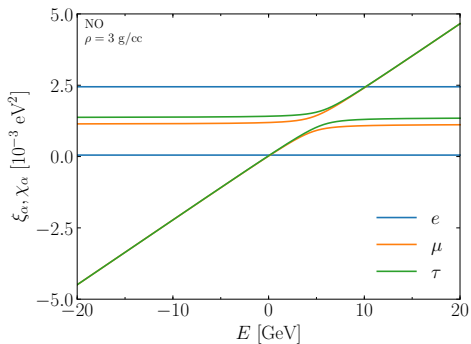
$$\Delta m_{ee}^2 = c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2$$

H. Nunokawa, S. Parke, R. Z. Funchal [hep-ph/0503283](#)

# Submatrix Eigenvalues



Eigenvalues



Submatrix Eigenvalues

# Eigenvalues: the Rosetta Stone

$$s_{13}^2 = |\widehat{U}_{e3}|^2 = \frac{(\widehat{m}_3^2 - \xi_e)(\widehat{m}_3^2 - \chi_e)}{\Delta\widehat{m}_{31}^2\Delta\widehat{m}_{32}^2}$$

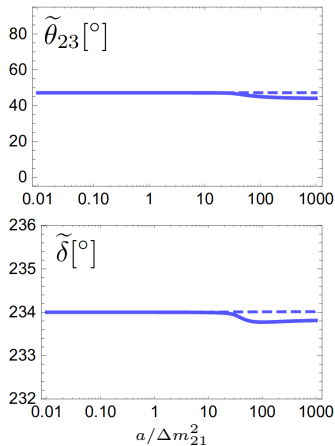
$$s_{12}^2 c_{13}^2 = |\widehat{U}_{e2}|^2 = -\frac{(\widehat{m}_2^2 - \xi_e)(\widehat{m}_2^2 - \chi_e)}{\Delta\widehat{m}_{32}^2\Delta\widehat{m}_{21}^2}$$

$$s_{23}^2 c_{13}^2 = |\widehat{U}_{\mu3}|^2 = \frac{(\widehat{m}_3^2 - \xi_\mu)(\widehat{m}_3^2 - \chi_\mu)}{\Delta\widehat{m}_{31}^2\Delta\widehat{m}_{32}^2}$$

What about  $\widehat{\delta}$ ?

# CPV From Rosetta

$\hat{\delta}$  nearly constant, but have to get it right

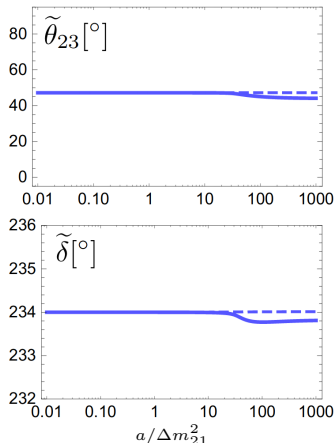


Z-z. Xing, S. Zhou  
Y-L. Zhou [1802.00990](#)



# CPV From Rosetta

$\hat{\delta}$  nearly constant, but have to get it right



Z-z. Xing, S. Zhou  
Y-L. Zhou [1802.00990](#)

Toshev identity:

$$\sin \hat{\delta} = \frac{\sin 2\theta_{23}}{\sin 2\hat{\theta}_{23}} \sin \delta$$

S. Toshev [MPL A6 \(1991\) 455](#)

Get the sign of  $\cos \hat{\delta}$  from e.g.  $|\hat{U}_{\mu 1}|^2$ .

## In General

Two flavor:

$$|\widehat{U}_{\alpha i}|^2 = \frac{\widehat{m}^2_i - \xi_\alpha}{\Delta\widehat{m}^2_{ij}}$$

leads to

$$\begin{aligned} \sin^2 \widehat{\theta} &= |\widehat{U}_{e2}|^2 = \frac{\widehat{m}^2_2 - \xi_e}{\widehat{m}^2_2 - \widehat{m}^2_1} \\ &= \frac{1}{2} \left( 1 - \frac{\Delta m^2 \cos 2\theta - a}{\sqrt{(\Delta m^2 \cos 2\theta - a)^2 + (\Delta m^2 \sin 2\theta)^2}} \right) \end{aligned}$$

## In General

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$$|\widehat{U}_{\alpha i}|^2 = \frac{\widehat{m}^2_i - \xi_\alpha}{\Delta \widehat{m}^2_{ij}}$$

leads to

$$\begin{aligned} \sin^2 \widehat{\theta} &= |\widehat{U}_{e2}|^2 = \frac{\widehat{m}^2_2 - \xi_e}{\widehat{m}^2_2 - \widehat{m}^2_1} \\ &= \frac{1}{2} \left( 1 - \frac{\Delta m^2 \cos 2\theta - a}{\sqrt{(\Delta m^2 \cos 2\theta - a)^2 + (\Delta m^2 \sin 2\theta)^2}} \right) \end{aligned}$$

Numerically checked for  $N = 4, 5$ .

True for all  $N$ ?

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“He’s famously like a cheery firehose of mathematics  
guess he’s power-washing you today”

# EIGENVECTORS FROM EIGENVALUES

PETER B. DENTON, STEPHEN J. PARKE, TERENCE TAO, AND XINING ZHANG

ABSTRACT. We present a new method of succinctly determining eigenvectors from eigenvalues. Specifically, we relate the norm squared of the elements of eigenvectors to the eigenvalues and the submatrix eigenvalues.

$$|v_{i,j}|^2 = \frac{\prod_{k=1}^{n-1} (\lambda_i - \xi_{j,k})}{\prod_{k=1; k \neq i}^n (\lambda_i - \lambda_k)}$$

# Proofs

1. From previous result with  $n - 1$  subvectors using derivatives

L. Erdos, B. Schlein, H-T. Yau [0711.1730](#)

T. Tao, V. Vu [0906.0510](#)

2. Geometric formulation with exterior algebra
3. Using determinants and a Cauchy-Binet variant
4. Adjugate matrices

Can get off-diagonal elements, thus CP phase

5. Cramer's rule
6. Two other mathematicians provided other proofs
7. Another mathematician generalized it to all square matrices

<https://terrytao.wordpress.com/2019/08/13/eigenvectors-from-eigenvalues/>

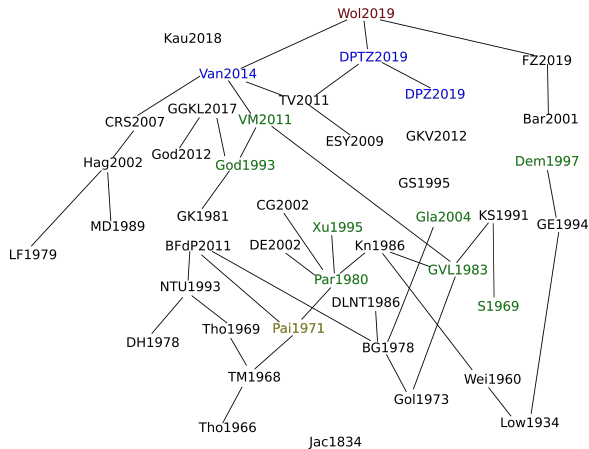
Same result previously appeared

R. Thompson, P. McEntegert [Lin. Alg. App. 1 211-243 \(1968\)](#)

# Historical survey

Dubbed it the: **Eigenvector-Eigenvalue Identity**

- ▶ Added many lemmas
- ▶ Reviewed existing proofs
- ▶ Discussed sociology of the disconnected citation graph



# Eigenvector-Eigenvalue Identity Impact

It now appears in papers, theses, and textbooks about:

1. Neutrino oscillations
2. Radiofrequency resonators/MRI
3. Singular matrices
4. Computational methods
5. Regression analysis
6. Methods of medical research
7. Condensed matter
8. Graph theory
9. Economics of technology
10. Statistics
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11. Many other math/computer science things

Even in a field as old as linear algebra,  
there are still gems hiding out there

# Back to neutrinos

for good this time



# Useful **approximations**

# Too “Impenetrable”: Approximations

- ▶ Small matter potential:  $a/\Delta m^2$

Y. Li, Y. Wang, Z-z. Xing [1605.00900](#)

I. Martinez-Soler, H. Minakata [1904.07853](#)

A. Khan, H. Nunokawa, S. Parke [1910.12900](#)

- ▶  $s_{13} \sim 0.14$ ,  $s_{13}^2 \sim 0.02$

A. Cervera, et al. [hep-ph/0002108](#)

H. Minakata [0910.5545](#)

K. Asano, H. Minakata [1103.4387](#)

- ▶  $\Delta m_{21}^2/\Delta m_{31}^2 \sim 0.03$

J. Arafune, J. Sato, [hep-ph/9607437](#)

A. Cervera, et al. [hep-ph/0002108](#)

M. Freund, [hep-ph/0103300](#)

E. Akhmedov, et al. [hep-ph/0402175](#)

S. Agarwalla, Y. Kao, T. Takeuchi [1302.6773](#)

H. Minakata, S. Parke [1505.01826](#)

[PBD](#), H. Minakata, S. Parke [1604.08167](#)

(See G. Barenboim, [PBD](#), S. Parke, C. Ternes [1902.00517](#) for a review)

# Focus On Eigenvalues

Now eigenvectors are easy enough given eigenvalues

Had previously derived approximations to both

PBD, H. Minakata, S. Parke [1604.08167](#)

Use approximate eigenvalues in “Rosetta” formula

# Rotations Are Key

Two techniques to improve precision of an approximate system:

## 1. Rotations

- ▶ Removes level crossings
- ▶ Each step is as complicated as the last
- ▶ Can improve the precision arbitrarily
- ▶ Order matters: care must be taken

## 2. Perturbation theory

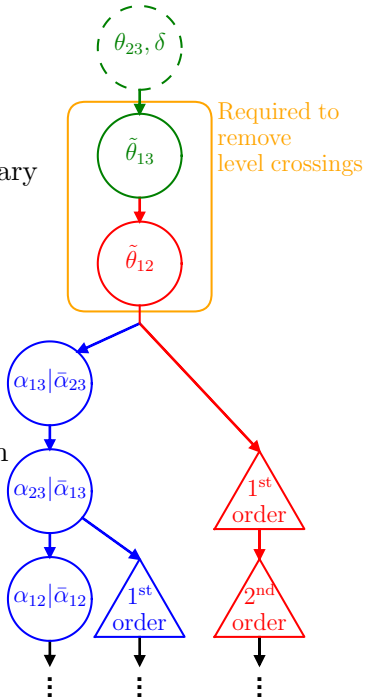
- ▶ Straightforward procedure to continue ad infinitum
- ▶ Each step is more complicated than the previous
- ▶ Careful to avoid level crossings

We employ a hybrid approach

# Roadmap

- ▶ Two rotations are necessary
- ▶ Order is lucky

- ▶ Further precision through perturbation theory or rotations



Vacuum Rot.

Matter Rot.

$\nu|\bar{\nu}$

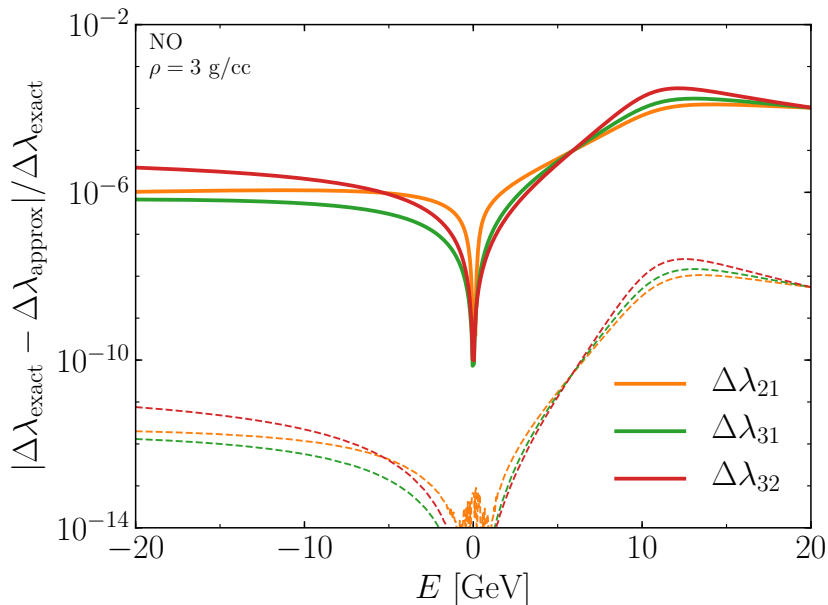
Pert.

MP15

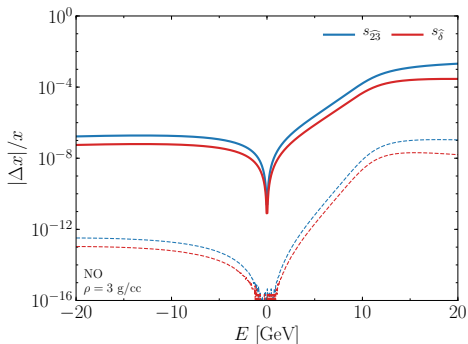
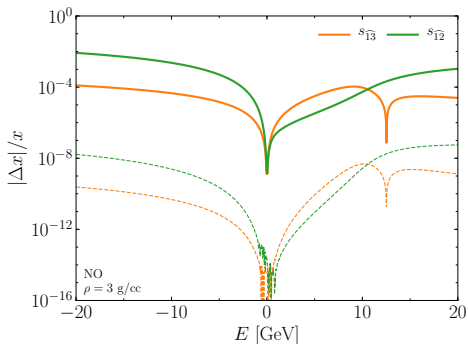
DMP16

DPZ18

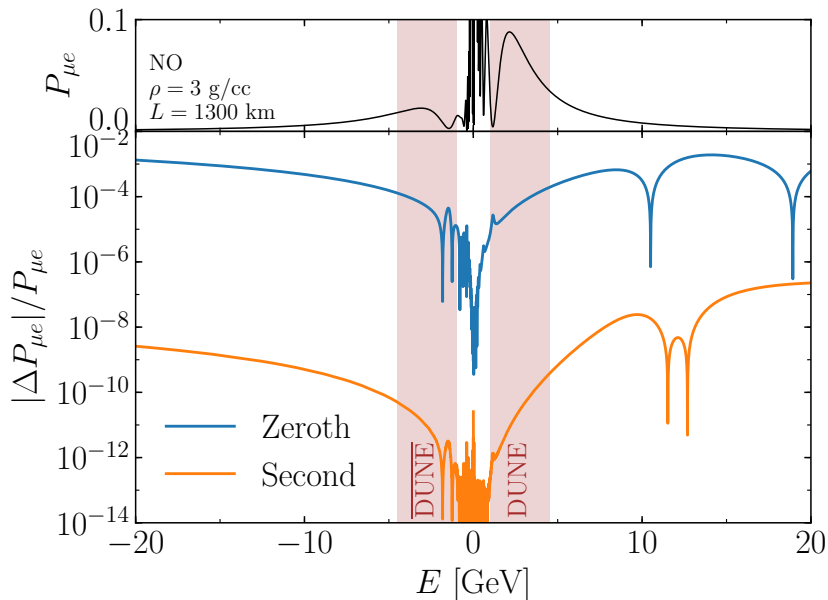
# DMP Eigenvalues



# DMP Eigenvalues + Rosetta = Mixing Angles in Matter



# DMP Eigenvalues + Rosetta = Probability in Matter





# New Physics

DUNE and T2HK will have unprecedented capabilities to test the three-neutrino oscillation picture

Extend DMP to new physics progress report:

Sterile

S. Parke, X. Zhang [1905.01356](#)

NSI

S. Agarwalla, et al. [2103.13431](#)

Neutrino decay

Decoherence

...

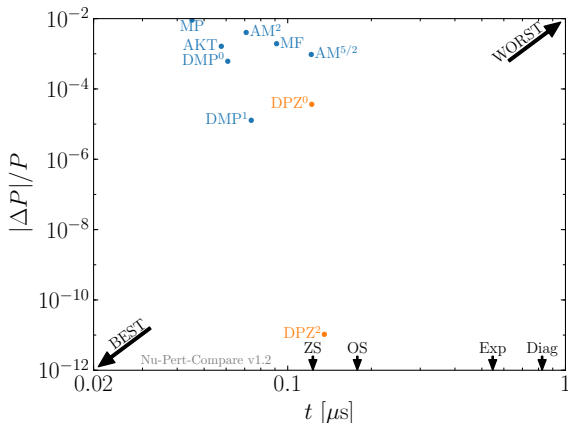
Given Rosetta, extensions should be considerably simpler

# Computational Speed

NOvA's 2018 result used 54M cpu·h for Feldman Cousins,  
mostly on calculating oscillation probabilities

NOvA 1806.00096

[news.fnal.gov/2018/07/fermilab-computing-experts-bolster-nova-evidence-1-million-cores-consumed](https://news.fnal.gov/2018/07/fermilab-computing-experts-bolster-nova-evidence-1-million-cores-consumed)



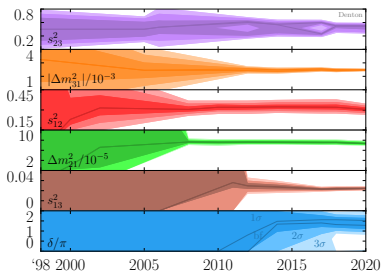
# Key Points

- ▶ Long-baseline experiments are best way to probe remaining unknowns
- ▶ Matter effect requires diagonalizing Hamiltonian
- ▶ Calculate eigenvalues first
- ▶ Get eigenvectors from eigenvalues
- ▶ Approximate eigenvalues are very precise

Thanks!

# Backups

# References



SK [hep-ex/9807003](#)

M. Gonzalez-Garcia, et al. [hep-ph/0009350](#)

M. Maltoni, et al. [hep-ph/0207227](#)

SK [hep-ex/0501064](#)

SK [hep-ex/0604011](#)

T. Schwetz, M. Tortola, J. Valle [0808.2016](#)

M. Gonzalez-Garcia, M. Maltoni, J. Salvado [1001.4524](#)

T2K [1106.2822](#)

D. Forero, M. Tortola, J. Valle [1205.4018](#)

D. Forero, M. Tortola, J. Valle [1405.7540](#)

P. de Salas, et al. [1708.01186](#)

# CP violation in matter

# The CPV Term in Matter

The amount of CPV is

$$P_{\alpha\beta} - \bar{P}_{\alpha\beta} = \pm 16J \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \quad \alpha \neq \beta$$

where the Jarlskog is

$$J \equiv \Im[U_{\alpha i} U_{\beta j} U_{\alpha j}^* U_{\beta i}^*] \quad \alpha \neq \beta, i \neq j$$

$$J = c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23} \sin \delta$$



C. Jarlskog [PRL 55 \(1985\)](#)

The exact term in matter is known to be

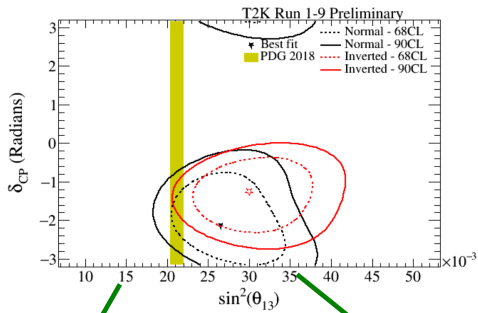
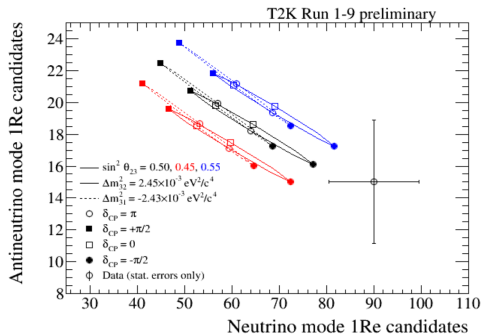
$$\frac{\hat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2}$$

V. Naumov [IJMP 1992](#)

P. Harrison, W. Scott [hep-ph/9912435](#)



# CPV Tension at T2K



$$J = c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23}\sin\delta$$

## CPV in Matter

CPV in matter can be written sans  $\cos(\frac{1}{3} \cos^{-1}(\dots))$  term.

$$\frac{\widehat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2}$$

$$\left(\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2\right)^2 = (A^2 - 4B)(B^2 - 4AC) + (2AB - 27C)C$$

$$A \equiv \sum_j \widehat{m}_j^2 = \Delta m_{31}^2 + \Delta m_{21}^2 + a$$

$$B \equiv \sum_{j>k} \widehat{m}_j^2 \widehat{m}_k^2 = \Delta m_{31}^2 \Delta m_{21}^2 + a(\Delta m_{ee}^2 c_{13}^2 + \Delta m_{21}^2)$$

$$C \equiv \prod_j \widehat{m}_j^2 = a \Delta m_{31}^2 \Delta m_{21}^2 c_{13}^2 c_{12}^2$$

**This is the only oscillation quantity in matter that can be written exactly without  $\cos(\frac{1}{3} \cos^{-1}(\dots))$ !**

H. Yokomakura, K. Kimura, A. Takamura [hep-ph/0009141](https://arxiv.org/abs/hep-ph/0009141)

## CPV Factorizes

Thus  $\hat{J}^{-2}$  is fourth order in matter potential:  
only two matter corrections are needed.

$$\frac{\hat{J}}{J} = \frac{1}{|1 - (a/\alpha_1)e^{i2\theta_1}| |1 - (a/\alpha_2)e^{i2\theta_2}|}$$

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CPV in matter can be well approximated:

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PBD, Parke [1902.07185](#)

See also X. Wang, S. Zhou [1901.10882](#)

Precise at the  $< 0.04\%$  level!

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## CPV Factorizes Part II

- ▶ Option 1: Use NHS identity and  $\widehat{\Delta m^2}$ 's
- ▶ Option 2: Use the angles?

$$\frac{\widehat{J}}{J} = \frac{s_{12}\widehat{c}_{12}s_{13}\widehat{c}_{13}^2 s_{23}\widehat{c}_{23} \sin \widehat{\delta}}{s_{12}c_{12}s_{13}c_{13}^2 s_{23}c_{23} \sin \delta}$$

Toshev:  $\theta_{23}, \delta$ :

S. Toshev [MPL A6 \(1991\) 455](#)

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From DMP:

[PBD](#), H. Minakata, S. Parke [1604.08167](#)

$$\frac{1}{|1 - (a/\Delta m_{ee}^2)e^{i2\theta_{13}}|} \approx \frac{s_{13}\widehat{c}_{13}}{s_{13}c_{13}}$$

Hopefully:

$$\frac{1}{|1 - (c_{13}^2 a/\Delta m_{21}^2)e^{i2\theta_{12}}|} \approx \frac{s_{12}\widehat{c}_{12}\widehat{c}_{13}}{s_{12}c_{12}c_{13}}$$

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## Key Cancellation

Expect  $s_{13}^2$  or  $\Delta m_{21}^2/\Delta m_{ee}^2 \sim 2 - 3\%$  precision

The atmospheric term:

$$\Delta m_{31}^2 \quad \Delta m_{ee}^2 \quad \Delta m_{32}^2$$

Solar correction:

$$1 - c_{13}^2 \cos 2\theta_{13}$$

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Solar correction:

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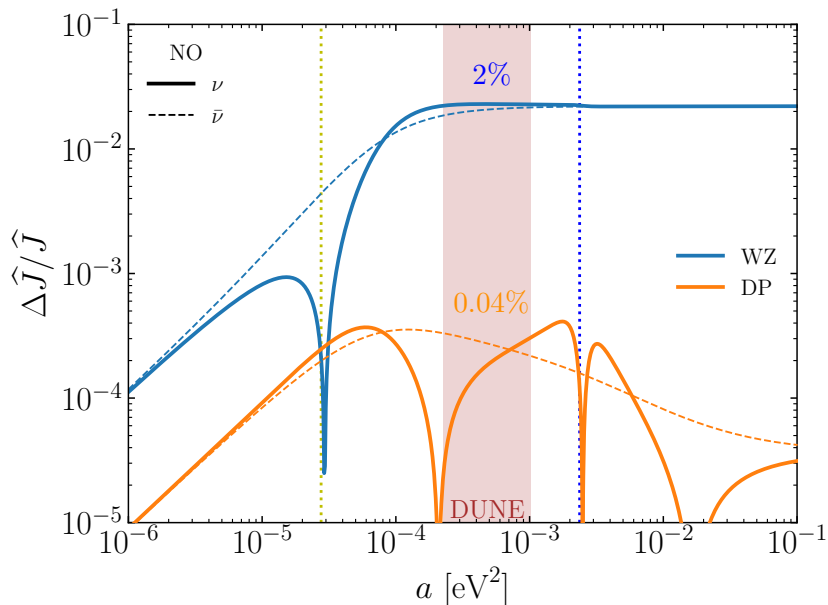
$$\begin{array}{ccc} \Delta m_{31}^2 & \Delta m_{ee}^2 & \Delta m_{32}^2 \\ \text{X} & \checkmark & \text{X} \end{array}$$

Solar correction:

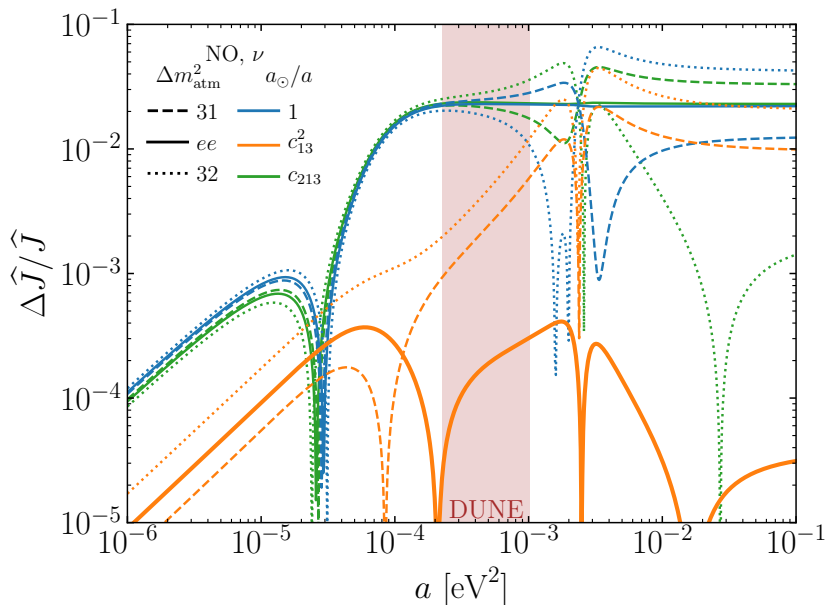
$$\begin{array}{ccc} 1 & c_{13}^2 & \cos 2\theta_{13} \\ \text{X} & \checkmark & \text{X} \end{array}$$

$$\frac{\Delta \hat{J}}{\hat{J}} \sim \mathcal{O} \left( s_{13}^2 \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right) + \mathcal{O} \left[ \left( \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \right)^2 \right] \sim 0.04\%$$

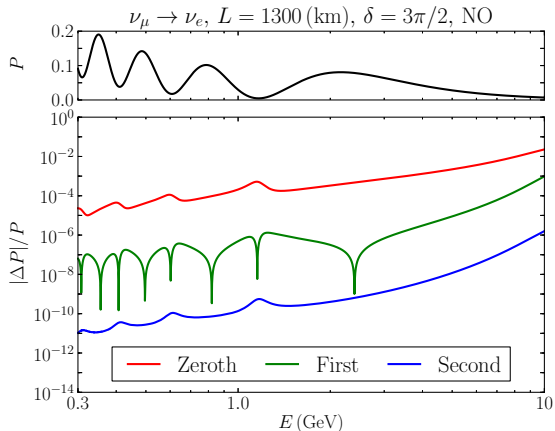
# CPV In Matter Approximation Precision



# Factorization Conditions



# Precision



| DUNE: NO, $\delta = 3\pi/2$    |        | First min           | First max           |
|--------------------------------|--------|---------------------|---------------------|
| $P(\nu_\mu \rightarrow \nu_e)$ |        | 0.0047              | 0.081               |
| $E$ (GeV)                      |        | 1.2                 | 2.2                 |
| $\frac{ \Delta P }{P}$         | Zeroth | $5 \times 10^{-4}$  | $4 \times 10^{-4}$  |
|                                | First  | $3 \times 10^{-7}$  | $2 \times 10^{-7}$  |
|                                | Second | $6 \times 10^{-10}$ | $5 \times 10^{-10}$ |

# Proper Expansions

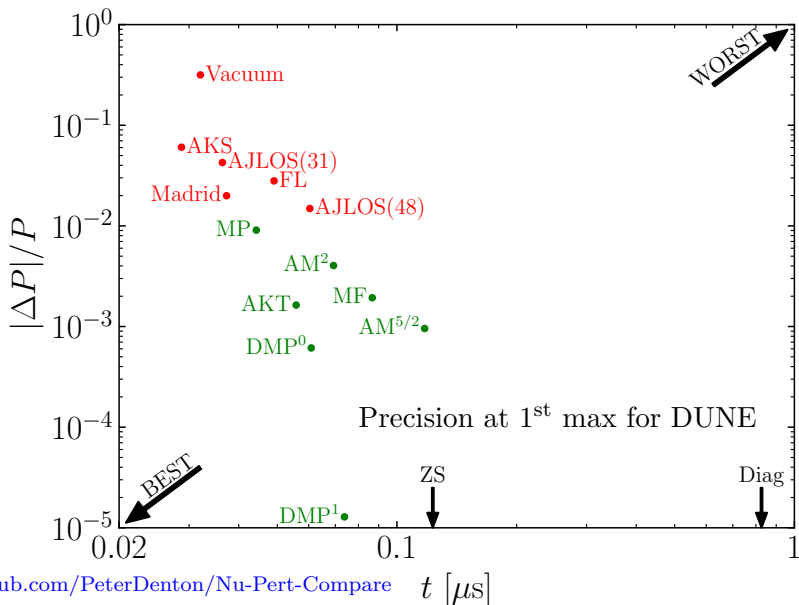
Parameter  $x$  is an expansion parameter iff

$$\lim_{x \rightarrow 0} P_{\text{approx}}(x) = P_{\text{exact}}(x = 0)$$

|              | $\epsilon$ | $s_{13}$ | $a/\Delta m_{31}^2$ |  |
|--------------|------------|----------|---------------------|--|
| Madrid(like) | ✗          | ✗        | ✗                   | Cervera+ <a href="#">hep-ph/0002108</a>    |
| AKT          | ✓          | ✓        | ✓                   | Agarwalla+ <a href="#">1302.6773</a>       |
| MP           | ✓          | ✗        | ✗                   | Minakata, Parke <a href="#">1505.01826</a> |
| <b>DMP</b>   | ✓          | ✓        | ✓                   | <b>PBD+</b> <a href="#">1604.08167</a>     |
| AKS          | ✗          | ✗        | ✗                   | Arafune+ <a href="#">hep-ph/9703351</a>    |
| MF           | ✓          | ✗        | ✗                   | Freund <a href="#">hep-ph/0103300</a>      |
| AJLOS(48)    | ✓          | ✗        | ✗                   | Akhmedov+ <a href="#">hep-ph/0402175</a>   |
| AM           | ✗          | ✗        | ✗                   | Asano, Minakata <a href="#">1103.4387</a>  |

$$\epsilon \simeq \frac{\Delta m_{21}^2}{\Delta m_{ee}^2}$$

# Speed $\propto$ Simplicity



[github.com/PeterDenton/Nu-Pert-Compare](https://github.com/PeterDenton/Nu-Pert-Compare)

$t$  [ $\mu\text{s}$ ]



# DMP Eigenvalues: Perturbative Odd Orders

After two matter rotations

$$H = \frac{1}{2E} \begin{pmatrix} \widetilde{m}^2_1 & & \\ & \widetilde{m}^2_2 & \\ & & \widetilde{m}^2_3 \end{pmatrix} + \epsilon'(a) \frac{\Delta m^2_{ee}}{2E} \begin{pmatrix} 0 & 0 & -s_{12} \widetilde{c}_{12} \\ 0 & 0 & c_{12} \widetilde{c}_{12} \\ -s_{12} \widetilde{c}_{12} & c_{12} \widetilde{c}_{12} & 0 \end{pmatrix}$$

$$\epsilon' \lesssim 1\%, \quad \epsilon'(a=0) = 0$$

Corrections to eigenvalues:

$$(\widetilde{m}^2_i)^{(1)} = (2E)H_{ii}^1 = 0$$

In fact, *all* odd orders vanish

X. Zhang, [PBD](#), S. Parke [1907.02534](#)

Smallness parameter is actually  $(\epsilon')^2 \sim 10^{-5}$  !

Can be done for any  $3 \times 3$  or  $4 \times 4$  but not higher in general

# Lots of Rotations

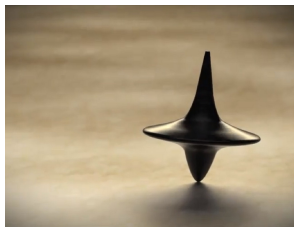
In a  $3 \times 3$  always one zero off diagonal element:

$$H_1 = \begin{pmatrix} 0 & 0 & \epsilon^a x \\ 0 & 0 & \epsilon^b y \\ \epsilon^a x^* & \epsilon^b y^* & 0 \end{pmatrix} \quad \begin{array}{l} \epsilon \ll 1 \\ x, y = \mathcal{O}(1) \\ 0 < a \leq b \end{array}$$

Rotate away the 1-3 term:

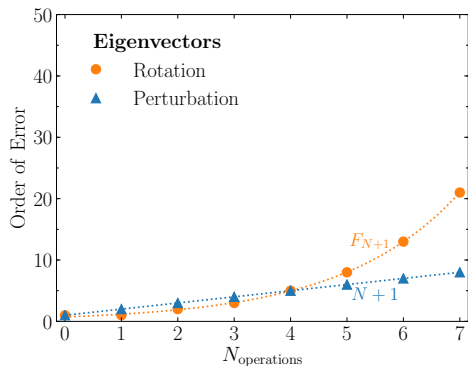
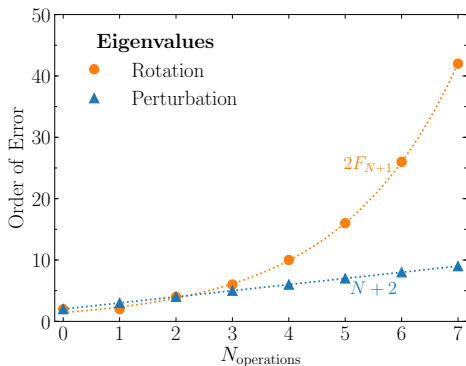
$$H'_1 = \begin{pmatrix} 0 & \epsilon^{a+b} x' & 0 \\ \epsilon^{a+b} x'^* & 0 & \epsilon^b y' \\ 0 & \epsilon^b y'^* & 0 \end{pmatrix}$$

Keep on rotating large terms



# Fibonacci

Continuing with rotations the error shrinks rapidly.  
Take  $a = b = 1$  (neutrino oscillation case)



$$F_0 \equiv 0, \quad F_1 \equiv 1, \quad F_n = F_{n-1} + F_{n-2} \text{ for } n > 1$$

$$\lim_{n \rightarrow \infty} F_n = \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n$$

X. Zhang, PBD, S. Parke [1909.02009](#)

# Exponential (Fibonacci) Improvement

